

## I. AGREED CATEGORIES AND CONCLUSIONS

Category	Types of conclusions	Conclusions
Category 1	RKC	<p>The RKC Management Committee:</p> <ul style="list-style-type: none"> <li>discussed and provided guidance on the concept ;</li> <li>agreed that the analysis of the component indicated that the most effective way to achieve the stated objective was through a change to the convention; and</li> <li>asked the secretariat to undertake further work with the proponents to mature the component and that it return to the RKC/MC in the future using the step three criteria.</li> </ul> <p>The RKC Management Committee:</p> <ul style="list-style-type: none"> <li>discussed and provided guidance on the concept;</li> <li>agreed that the analysis of the component indicated that the most effective way to achieve the stated objective was through an update to the RKC Guidelines; and</li> <li>asked the secretariat to undertake further work with the proponents to develop proposed amendments to the Guidelines and that these return to the RKC/MC in the future for consideration.</li> </ul>
Category 2	RKC Guidelines	<p>The RKC Management Committee:</p> <ul style="list-style-type: none"> <li>discussed and provided guidance on the concept;</li> <li>agreed that the analysis of the component indicated that the most effective way to achieve the stated objective was through an update to the RKC Guidelines; and</li> <li>asked the secretariat to undertake further work with the proponents to mature the component and that it return to the RKC/MC in the future using the step three template and proposed amendments to the Guidelines.</li> </ul>
Category 7	RKC + RKC Guidelines	<p>The RKC Management Committee:</p> <ul style="list-style-type: none"> <li>discussed and provided guidance on the concept;</li> <li>agreed that the analysis of the component indicated that the most effective way to achieve the stated objective was through a change to the convention and update to the RKC Guidelines; and</li> <li>asked the secretariat to undertake further work with the proponents to mature the component and that it return to the RKC/MC in the future using the step three template and proposed amendments to the Guidelines.</li> </ul>
Category 3	WCO other Instruments/Tools	<p>The RKC Management Committee:</p> <ul style="list-style-type: none"> <li>discussed and provided guidance on the concept;</li> <li>agreed that the analysis of the component indicated that the most effective way to achieve the stated objective was through an update of WCO tools and/or instruments;</li> <li>asked the secretariat to write to the relevant WCO working body and refer the component for their consider consistent with the input received to date; and</li> <li>agreed to discontinue work on the component under the RKC Review Process and encouraged sponsoring members to pursue their proposals in other working bodies of the WCO.</li> </ul>

Category 4	New Instruments/Tools	<p>The RKC Management Committee:</p> <ul style="list-style-type: none"> <li>discussed and provided guidance on the concept;</li> <li>agreed that the analysis of the component indicated that the most effective way to achieve the stated objective was through the development of a new WCO tool and/or instruments;</li> <li>asked the secretariat to write to the relevant WCO working body and refer the component for their consider consistent with the input received to date; and</li> <li>agreed to discontinue work on the component under the RKC Review Process and encouraged sponsoring members to pursue their proposals in other working bodies of the WCO.</li> </ul>
Category 5	No action (Already covered)	<p>The RKC Management Committee:</p> <ul style="list-style-type: none"> <li>discussed and provided guidance on the concept;</li> <li>agreed that the analysis of the component indicated that elements of the component were already covered by other activity of the RKC Review;</li> <li>agreed to discontinue work on the component under the RKC Review Process and encouraged sponsoring members to contribute to the other component.</li> </ul>
Category 6	More work required	<p>The RKC Management Committee:</p> <ul style="list-style-type: none"> <li>discussed and provided guidance on concept;</li> <li>agreed that further work be undertaken to mature the component taking into account input provided and that it return to the RKC/MC in June 2021 using the step two template, in order to discuss the step two process and consider next steps</li> </ul>

II. CONCEPTS DISCUSSED AND AGREED CATEGORIES UNDER STEP 2 OF THE REVIEW PROCESS

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO150EAE1a	Agreed category
1. Future Proofing	Joint Proposal No. 1 (No. 1/No. 1(C), No. 43) Periodical update mechanism	Component 1: Establishing Periodic Review  Component 2: Periodic review cycle	The bracketed text regarding the timing and duration of such a review need to be decided on by weighing up all possible options, some of which have been provided above. Given that the proponent's view is to provide for	Category 4  For future reflection upon completion of the review process Category 4

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
2. Monitoring, Reporting, and Evaluation	JOINT PROPOSAL No. 2 (No.3/3C (JP), No. 44/44C (EU), No.68 (NZ) Reporting, Monitoring and Evaluation (RME))	Component 3: Periodic review process	a periodic review without amending the Body of the Convention, the option of an RKC/MC decision can be taken into consideration.	For future reflection upon completion of the review process  Category 4
		N/A	In the light of the above assessments, there is no need for the RKC to be revised under this proposal. The proposed draft decision could be considered and discussed for approval by the RKC/MC.	For future reflection upon completion of the review process  Category 4
3. Structure	Reduction in SAs	N/A	In order to address the issue of structure from a holistic view, rather than from the angle of reduction of Specific Annexes alone, it would be necessary to consider it once the final content of the proposals/recommendations has been agreed upon. In such a case, an evaluation will then be conducted based on the content of the final recommendations for amendment.  Therefore, it is recommended to assign this proposal under category 1 for purposes of considering it at a later stage.	Category 1 (Note: The Chairperson to work with the Secretariat and look into the proposal considering as to how to move forward with it)

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
4. Use of Advance Technologies:	No. 109 Modern Technology in Inspection Equipment	Component 1: Non-intrusive equipment	is already covered in the RKC	Category 5
		Component 2: Risk-management	is addressed in the RKC Guidelines to Chapter 7 and the 2018 Guidelines for Procurement and Deployment of Scanning/III Equipment.	Category 5
	No. 110 Customs Control (Non-intrusive technologies)	Component 1. Non-intrusive Customs control	Component 1 is covered by Transitional Standard 6.9 and Standard 7.1 which already require Customs to use information technology to the greatest possible extent to enhance Customs control and support Customs operations.	Category 5
		N/A	The content of this proposal is already covered in the RKC (Standard 6.9) and the various conventions and tools stated above.	Category 5
	EX17 Strengthening Chapter 6 and 7 use of ICT	N/A	It is not necessary to specify particular technologies in the RKC. The principles suggested in the proposal are already covered in the RKC and in the existing tools mentioned above, while the remaining principles could be covered in the Joint Proposal on data issues.	Category 5

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
	EX41 Use of Advanced Technologies	Component 1: Neutrality and flexibility	is covered by the various provisions cited above, although the standards could be worded to promote the use of state of the art systems as opposed to archaic and outdated systems that may hinder progress	Category 5
		Component 2: IT knowledge and competence	can be achieved based on the two aforementioned tools.	Category 5
6. Enrichment of Definitions	N/A	N/A	Definitions will be dealt with once the final content of the proposals has been agreed. An evaluation will then be made of the terms that require definition or existing definitions that need to be improved.	Category 1 (To be discussed at a later stage)
7. Data Issues (GA Chapter 7)	No.46, No.47, No.48, No.49, No.57, No. 80C, No. 113, V2.5, SEC10 Data Issues, information sharing and related use of technology	Component 1 Flexibility in declaring/acquiring data for Customs processes/procedures	A great deal has changed since the entry into force of the RKC, especially in the area of the use of technology and data for Customs purposes. As a result, several WCO instruments and tools have been developed on various data-related issues. The RKC should be updated in this regard without prescribing specific technologies in the Convention itself. Therefore, the proposal can be covered in both the RKC (core principles) and its Guidelines (specific details).	Category 7 (Merged components 1 and 2)
		Component 2 Data quality		
		Component 3 Interoperability		
		Component 4 Use of advance technology to support Customs processes		
				Category 5
				Category 5

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
8. Electronic Declarations (GA Chapter 3/Chapter 7)		Component 5 Global cooperation on exchange of information and re-use of data		Category 5
	JNT No. 8 Electronic declarations, and placing goods under Customs procedure	Component 1: Amendment Appendix I, Preamble, 5th paragraph, 4th bullet point, RKC Body	It is necessary to update the RKC with recent and modern Customs practices and procedures concerning Customs clearance and related services without completely eliminating the use of manual or paper-based processes. This should be borne in mind when rewording provisions or when deciding whether to delete certain chapters or standards of the Convention.	Category 5
	JNT No. 8 Acceptability of electronic Goods declaration	Component 2: Definition of Goods declaration (E19/F8)		Category 5
	JNT No. 8 Paperless declarations	Component 3: Amendment to General Annex, Chapter 3, Standard 3.11, 2nd para.		Category 7
	JNT No. 8 Copies of supporting documents	Component 4: Amendment to General Annex Chapter 3 Standard 3.16		Category 1
JNT No. 8 24/7 Cargo and Goods declaration	Component 5: Amendment to General Annex Chapter 3 Standard 3.20	Category 1		

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
		Component 6: Deletion of General Annex Chapter 3 Transitional Standard 3.21		Category 1 (With a consideration to pursue it as a Standard )
		Component 7: Amendment to General Annex Chapter 3 Standard 3.22		Category 1
		Component 8: Amendment to General Annex Standard 3.41		Category 5: No action (Already covered)
		Component 9: Amendment to General Annex Chapter 7		Category 5 No action (Already covered)
		Component 10: Amendment to General Annex Standard 3.11, 1st para., GA		Category 7
		Component 11: Processing of declarations		Category 1

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
		Component 12: Acceptability of electronic Goods declaration		Category 1 (With a consideration to pursue it as a Standard in the GA and SAs)
		Component 13: Paperless declaration (Transitional Standard 3.21)		
		Component 14: Copies of supporting documents (Transitional Standard 3.18)		
		Component 15: 24/7 Cargo and Goods declaration (Standard 3.22)		
	No.74 Format and copies of goods declarations	Component 1: Content of the Goods declaration		
			For the purposes of standardization, Standard 3.11 should be amended to provide for a uniform format and content for both paper-based and electronic goods declarations. The provision set out in Standard 3.15 should be retained for those Customs administrations that have not yet fully automated their Customs processes.	Category 1 (Consider with Component 3 of JNT No.8)
				Category 1 (taking into account the distinction between paper based and electronic based declarations.)



Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
		Component 2: Lodgement of the Goods declaration		Category 5
	EX31 Priority of electronic Customs declarations without supporting (accompanied) documents	Component 1: Electronic Customs declaring process	Regarding Component 1, given that a majority of Customs administrations have, to a great extent, introduced automated systems for cargo clearance and have committed to apply information technology to support Customs operations, it is necessary to update Transitional Standards 3.18 and 3.21 to reflect this trend, i.e. provide for electronic declarations as the norm and manual declarations as an exception.	Category 1 (Consider with JNT No.8)
		Component 2: Lodging (submission) of the Customs declaration without supporting documents	As for Component 2, Standard 3.16 could be reworded to reflect the content of the 2012 Recommendation regarding supporting documents without deviating from the principle laid down in Standard 3.16.	Category 1 (Consider with JNT No.8)

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
9. Advance Cargo Information (SA A/C1)	JNT No.9 Advance Cargo Information	Component 1: Acquisition of advance information (GA)	Component 1: Acquisition of advance information (GA) and Component 3: Data accuracy (GA) could be combined and reflected in Chapter 6 of the GA or Chapter 1 of SA A, and more detailed information included in the Guidelines to Chapter 6 to the GA or Chapter 1 to SA A.	Category 7
		Component 3: Data accuracy (GA)		
	Component 2: Data set (GAR/KC Guideline)	Category 2		
10. Advance goods declaration (GA/C3)	No.75 Electronic submission of ship stowage plan	N/A	can be addressed in the RKC Guidelines based on Members' best practices.	Category 2
	EX32 Preliminary Customs declaration	Component 1: Preliminary Customs declaring	The Component from the EEC can be read by current Standard 3.25, Chapter 3 of the GA of RKC if this Standard would be supplemented by clarifying "the arrival of goods at the point of final destination" in its RKC Guidelines.	Category 2 and 5

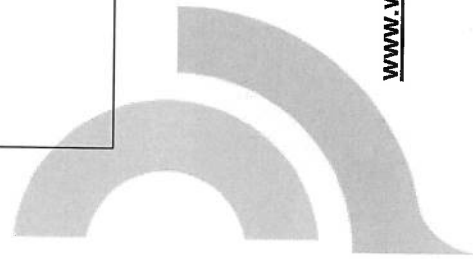
Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
	EX4 Pre-arrival processing	N/A	Since the proposal relates to Concept 3 on structure, which the WGRKC agreed to tackle at a later stage after considering the final proposals/recommendations that would be included in the Convention, the RKC/MC may consider pursuing this under category 1 for purposes of later consideration based on the discussion on Concept 3 on Structure.	Category 1
	EX15 Clearance of shipment on the basis of information and data provided prior to the physical arrival of shipment at the port of destination	N/A	The UNDP proposal could be reflected by converting Transitional Standard 3.18 of the GA into a standard. As for lodging Customs declaration at any Customs office – it is reflected in the GA Standard 3.20.	Category 1 and 5 (Category 1 for converting TS 3.18 and 3.21 to be considered with Joint Proposal No.8, and Category 5 for lodgement of the Customs declaration)



Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
11. Authorized Economic Operators (AEO)	JNT No.11 - AEO Programme and Mutual Recognition Arrangements/ Enhanced trade facilitation for trusted trade lanes;	Component 1: AEO Partnership programs	Partnership programs are relevant and applicable to every trade facilitation, compliance and/or security measures/programs initiated with external stakeholders and third parties involved in the supply chain. In this aspect, "partnership programs" can be considered in a broader context instead of having it AEO specific in the RKC.	Category 1
		Component 2: AEO Criteria and conditions covering Compliance and Security	In-depth details on the overall concept of AEO and TTL like the criteria, conditions, benefits and accreditation process could be considered in the Guidelines and/or in other relevant instruments/tools	Category 1 and 3 (High level aspects to be considered in the RKC)  (Details to be considered in the relevant instruments and tools)
		Component 3: AEO Benefits		
		Component 4: Authorization and validation process for AEOs		
		Component 5: Technology	Components 5 and 8 need to take into account, the existing Standards (6.7, 6.8 & 6.9) and the relevant provisions of the RKC.	Category 5

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
		Component 8 Cooperation		
		Component 6a: AEO – MRA Mutual recognition arrangements/agreeme nts	<p>The details provided at Component level mainly highlights the importance of the respective topics and explains WHAT it is about. Therefore, first and foremost consideration is to formulate a concrete picture and structure as to HOW the concept of AEO need to be captured in the RKC given the current Transitional Standard 3.32. A suggestion provided in the 'rationale' is to opt for flexible and scalable options complementing Standard 3.32. However, there are multiple options that can be considered such as:</p> <ul style="list-style-type: none"> <li>- Expand the scope of TS 3.32 by including AEO and TTL components in a broader context with flexible and scalable options for consideration by the Contracting Parties (CPs) as per their preference and capacity. Its in-depth details can be covered in the respective Guidelines; OR</li> </ul>	Category 1

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
			<ul style="list-style-type: none"> <li>- Similar to TS 3.32, provide a separate and broad Transitional Standard in the GA of the RKC for AEO and TTL ensuring the flexibility and scalable options for CPs consideration based on their preference and capacity. Its in-depth details can be covered in the respective Guidelines; OR</li> </ul>	
			<ul style="list-style-type: none"> <li>- If the joint proponents' desire for more specificity and detailed coverage in the RKC on AEO and TTL or concerning the overall Compliance and Security programs/measures then alternate option is to have a dedicated Chapter in the SA. This would also provide greater flexibility and scalability options for the CPs. However, if this option is considered then current TS 3.32 may need to be considered as well.</li> </ul>	



Concept	Proposal	Component	Conclusions of Step 2 Assessment	
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12. Perishable Goods (GA Chapter 3)	Proposal No.: 7: Perishable goods	<p>Component 7: Enhanced Cooperation</p> <p>Component 1: Clearance under normal circumstances</p> <p>Component 2: Clearance under exceptional circumstances</p> <p>Component 3: Storage pending clearance</p> <p>Component 4: Communication to the importer in case of delayed release</p>	<p>NOTE (Intersessional outcomes):</p> <ul style="list-style-type: none"> <li>– Joint Proponents propose to amend Component 7 as "Enhanced Cooperation" in place of "Trusted Trade Lanes (TTL)". The EU suggested to categorize amended Component 7 under Category 1, 3 and 7. This was supported by Australia, SARS and FIATA.</li> <li>– With regard to TTL, China and EEC suggested to consider it as a separate program. China further suggested for Category 4 (new instruments/tools) and Category 6 (more work required).</li> </ul>	<p>Component 7 (Enhanced Cooperation)</p> <ul style="list-style-type: none"> <li>– Category 1, 3 &amp; 7</li> <li><u>TTL – Category 5</u></li> </ul>
			Without necessarily duplicating what is contained in the WTO TFA, Chapter 3 of the GA could be expanded further to incorporate suggestions in Components 1, 3 and 4 beyond prioritizing the examination of perishable goods covered under Standard 3.34. Component 2 is covered in the RKC and could be further clarified in the RKC Guidelines.	Category 1
				Category 2
				Category 1
				Category 1

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
13. Electronic payment of duties (GA Chapter 4)	Proposal No.: JNT No. 13-1, No. 17, EX5, EX6, V2.4 Electronic payment of duties	Component 1: Electronic payment of all duties, taxes and charges	With regard to Component 1 (electronic payment of duties and taxes) and Components 2a and 2b, taking into account the above assessments and the relevance of the proposals to the COVID-19 pandemic, there is a well justified need to incorporate these components into the RKC.	Category 1
		Component 2: Electronic repayment of all duties, taxes and charges		Category 1
		Component 3: Use of a compensation system for defective items		Category 7 (For the possibility to include it in the SA)



Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
	Proposal No.: EX Input No. 11 (AC) Legal framework for Customs duties payment	Component 1: Incurrence of a Customs debt on import and export	With regard to Components 1, 2, 3, 6 and 9, there is a justified need to incorporate these components on the incurrence and extinguishment of Customs debt into the RKC. The same provisions should also be considered in relation to postal cargoes and passengers.	Category 1 (This proposal deals with Customs debt, which is not the same as the e-payment of duties; a different concept name should therefore be allocated to this proposal.)
		Component 2: "Regular" Customs debt and Customs debt in "irregular" cases	With regard to Components 1, 2, 3, 6 and 9, there is a justified need to incorporate these components on the incurrence and extinguishment of Customs debt into the RKC. The same provisions should also be considered in relation to postal cargoes and passengers.	Category 1



Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
		Component 3: Incurrence of a Customs debt for prohibited and restricted goods	With regard to Components 1, 2, 3, 6 and 9, there is a justified need to incorporate these components on the incurrence and extinguishment of Customs debt into the RKC. The same provisions should also be considered in relation to postal cargoes and passengers.	Category 1
		Component 4: Time frame under which duties and taxes are assessed	The Group could discuss the possibility of including related provisions by examining current relevant national practices. Furthermore, the inclusion of a reference to "self-assessment" should be considered in parallel.	Category 1
		Component 5: Factors on the assessment and conditions of determination of duties and taxes	Component 5 could be clarified by adding the explanations and details currently provided in the RKC Guidelines to the RKC, while keeping the reference to instruments in the Guidelines.	Category 2

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
		Component 6: Time and place at which a Customs debt is incurred	With regard to Components 1, 2, 3, 6 and 9, there is a justified need to incorporate these components on the incurrence and extinguishment of Customs debt into the RKC. The same provisions should also be considered in relation to postal cargoes and passengers.	Category 1
		Component 7: Person(s) responsible for the payment of duties and taxes	The Group could discuss the possibility of including related provisions by examining current relevant national practices. Furthermore, the inclusion of a reference to "self-assessment" should be considered in parallel.	Category 1
		Component 8: Time frame for legal action to collect duties and taxes not paid by the due date	The Group could carefully discuss the possibility of including related provisions by examining current relevant national practices. Furthermore, the inclusion of a reference to "self-assessment" should be considered in parallel.	Category 2

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
14. Customs role in security (GA Chapter 6)	JNT No.14 Security risk concept; Role of Customs in the context of Security; Specify the definition of Customs risk	Component 9: Extinguishment of Customs debts	With regard to Components 1, 2, 3, 6 and 9, there is a justified need to incorporate these components on the incurrence and extinguishment of Customs debt into the RKC. The same provisions should also be considered in relation to postal cargoes and passengers.	Category 1
		Component 1: Role of Customs in security	"Security" should be dealt with separately and clearly in the Convention (with regard to all proposed components). It might be worth discussing the possibility of creating a new chapter either in the GA or in the SA devoted to security issues and measures required to ensure safety, while highlighting references to the very latest and updated WCO instruments and tools.	Category 7
		Component 2: Enhanced role of Customs in security		Category 7
		Component 3: Security & safety risks		Category 7
	No. 124 (CN) The current RKC only defines "security", and there is no definition of risk.	N/A	This term is included in subsection 4 "Definitions" of the GA Guidelines for Chapter 6, where risk is defined as "the potential for non-compliance with Customs laws".	Category 7 (To be merged with JNT No.14 Component 3)

Concept	Proposal	Component	Conclusions of Step 2 Assessment		
			Findings in PO0150EAE1a	Agreed category	
15. Customs control (GA Chapter 6)	No.31-36 (UY) Customs control concept	Risk Management Process	the RKC already contains the principles proposed.	Category 5	
		Risk Management Philosophy	this concept could be incorporated into existing paragraph 8.2.2 of the GA Guidelines for Chapter 6.	Category 2	
		Selectivity, establishment and profiling, and detection of offences (Risk Profiling)	para. 6.2.2. Selectivity, Profiling and Targeting of the GA Guidelines for Chapter 6 should be amended in order to avoid the use of the word "document" in the description of this concept.	Category 2	
			Level of Centralization	the RKC Guidelines cover this proposal.	Category 5
			Explicitly states the methodology of Risk Management, in Customs regulations	this proposal could be incorporated into the text of the GA Guidelines for Chapter 6.	Category 2
			Reference to the documents	this proposal is logical and needs to be fully developed in the GA Guidelines (Chapter 6 – Customs Control).	Category 2
		No.29, V1.6, SEC12 Risk management	N/A	Instead of incorporating all the proposals into the RKC Guidelines and creating duplication, it is much more effective and important to align the current text of the RKC Guidelines with the aforementioned WCO instruments and tools. For instance, the RKC Guidelines do not contain a reference to the Risk Management	Category 2
		No. 30 and No. 30(C) (MA) )	Component 1: Definition of risk management in the Customs context		Category 2
			Component 2: Presentation of the levels of risk management		Category 2

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
		Component 3: Use of information technology in the risk management process	Compendium, which should, of course, be duly referenced.	Category 2
	No.81 To amend the RKC to reflect modern risk management practices, in light of the latest changes in ISO 31000: 2018.	N/A		
	No.82 Operational and Tactical Risk Management	N/A		
	No.56 Customs control with technologies	N/A		
	Joint No. 15-1 Automated selectivity, Joint targeting and Selectivity, profiling and targeting	Component 1: Automated selectivity systems		
		Component 2: Selectivity, profiling and targeting		
		Component 3: Legal considerations		
		Component 4: Customs control (Using information system)		

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
	EX7 Risk management for expedited release	N/A		Category 5
	No. 7 (UY): Customs Control Principle	N/A	this proposal is already contained in the text of the GA Guidelines for Chapter 6.	Category 5
	Joint No. 15-2 Voluntary Disclosure (VD) without Penalty	Component 1: Amending the Goods declaration prior to the release of goods	is reflected in the text of Chapter 3 of the GA.	Category 5

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
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		Component 2: Amending the Goods declaration after the release of goods	Currently the RKC does not cover the possibility to amend goods declaration after the release of goods and there is need to additionally explore and clarify issues that are related to possibility of Customs to initiate respective amendments of the Goods declaration taking into account PCA. Therefore it is recommended to assign this component under category 7 that could provide the possibility to study this issue in a broader and complex manner.	Category 7
		Component 3: Possibility to withdraw the Goods declaration for and apply for a different Customs procedure after the release of goods, subject to conditions established by the Customs	could be framed by the national legislation or alternatively reflected in the Chapter 3 of the GA.	Category 1
		Component 4: RKC recognize Voluntary Disclosure (explanation) Component 1: Establish PCA	should be reflected in the GA Guidelines for Chapter 3.	Category 2
16. Post-clearance audit (GA Chapter 6)	No.18, No.19, V3.1, V3.2, SEC13 Post Clearance Audit or Audit-based Control		to be added to the RKC	Category 1



Concept	Proposal	Component	Conclusions of Step 2 Assessment	
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	No.18, No.19, V3.1, V3.2, SEC13 Audit "Support the auditing of electronic declarants records using simplified procedures" JNT No. 16 Post Clearance Audit	Component 2: Using risk management in PCA	to be added to the RKC	Category 1
		Component 3: Transparency in PCA	to be included in the GA Guidelines	Category 2
		Component 4: Information for future actions	to be included in the GA Guidelines	Category 2
		Component 5: Define the persons subject to audit	Components 5 to 10 may be combined and added to the RKC as the following component: "National legislation shall specify: (1) PCA coverage (persons/companies subject to PCA); (2) the authority of Customs administrations in conducting PCA; (3) the rights and obligations of auditees (including record-keeping requirements) (4) a penalty scheme." without going into the details of each component. Also, to be included in the GA Guidelines	Category 7
		Component 6: Define the books & records to be kept		Category 7
		Component 7: Define the period of time required to keep and show books and records		Category 7
		Component 8: Authority to examine such records		Category 7
		Component 9 : Rights & obligations of the persons subject to audit		Category 7
		Component 10: Prescribed penalties		Category 2

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
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		Component 11: Human resources and training	to be included in the GA Guidelines	Category 2
		Component 12: Use of communication and Information Technology	to be included in the GA Guidelines	Category 2
		Component 13: A clear demarcation between audit & investigation	to be included in the GA Guidelines	Category 2
	No. 18 (TH): Post-Clearance Audit	N/A	Could be included in the GA RKC.	Category 1
	No. 19 (UY): Audit Based Control	N/A	Potentially, all businesses involved in the import and/or export of goods or in the receipt, storage, manufacture and delivery of goods subject to Customs controls may be audited. This may include: importers/exporters, declarants, consignees of the imported goods and other trade intermediaries, including banks. Thus, although there seems to be no need to amend the current Guidelines, they could be clarified by adding further details as set out in the WCO Guidelines on PCA.	Category 2
18. Publication and availability of information (GA/C9)	No. 24 (TH): Publication and availability of information –Track A	N/A	Given the importance of providing traders with transparency and predictability with regard to Customs procedures, this proposal could be	Category 7

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
19. Advance rulings (GA Chapter 9)	Secretariat's input No. 20: Publication and availability of information	Component 1: Publication of information relating to Customs regulations and procedures	incorporated into the RKC and the RKC Guidelines	Category 7
		Component 2: Internet publication		Category 2
		Component 3: Enquiry points		Category 1
		Component 4: Opportunity to comment on Customs regulations and procedures		Category 7
		Component 5: Issuance of Advance Rulings		Category 7
19. Advance rulings (GA Chapter 9)	JOINT PROPOSAL No. 19 (No.25 (TH), No.84C (IN), V1.5 (VWG), SEC15 (SEC) RU: : Advance Rulings	Component 1: Definitions and scope of Advance Rulings	For all Components, it is necessary to consider the need to amend Chapter 9 of the General Annex to the RKC to reflect modern Customs and trade practices related to Advance Rulings and to make the text of the Convention more practicable while keeping the details in the RKC guidelines and/or relevant tools. As indicated above components 1, 4 and 5 could be covered in the RKC while the rest describing the 'how' could be covered in its Guidelines or respective tools.	Category 7
		Component 2: Application for Advance Rulings		Category 2
		Component 3: Declining and postponing issuance of Advance Rulings		Category 2
		Component 4: Issuance of Advance Rulings		Category 7
		Component 5: Effect of Advance Rulings		Category 7

Concept		Proposal	Component	Conclusions of Step 2 Assessment		
				Findings in PO0150EAE1a	Agreed category	
20. Measures to ensure consistency in applying national legislation		No. 27 (JP): Implementation of measures to assure consistency in applying national legislation	Component 6: Annulment, modification, revocation or invalidation of Advance Rulings			Category 2
			Component 7: Effect of annulment, modification, revocation or invalidation			
			Component 8: Right of Review and Appeal			
			Component 9: Publication and confidentiality			
			Component 1: Application of the national legislation (GA)			
21. Relationship with 3rd parties (GA/C8)		No. 126 (CN): Collaboration between Customs and business	Component 2: Examples of measures to ensure consistency (RKC Guidelines) (* further discussion to take place for consistency)			Category 2
			N/A			
				There is a need of discussing the addition of a new standard to the GA (consequently, examples of successful measures could be incorporated into the GA/SA Guidelines).		Category 1
				Based on the assessment carried out with reference to the RKC, it is suggested to consider Proposal No. 126 (CN) in the Guidelines to Chapter 6 specifically under Section 9; Customs/Trade Co-operation (expand the scope of last 2 paragraph).		Category 2

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
	<p>EX Input No. 43 (FIATA): Third parties</p>	<p>Component 1:                      – There is a need to further clarify that Standard 8.1 does not encompass only the traditional third parties being Customs brokers but also other third parties, which are being used as means to communicate/transact with Customs, for example commercial IT service providers or (air)port community systems.                      – As with the traditional third parties, an obligation imposed by Customs for the use of certain IT service providers prevents a free choice of transacting business with the Customs either directly or by designating a third party.                      – The current text specifies/defines the third party as a person acting on behalf of the principal. This text is not correct/relevant for the IT service providers as they normally do not</p>	<p>Based on the assessment carried out with reference to RKC, this Component proposed by IATA could be considered in the Guidelines to Chapter 8 relating to Standard 8.1 as to bring out more clarity.</p>	<p>Category 2</p>

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
		act on behalf of a party. The article is nonetheless relevant for having a free choice of transacting with Customs.		

Concept	Proposal	Component	Conclusions of Step 2 Assessment		
			Findings in PO0150EAE1a	Agreed category	
23. Warehouse (SA D/C 1)	Proposal No.: No. 86C (IN): Warehouse	Component 1: More activities permitted under Customs Warehousing procedures	In the light of the above assessments, there is no need to revise the RKC based on the proposed components. The proposals could be incorporated into the relevant RKC Guidelines.	Category 2	
		Component 2: Regulatory role of Customs in Customs warehouses			Category 2
		Component 3: Additional facility and responsibility on warehouse operator			Category 2



Concept		Proposal	Component	Conclusions of Step 2 Assessment	
24. Free Zone (SA D/C 2)	Proposal No.: JNT No. 24, (No.60/60C (ZA), No.88/88C (EU), No.106 (CN), No.61 (HT), No.62 (CU)): Free Zone Processing	Component 1: Definition of Free Zones	Findings in PO0150EAE1a	Agreed category	
		<p>The two options below could resolve this issue of territoriality and Customs controls:</p> <p>[Option 1]: revise the definition of "free zone" to state that a FZ is an enclosed area and to be regarded either as falling within the Customs territory or as being special areas where Customs controls under Chapter 6 of the GA to the RKC are properly enforced through national legislation (WCO FZ Guidance) and could add that "Goods entering such area are not subject to import duties and taxes, unless the concerned Contracting Party decides otherwise";</p> <p>or</p> <p>[Option 2]: revise relevant standards such as Standard 4 without amending the definition of "free zone" so that the RKC clearly stipulates the need legally to ensure application of usual Customs controls in FZs through national legislation.</p> <p>- The requirement for FZs to be an enclosed area can be added either to Standard 3 or the definition, depending on the option selected.</p>		Category 1	(Taking note of dissenting views and the plan to hold intersessional discussions with the proponents and interested Members)



Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
		Component 2: Leadership of Customs Authority	<ul style="list-style-type: none"> <li>Sub-Components 2.1 and 2.3 can be included in the RKC and the RKC Guidelines revised accordingly.</li> <li>Sub-Components 2.2 and 2.3 can be incorporated in the RKC as RPs or in the RKC Guidelines.</li> </ul>	Category 7 (Taking note of dissenting views and the plan to hold interessional discussions with the proponents and interested Members)
		Component 3: Need to provide the requested information to the Customs Authorities and use of modern technologies	Component 3 should be reflected in the RKC.	Category 1
		Component 4: Processing in Free Zones/ Goods entering a Free Zone should be not subject to duties and taxes	No action is required	Category 5
		Component 5: Goods entering a free zone may not only be stored	Component 5 can be clarified by updating the RKC Guidelines.	Category 2
		Component 6: Duration of stay	No action is required	Category 5
		Component 7: Information to be conveyed to Customs for goods exiting from a free zone to be sent directly abroad	Component 7 should be reflected in the RKC.	Category 1

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
		Component 8: Sharing best practices	It is recommended to have the discussion with Component 2 of EX. 30 on two possible methods for calculating the import duties, and the collected cases can be added to the WCO Practical Guidance on FZs, if necessary.	Merge with Component 2 of EX 30
		Component 9: Revise and unify the name of free zones (No. 135 (CN))	No action is required	Category 5
26. Processing of Goods (SA F)	EX Input 30 (AC): – Option to Combine Inward Processing and Processing for Home Use	Component 1: Option of a combined authorization for inward processing and processing for home use	should be considered for possible amendments in the SAs to the RKC and its Guidelines (this will lead to amendments in the WCO Handbook on Inward and Outward Processing Procedures).	Category 7 (Taking note of dissenting views)
		Component 2: Two possible methods for calculating the import duties	should be further discussed and possibly included in the SAs to the RKC and its Guidelines.	Category 7 (Taking note of dissenting views)
27. Travellers, (SA J/Chapter 1)	Proposal No.: JNT No. 27-1 (No. 39/39C (JP), EU, SEC 16 (SEC)) API/PNR on passengers	Component 1: Authorization of access to and utilization of API/PNR (GA/RKC Guideline) Component 2: Data set (GA/RKC Guideline)	Taking into account the above assessments and the relevance of the proposals to the COVID-19 pandemic, Components 1, 2, 3 and 4 can be incorporated into the RKC, either in the GA or in Specific Annex J1, and the examples of a harmonized data	Category 7
				Category 7

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
		Component 3: data accuracy (GA)	set can be included in the RKC Guidelines.	Category 7
		Component 4: Standardized data format of API/PNR (GA)		Category 7
		Component 5: Establishment of Passenger Information/Intelligence Unit (PIU) (RKC Guideline)	The establishment of PIUs can be included in the RKC Guidelines.	Category 2
	JNT No.27-2 Travellers (application of Customs formalities) Proposal No.: JNT No. 27-2 (No. 64/64C(ZA), 90C(IN), V4.4(VWG), SEC17(SEC): Travellers	Component 1: Customs passenger clearance system	Taking into account the above assessments and the relevance of the proposals to the COVID-19 pandemic, Components 1 and 2 could be incorporated into Chapter 1 of Specific Annex J to the RKC. The detailed measures proposed under Components 1 and 2 could be further examined in the light of national experiences with a view to revising the RKC Guidelines.	Category 7
		Component 2: Use of advance technologies (?), recognition of the compliance level for passenger's facilitation and Customs control		Category 7

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
		<p>Component 3 a): Need to declare currency and other negotiable instruments</p>	<p>As for Component 3, the inclusion in the RKC of the requirement to submit a currency declaration on both entry and exit could be taken into consideration. However, the requirement for transit passengers to submit a currency declaration should be carefully discussed in the light of current national practices with a view to considering whether such a requirement would place an additional burden on passengers and whether there is a need to amend Standard 38.</p>	<p>Category 7 (Taking note of challenges posed by currency declarations for transit passenger) (Discuss it more in step 3 taking note of interventions made by the Members)</p>
		<p>Component 3 b): Documents to be used for currency declaration</p>		



Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
	No. 91 Information exchange on vehicles for private use	Component 1: Exchange data related to vehicles	With regard to Component 1, given that current Standard 6.7 and Standard 7.4 allow Customs administrations to exchange electronic data on vehicles imported by travellers, there seems to be no need to revise the RKC itself, but the basic principle of the exchange of data related to vehicles, including examples of the format and content of such data, could be explained by revising the RKC Guidelines to Chapter 6 of the General Annex (or the RKC Guidelines to SA J1) for clarification purposes.	Category 2
		Component 2: Electronic declaration for vehicle entry	As for Component 2, if this component intends to cover not only importation but also re-importation and other procedures, it should be clarified by amending RP 9. This component could be discussed together with Joint Proposal No. 27-2 (Component 1) which also recognizes the need to amend RP 9 to cover electronic declaration.	Merge with Joint Proposal No. 27-2
28. Rules of Origin (SA K)	JNT No.28 No.40/No.40C, No.92, No.93, No.	Component 1: Determination of a non-preferential origin	For all components, it is clear that there is a need to conduct a comprehensive review of the	Category 7

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
	127-132, EX12, EX22, EX36, SEC3 Non-preferential Rules of Origin	Component 2: Procedural requirements related to non-preferential origin	standards specified in this Annex and incorporate this Concept into the new Specific Annex K as well as into its Guidelines if necessary.	Category 7
		Component 3: Control of non-preferential origin		
		Component 4: Miscellaneous		
		Component 1: Determination of a preferential origin		
	Preferential Rules of Origin	Sub-component 1.1: General requirements regarding the conditions of production conferring origin ("origin criteria")	Category 7	
		Sub-component 1.2: Territorial requirements regarding the location of the production and the transport of the products ("consignment criteria")		
		Sub-component 1.3: Cumulation / Accumulation		
		Component 2: Procedures for preferential origin		

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
		Sub-component 2.1: Requirements on preferential origin for the importer		
		Sub-component 2.2: Requirements on preferential origin for the exporter or producer		
		Subcomponent 2.3: Suppliers declaration and other administrative requirements related to cumulation		
		Sub-component 2.4: Intermediary trade or non-party invoicing		
		Sub-component 2.5: Exemption from/exceptions to providing a proof of origin / certificate of origin		
		Sub-component 2.6: Verification of preferential origin		
		Sub-component 2.7: Use of information technology		
		Component 3: Miscellaneous		
				Category 7

Concept		Proposal	Component	Conclusions of Step 2 Assessment	
				Findings in PO0150EAE1a	Agreed category
29. Coordinated Border Management	JNT No.29-1 Integrated/Coordinated Border Management	Component 1: CBM national dimension	Given the relevance of the components in streamlining parallel processes and technologies, and eliminating redundancies, the overall thrust of both components should be included in the RKC without duplicating existing tools where more detailed information describing "how" can be easily maintained and updated on a regular basis. The main concern is whether to create a specific chapter on CBM or to address each of the suggested aspects in the corresponding areas of the RKC.	Category 1	
	JNT No.29-1 Coordinated Border Management (Single Window)	Component 2: CBM International dimension			
	JNT No.29-2 Single Window	Component 1: Single Window Definition	The Single Window platform provides a single point of non-physical interaction with the various border agencies involved in the clearance of goods and is thus relevant in the post-COVID-19 era where automation is strongly encouraged and physical contact must be kept to a minimum. Components 1, 2, 3, 10, 12 and 13 describe the "what" and should	Category 1	
	JNT No.29-2 Trade facilitating platforms like Single Window and others sharing key characteristics	Component 2: CBM national dimension			



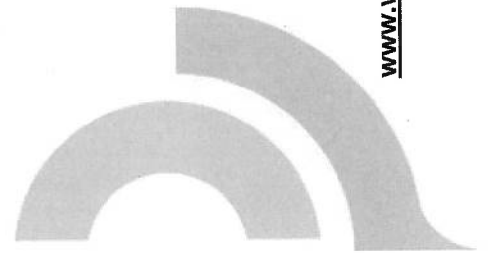
Concept	Proposal	Component	Conclusions of Step 2 Assessment Findings in PO0150EAE1a	Agreed category
	JNT No.29-2 Formalizing the principles of using the "Single Window" mechanism in Customs operations	Component 3: Single Window Interoperability	therefore be incorporated in either Chapter 3 or 7 of the General Annex, while the other components that describe the "how" and the various best practices could be included in the RKC Guidelines or retained in the various existing tools that are updated on a regular basis.	Category 7 (Taking note of comments made by Japan and GEA and Cote d'Ivoire)
		Component 4: Organizational Support		Category 2
		Component 5: Expansion of Functions of the Single Window		Category 2
		Component 6: Reference to Existing Standards		Category 2
		Component 7: Employing the leading Technologies		Category 2
		Component 8: Maintaining working-level communication		Category 2
		Component 9: To strengthen capacity building		Category 2
		Component 10: To promote the data exchange of cross-border trade		Category 2
		Component 11: To achieve the connectivity among the national Single Windows of Members		Category 2
		Component 12: Supplementing		Category 5

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
		Standard 7.1 of the General Annex Component 13: Amend the RKC		Category 7 (Noting that this Component could be merged with other relevant Components)
30. Time Release Study	Joint Proposal No. 30: Establishment and publication of average release times (TRS)	Component 1: Joint TRS	Given the importance of improving clearance times, it is necessary to stipulate in the RKC the need to conduct regular evaluation of clearance procedures in order to achieve efficiency through the use of the TRS or a similar tool. Only aspects of general application that describe the "how" should be incorporated in the Guidelines.	Category 7



<p>33. Relief Consignments (SA J, C5)</p>	<p>JNT No. 33 Relief consignment</p>	<p>COMPONENT 1: Clarifying the Scope of application</p>	<p>Given the relevance of this concept in the light of the COVID-19 crisis, it is necessary to cover Components 1, 2 and 3, and part of Component 4, in Specific Annex J5 to the RKC. This will lead to greater clarity and predictability in the clearance of Customs relief consignments. For Components 1 and 4, a comprehensive comparative analysis of the proposed and existing definitions needs to be conducted before inclusion of the revised definition in the RKC. Component 4 on the RKC Guidelines should be dealt with under the RKC Guidelines pending a decision on where to deal with Components 5 and 6.</p>	<p>Category 1</p>
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Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
		COMPONENT 2: Expanded list of legal facilities		Category 1
		COMPONENT 3: New definition of relief consignments		Category 1
		COMPONENT 4: Updating the Guidelines		Category 2
		COMPONENT 5: States to develop and disseminate lists of required goods		Category 2
		COMPONENT 6: Resumption of trade (Merged from No. 141 (EC))		Category 5 Already covered: Trade Recovery Guidelines



Concept		Proposal	Component	Conclusions of Step 2 Assessment	
				Findings in PO0150EAE1a	Agreed category
34. Postal Traffic (SA J/Chapter 2)		Postal Traffic (SA J/Chapter 2) Proposal No.: No. 100 (UY): Postal Traffic – (AU to capture relevant elements of this Proposal in No. 101) No. 101 (AU): Revision of Postal Traffic, EX Input No. 38 (EEC): International postal items and designated postal operator	Component 1a: Electronic Advance Data and risk management	In the light of the above assessments and the relevance of the proposals to the COVID-19 pandemic, Component 1 could be incorporated into Chapter 2 of Specific Annex J to the RKC.	Category 7
			Component 1b: Cooperation (partnership) between the designated postal operators and Customs		

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
35. Express/Expedited shipment	No.102 (PH): Online Commerce, No.103 Commerce (AU): E-Commerce principles and standards, V.4.5 (VWG2015): Expedited/Express Shipments, No. 136 (CN): Cross-Border E-Commerce Data Model	Component 2: Customs clearance: Customs declaring and payment of Customs duties and taxes  Component 1: Specific principles and standards relevant to cross-border e-commerce	As for Component 2, the various roles and responsibility of postal operators could be discussed in the light of Members' experiences and the current practice of express carriers with a view to considering the possibility of including such details in the RKC or the RKC Guidelines.	Category 7
		Component 2: Reference Datasets for cross-border E-Commerce	The principle of facilitation and Simplification of Procedures based on IRG could be incorporated into the RKC. The RKC Guidelines could include the main principles of E-Commerce FoS, in particular: AED from e-commerce stakeholders; Revenue Collection models; and expanding AEO to e-commerce stakeholders at this moment, with the aim of future foreseen inclusion in the RKC.	Category 7
			It would be appropriate to include a reference on the Reference Datasets in the RKC Guidelines.	Category 2 (Taking note of the EU's preference for cat. 5)

Concept		Proposal	Component	Conclusions of Step 2 Assessment	
				Findings in PO0150EAE1a	Agreed category
37. Temporary Admission (Specific Annex G)			Component 3: WCO Data Model (DM) Information Package for Reference Datasets for cross-border E-Commerce	Introducing WCO Data Model Information Package on the Reference Datasets, can be proposed and discussed during periodical review to be conducted in the PTC and also send the request to the WCO Data Model Project Team accordingly.	Category 3 and 4  (Refer the component to the PTC and Data Model Projects Team)
		JNT No. 37 "Container" definition and related Customs treatment	COMPONENT 1: Objective 1: Provide clear and accessible definition of container	With regard to Component 1, it could be beneficial to have a definition of "container" and "accessories" in the RKC in alignment with the definition contained in the Istanbul Convention and the Recommendation on CSDs.	Category 1
			COMPONENT 2: Objective 2: Ensure transparency and streamlined Customs treatment of container	With regard to Component 2, it should be noted that (1) a new definition of containers based on Component 1 and (2) a clarification of means of transport based on Component 2 could result in two different procedures for the treatment of containers and two duplicate definitions applicable to containers. It should therefore be carefully discussed as to whether	Category 2

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
			<p>such amendments are necessary and essential and whether they will only serve to complicate the issue further. It should be noted that, from a practical perspective, the relevant RKC Guidelines should at least provide some clarification with regard to RP 7 in SA J3.</p>	
		<p>Component 3: Aligning the definition of transport-unit in Specific Annex E, Chapter 1 Definitions</p>	<p>As for Component 3, if the definition of the term “container” is to be incorporated into the RKC, then it may not be necessary to include a separate reference to “accessories” in the definition of “transport-unit”. In view of the proposal to align the RKC with the Istanbul Convention, it is suggested that, for this purpose, the RKC/MC should collaborate closely with the ATA/Istanbul Administrative Committee.</p>	<p>Category 2</p>





Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
OTHERS	General principles (Education and Science)	Component: Add Standard 1.4	Some of the initiatives, especially those related to capacity building, education, research and the sharing of experiences, are covered in the aforementioned tools and frameworks, such as the PICARD Conference. Since the main purpose of the Convention is to achieve a high degree of simplification and harmonization of Customs procedures and practices, the development of new tools to cover the suggested initiatives may be preferable.	Category 5
	Transport and handling of goods for examination and sampling	Component 1: Transport and handling of goods for examination and sampling (GA)	With regard to the transportation of goods for examination and any related costs, it would be necessary first to consider the possibility of various national practices before introducing an obligation in the GA for the declarant to arrange and bear the costs of such transportation. Sampling of goods may occur for various purposes before or after the lodgement of the goods declaration at the initiative of either the declarant or Customs. Where samples are drawn for Customs purposes, it may be necessary to articulate the principle of transportation and handling of goods	Category 1

Concept	Proposal	Component	Conclusions of Step 2 Assessment	
			Findings in PO0150EAE1a	Agreed category
			<p>for Customs examination and sampling. Given the universal nature of the subject of this proposal, this proposal may be accommodated in the GA3. NB. If differences in national practices emerge during the future steps, this may be accommodated in the SA A, Chapter one as an RP.</p>	

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