

Multicountry Observational Study Mission on Agricultural Innovation in Japan to Increase Productivity Organized by APO

# **Role of Plant Factory with Artificial Lighting (PFAL) for sustainable society**

**June 7, 2016**

**Kashiwa-no-ha Campus, Chiba University**

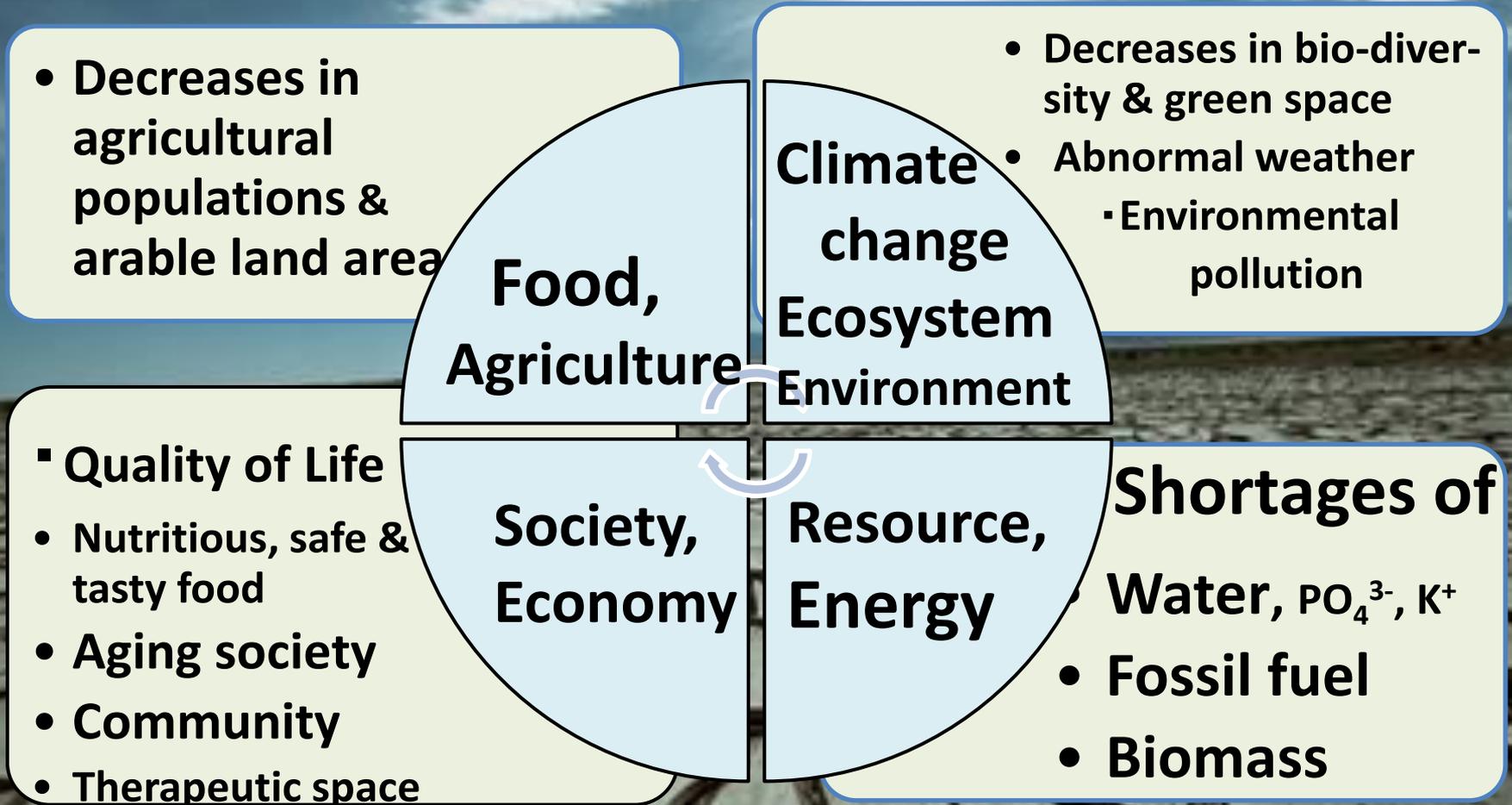
Toyoki Kozai

Japan Plant Factory Association

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- Introduction
- Why fresh food production in urban areas?
- Current status
- Methodology and concept
- Advantages and disadvantages
- Recent trends
- Improvements
- Next generation PFAL
- Conclusion

# Four Inter-related global as well as local issues to be solved **concurrently**



# Our Mission is:

to develop economically feasible plant production systems which achieve:  
a maximum production of highest quality plants with minimum yield variation, using minimum amounts of resources, leading to highest resource use efficiencies, minimum costs and pollutant emission, for human welfare and global as well as local sustainability.

# Why fresh foods in urban areas using PFALs?

- The population in urban areas will keep increasing, and will reach 70% of world population in 2050.
- The population in agricultural areas will keep decreasing, with aged farmers/growers.
- We need to produce and deliver foods with less water, less fossil fuel and less fertilizer.
- Also, we need to reduce the resources to process the foods after harvest, if fresh foods are tasty and good for our health, and environmentally friendly.

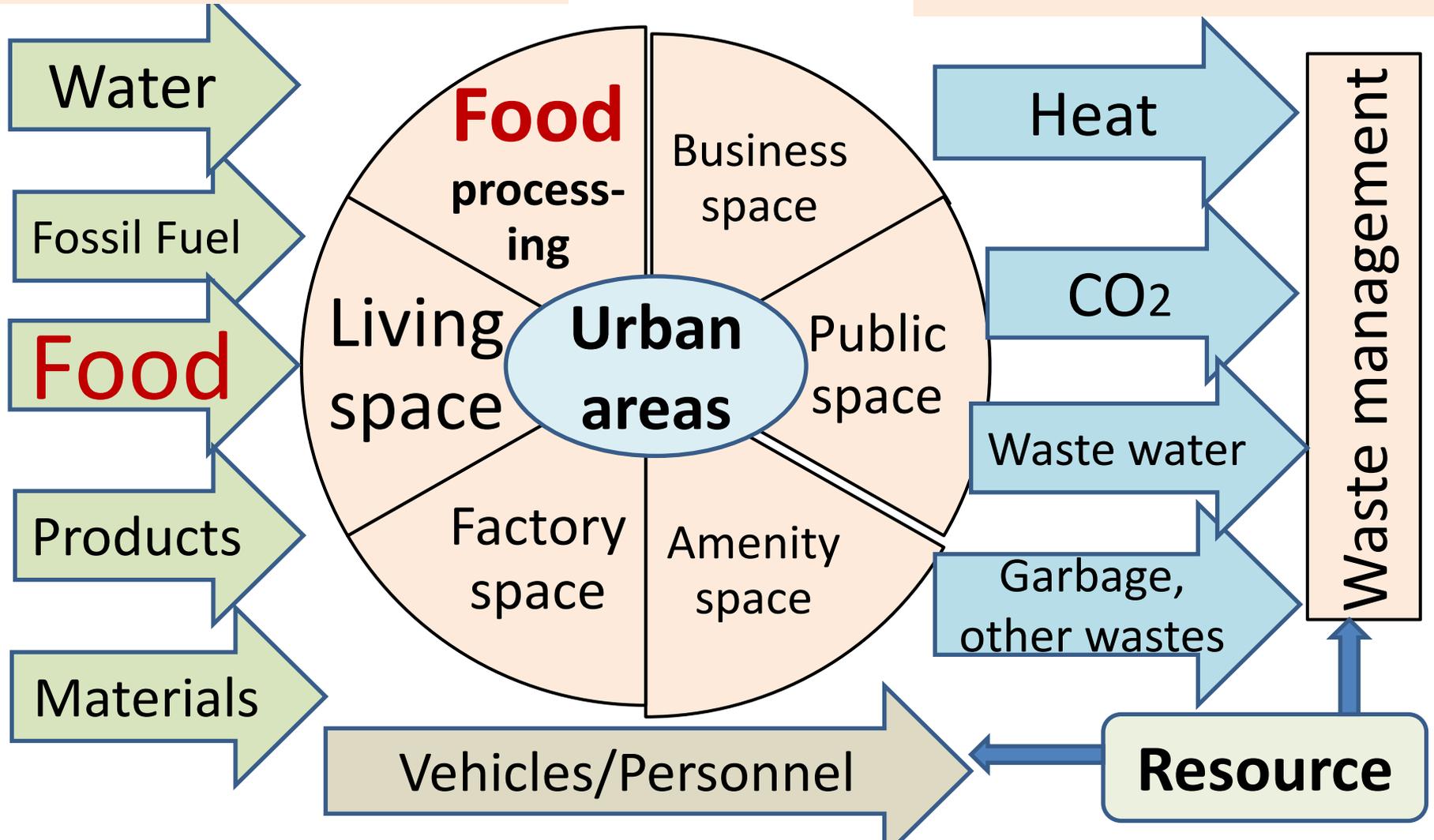
# By producing fresh foods in urban areas:

- Much fossil fuels for the transportation and refrigeration are saved, with less traffic and less CO<sub>2</sub> emission. Traffic jams and accidents are reduced.
- Job opportunities are created, and vacant spaces can be used. Local production for local consumption is achieved,
- Citizens can enjoy 'fresh' foods, and growing them.
- Wastes (waste water, garbage, CO<sub>2</sub> etc.) can be used as essential resources (water, fertilizer, CO<sub>2</sub>, etc.) for plant production.
- Nighttime (surplus) electricity can be used for lighting and air conditioning.

# Resource in-flows and waste out-flows in urban areas

## Resource in-flows

## Waste out-flows



# Components of Urban agriculture

## Urban Agriculture

**Plant factory with artificial lighting (PFAL)**

Greenhouse with/without supplemental artificial lighting (including rooftop one)

Protected cultivation (mulched, netted screen, tunnel)

Open fields (including roof top gardens)

# Current status of PFALs

# A PFAL with LEDs lighting, Mirai Co. Ltd.



Annual production capacity  
2,500 lettuce heads/m<sup>2</sup>  
Sales: 2,500 US\$/m<sup>2</sup>

The PFAL with LEDs in Japan by Mirai Co. Ltd.

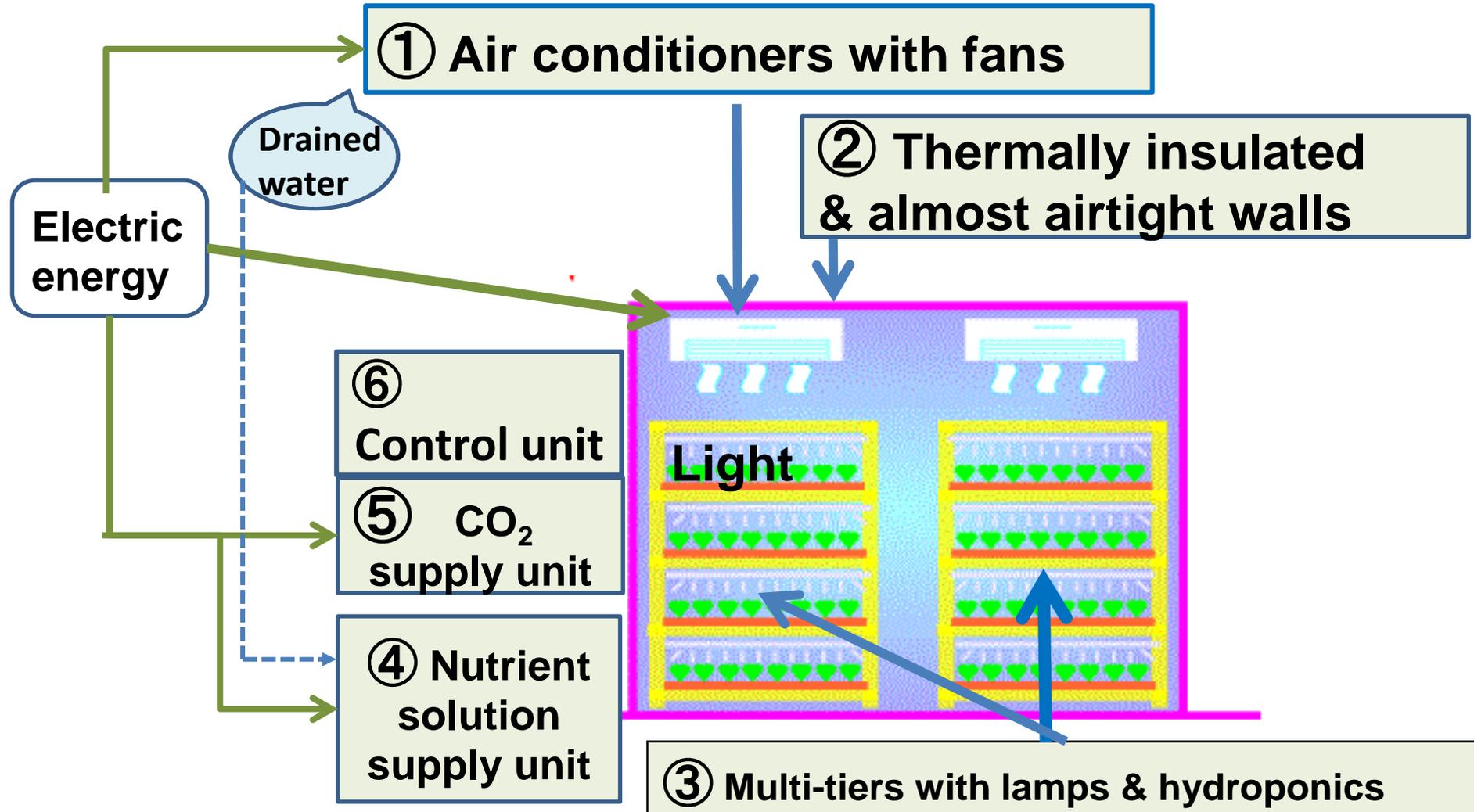
The largest PFAL in Japan built in 2006,  
producing 23,000 leaf greens daily



Spread Co. Ltd. Kyoto, Japan

# Six main components of PFAL as CPPS

Initial cost: 3,000 US\$/m<sup>2</sup> in Japan (50% for facilities)



# Leafy vegetables/herbs currently produced commercially in PFAL



Leaf lettuce



Frill lettuce



Green Mustard

Grow under low PPFD,  
Short in height,  
High price per weight,  
High % of salable portion,  
High planting density,  
Added value for  
pesticide- free,



Rocket (*Eruca sativa*)



Sweet Basil



Brassica rapa L.  
Japonica (mizuna)

Price (Euro) per kg  
Leaf lettuce 7  
Basil 20  
Coriander 35  
Peppermint 60  
spearmint 60

Low potassium leaf lettuce, rocket (*Eruca sativa*), watercress, parsley 30 Euro

# Whole plants of root vegetables and medicinal plants are edible/salable when grown in PFALs



Turnip



Radish



*Angelica acutiloba*



*Panax ginseng*



Carrot

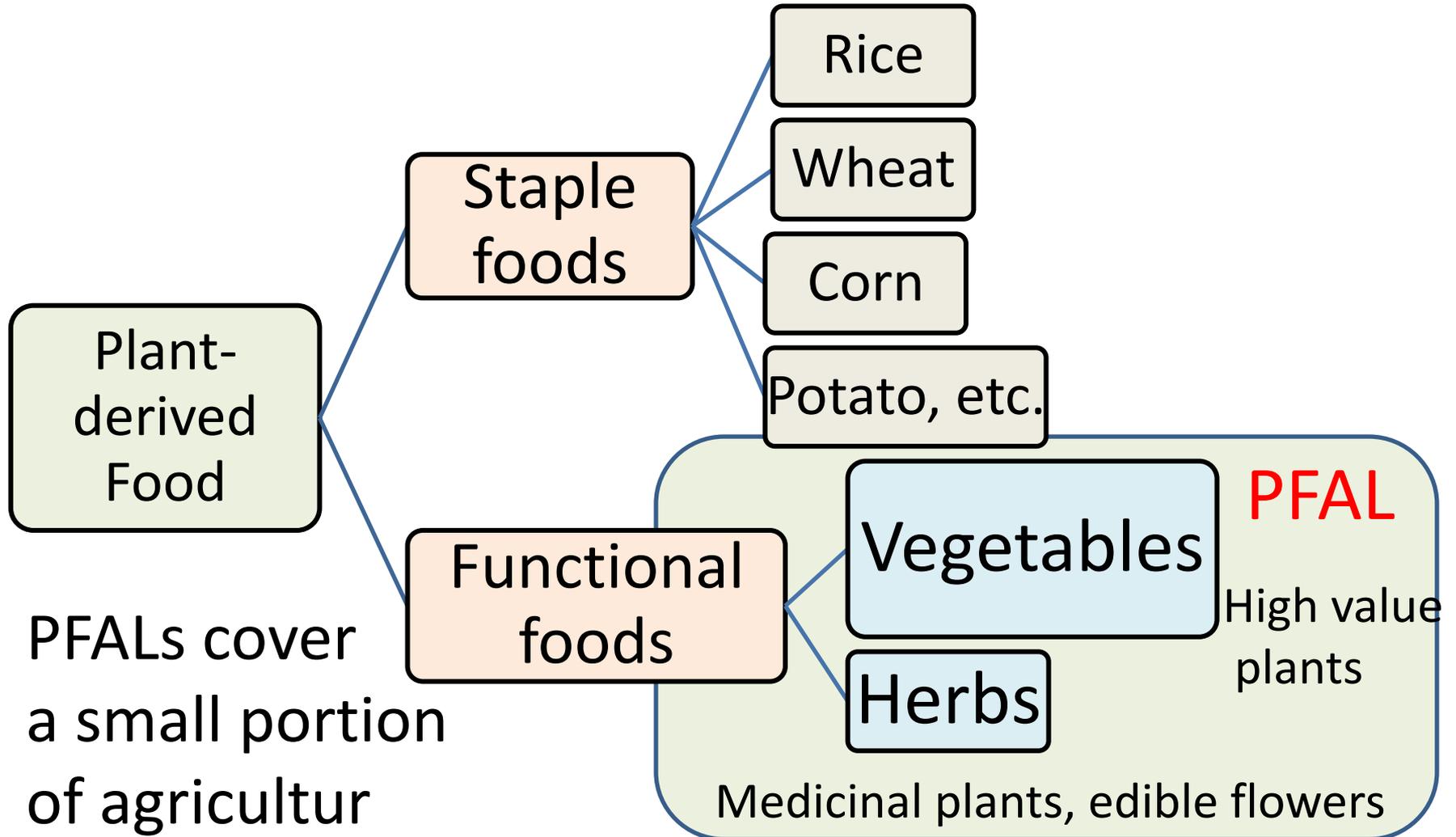


Leaves, petioles and roots of Wasabi

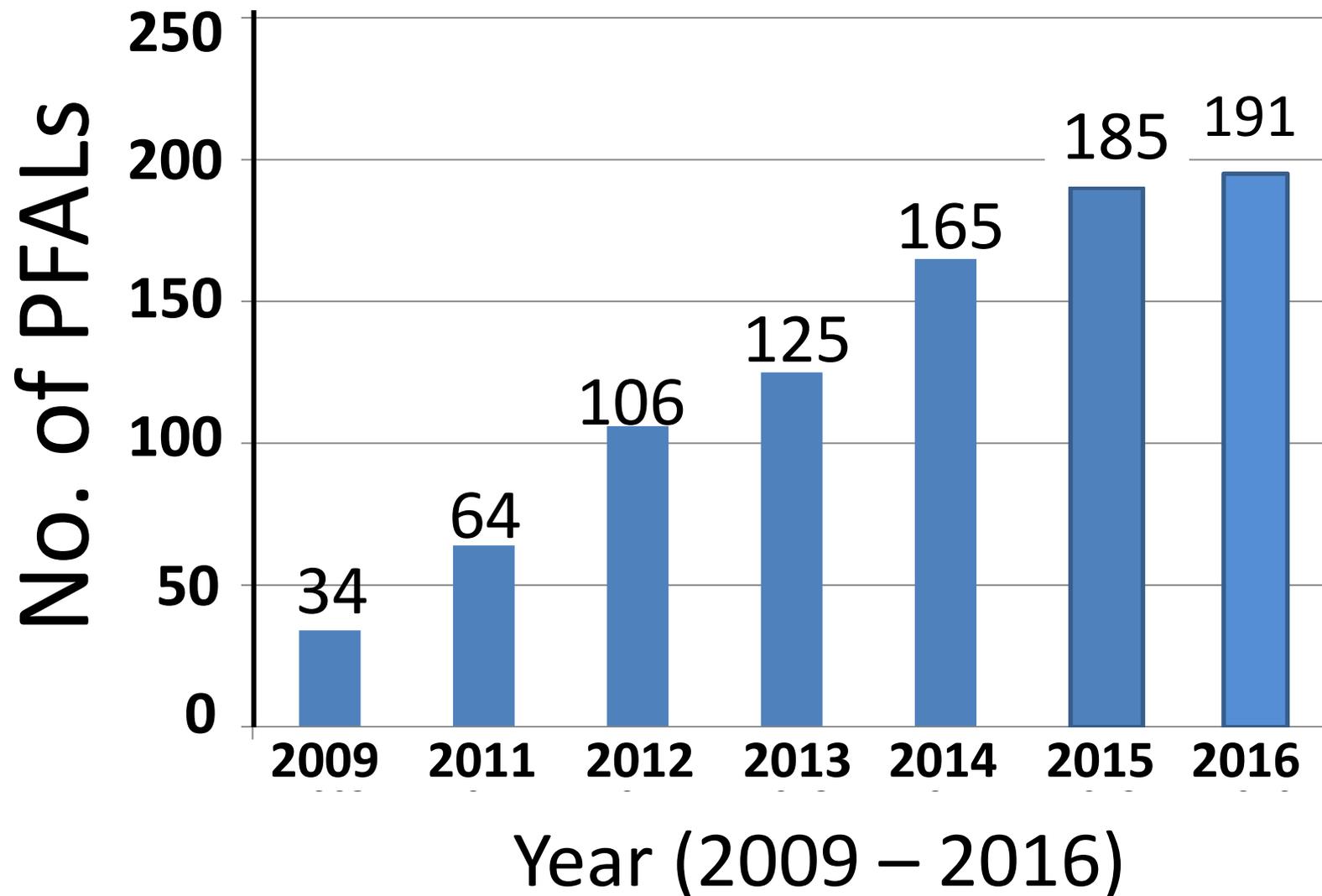


Both shoots with leaves and roots are edible, tasty and nutritious

# Plants which suited to commercial production using PFAL



# The number of PFALs in Japan by year



# Initial & production costs by components

Initial cost: 3,000 US\$/m<sup>2</sup> in Japan (50% for facilities, 50% for building)

Consumables,  
Packing, shipping,  
transportation 12%

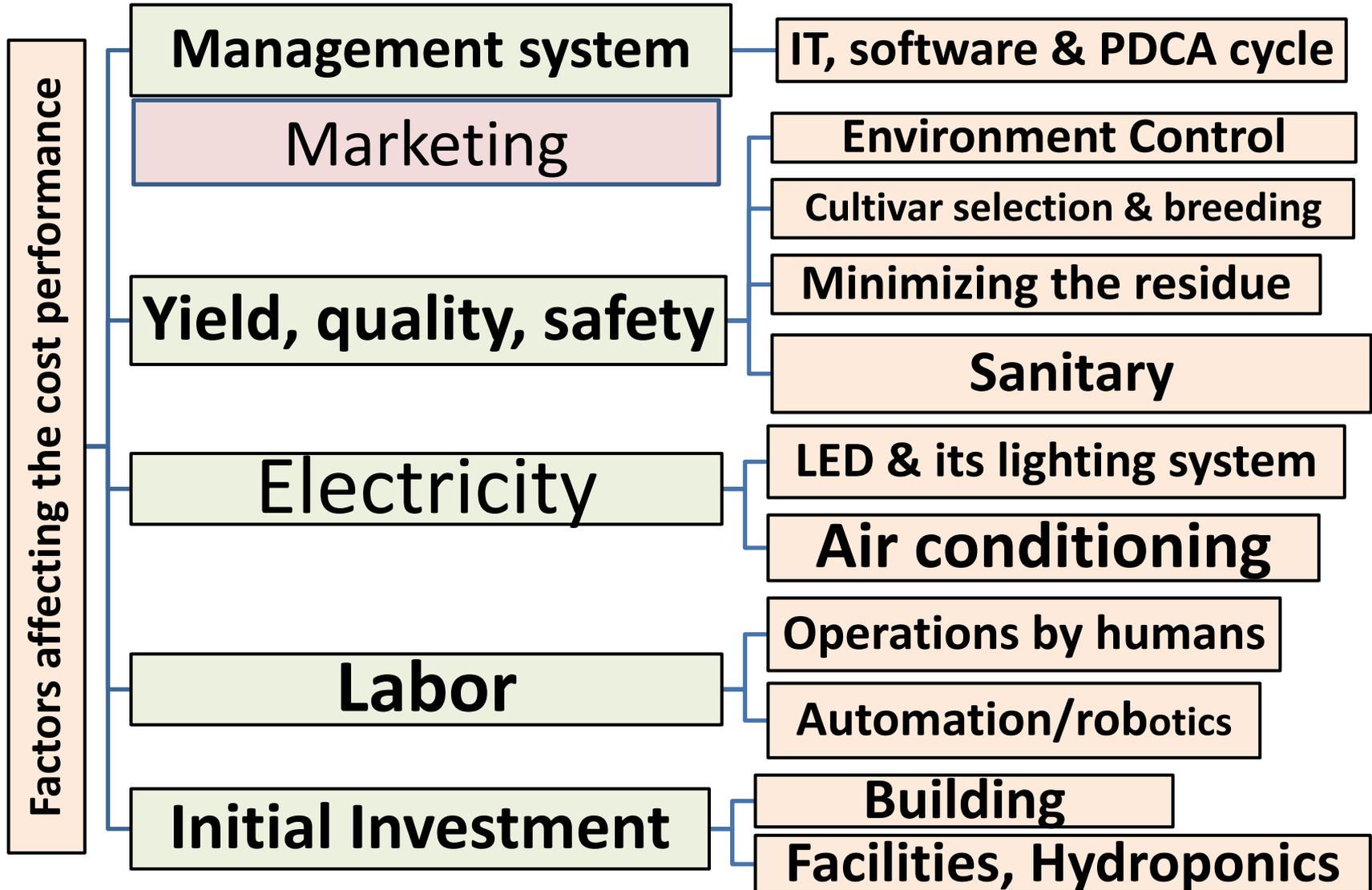
Depreciation  
23%

Electricity  
28%

Labor  
26%

Consumables 3%, Seeds 2%, Repair 2%  
Supplies 1%, Water 1%, Land rental 1%,  
Miscellaneous 1%, Land rental 1%

# Factors affecting the cost performance of current PFALs



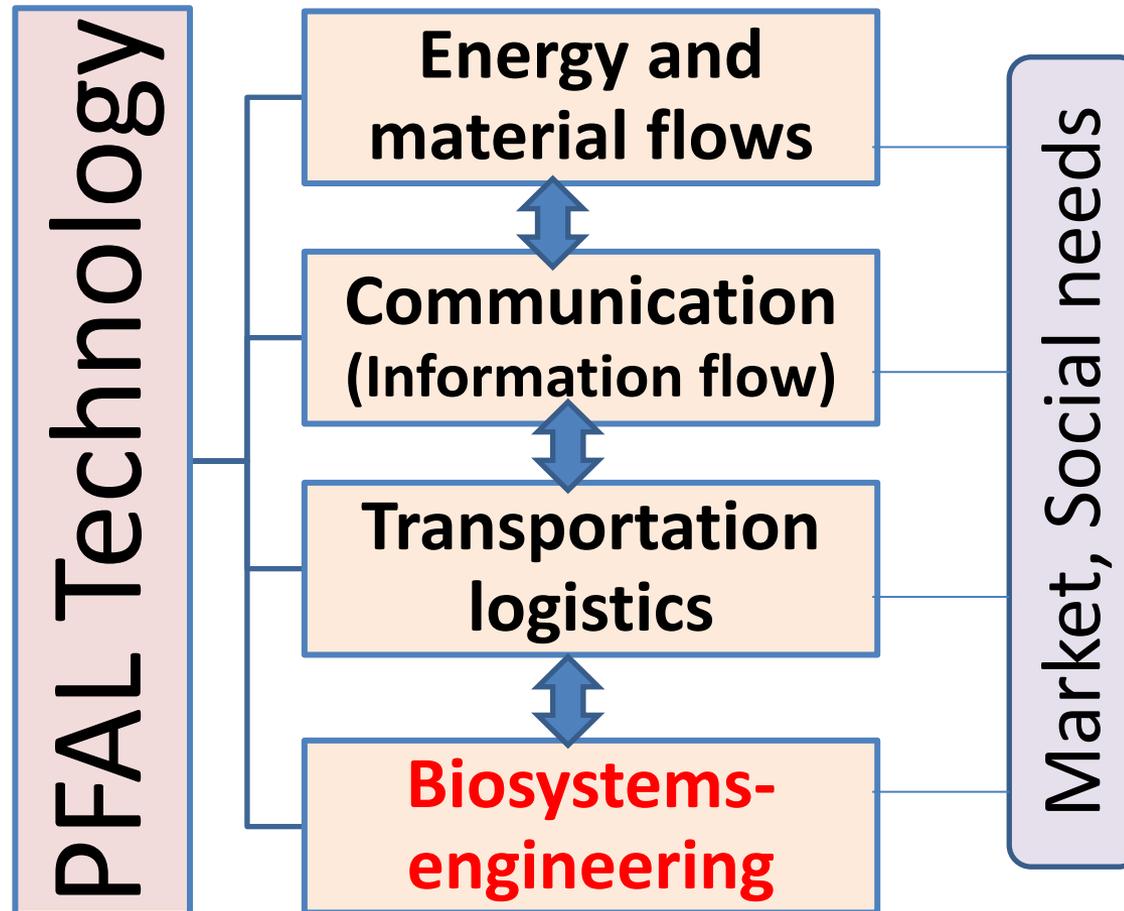
# Is PFAL business profitable in Japan?

- **Currently, 30% of PFAKs only is making profits, 50% is break-even, and 20% is loosing money.**
- **It should be noted, however, the economic profitability will be much higher in 2020-2025 than in 2016.**

# PFAL business can be profitable only when the PFAL is:

- Well designed,
- Operated by a well-educated, well-trained high-skill manager, with respect to managements of environment, workers and production process
- Almost all produce can be sold because of its quality and delivery as scheduled under reasonable marketing.

# Components of PFAL Technology



# Strength of PFALs (1)

Resource consumptions in the PFAL are reduced considerably, compared with those in the greenhouse

- Pesticide by 100% (clean room),
- Water by 95% (recycling),
- Land area by over 90% (multi-tiers, etc.),
- Fertilizer by 50% (no drainage),
- Labor hours by 50% (small area, etc.),
- Plant residue by 50% (Environ. Control)
- Variations of yield & quality by 50% (CPPS)

## Strength of PFAL (2)

- Clean, so that no need to wash before eating fresh
- Longer duration of life due to very low population of microorganisms on leaves
- Plant growth & quality of produce are not affected by weather, soil fertility, pest insects.
- Comfortable working condition,
- Taste, nutrition, flavor & mouth feeling can be modified by environment control.
- Production cost of leaf vegetables can be competitive in price against imported ones
- Almost perfect traceability from seeding to harvesting.

# Estimated relative annual productivity of PFAL by its components, compared with those in the open field.

N <sub>o.</sub>	Magnification by PFAL compared with the open fields	Component Factor	Multiplied Factor
1	15-fold by use of 10 tiers	15	15
2	2-fold by shortening the culture period by means of optimal environment control	2	30
3	2-fold by transplanting seedlings one day after harvest all year round assuring no time loss	2-3	60-90
4	1.5-fold by increased planting density per cultivation area	1.5	90-135
5	1.5-fold per cropping by no damage due to abnormal weather & outbreak of pest insects	1.5	<b>135-202</b>
6	1.3-fold sales price due to improved quality and less loss of produce after harvest	1.3	175-263

Research on quality of vegetables has been conducted intensively from different aspects

# Quality

**Safety**  
(traceability)

Pesticide-free

Low CFU

Long lifetime

No foreign matters

**Functions**

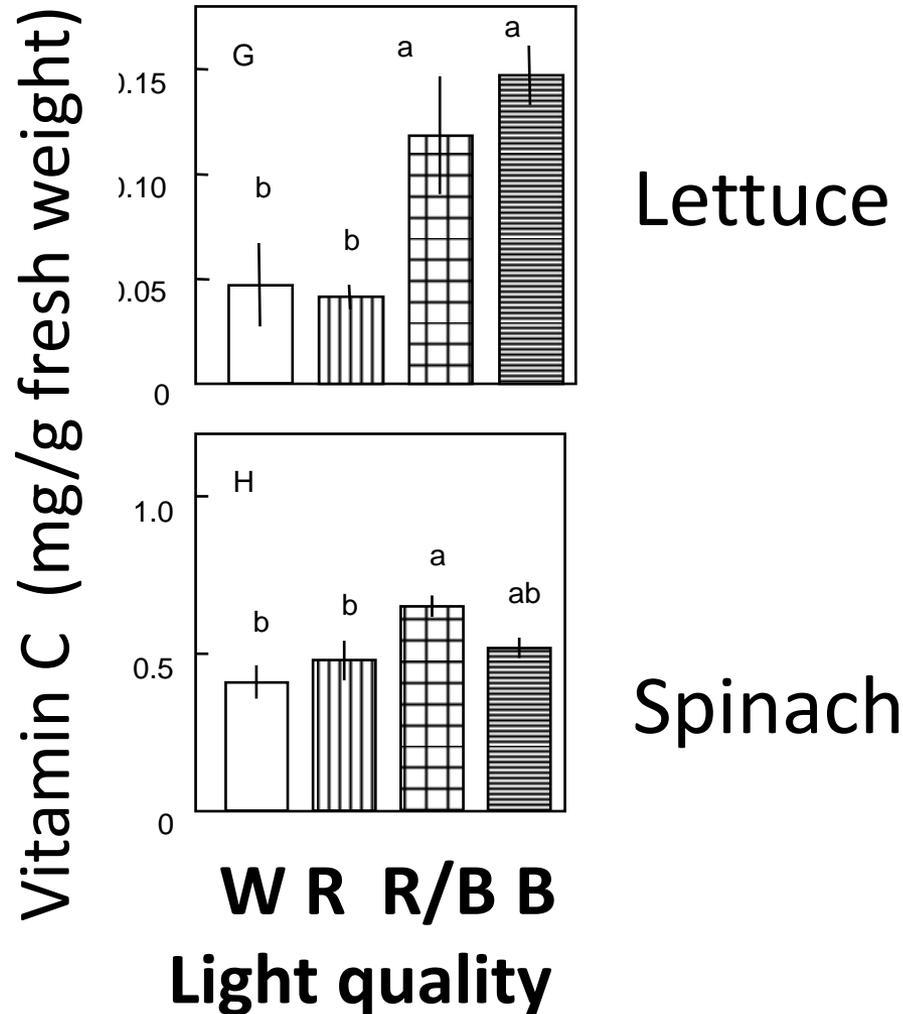
Minerals, essential oils

Antioxidants (ORAC value),  
Vitamins, Carotenoids

**Delicious**  
-ness

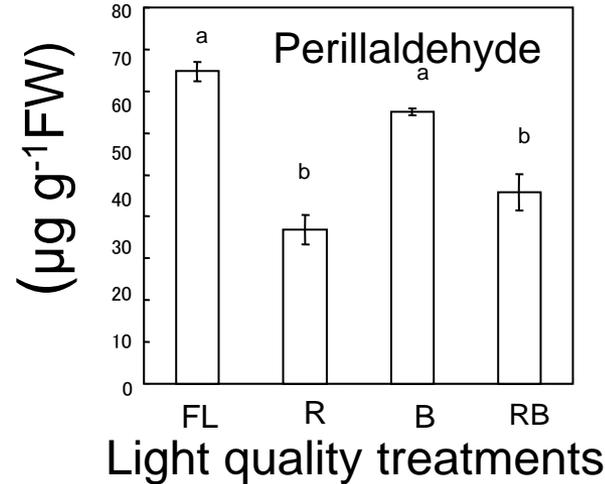
Taste, mouth feeling, color,  
texture, shape, freshness

# Vitamin C (L-ascorbic acid) in leaves as affected by light quality (white, red, red/blue and blue) (Ohashi-Kaneko, 2015)

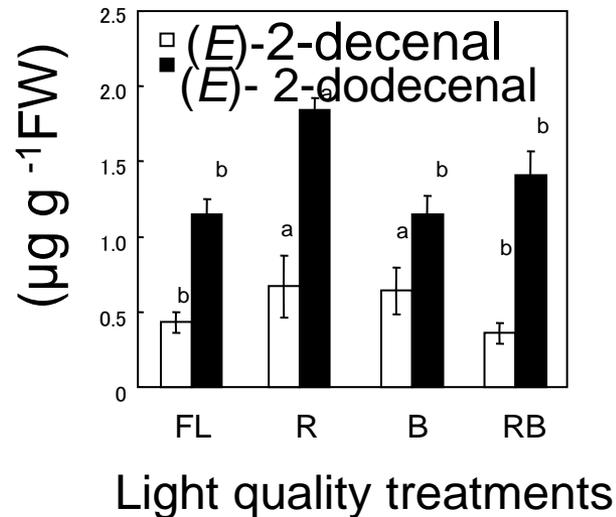


# Essential oil concentration in herbs (Perilla and Coriander) as affected by light quality (Ohashi-Kaneko, 2015)

## Perilla



## Coriander



An ongoing social experiment in Kashiwa-no-ha  
**smart city with urban agriculture,**  
in corporation with Chiba University and  
Japan Plant Factory Association

Towards changes in life style and  
social infrastructure  
for sustainable societies

# A smart city with urban agriculture

Kashiwa-no-ha, the suburb of Tokyo

Organic Community Garden & Restaurant

Rooftop Farm

PFAL Vegetables in Supermarket

Bee Culture Garden

Small PFAL in Shopping Center

Our Campus

PFAL

PFALs

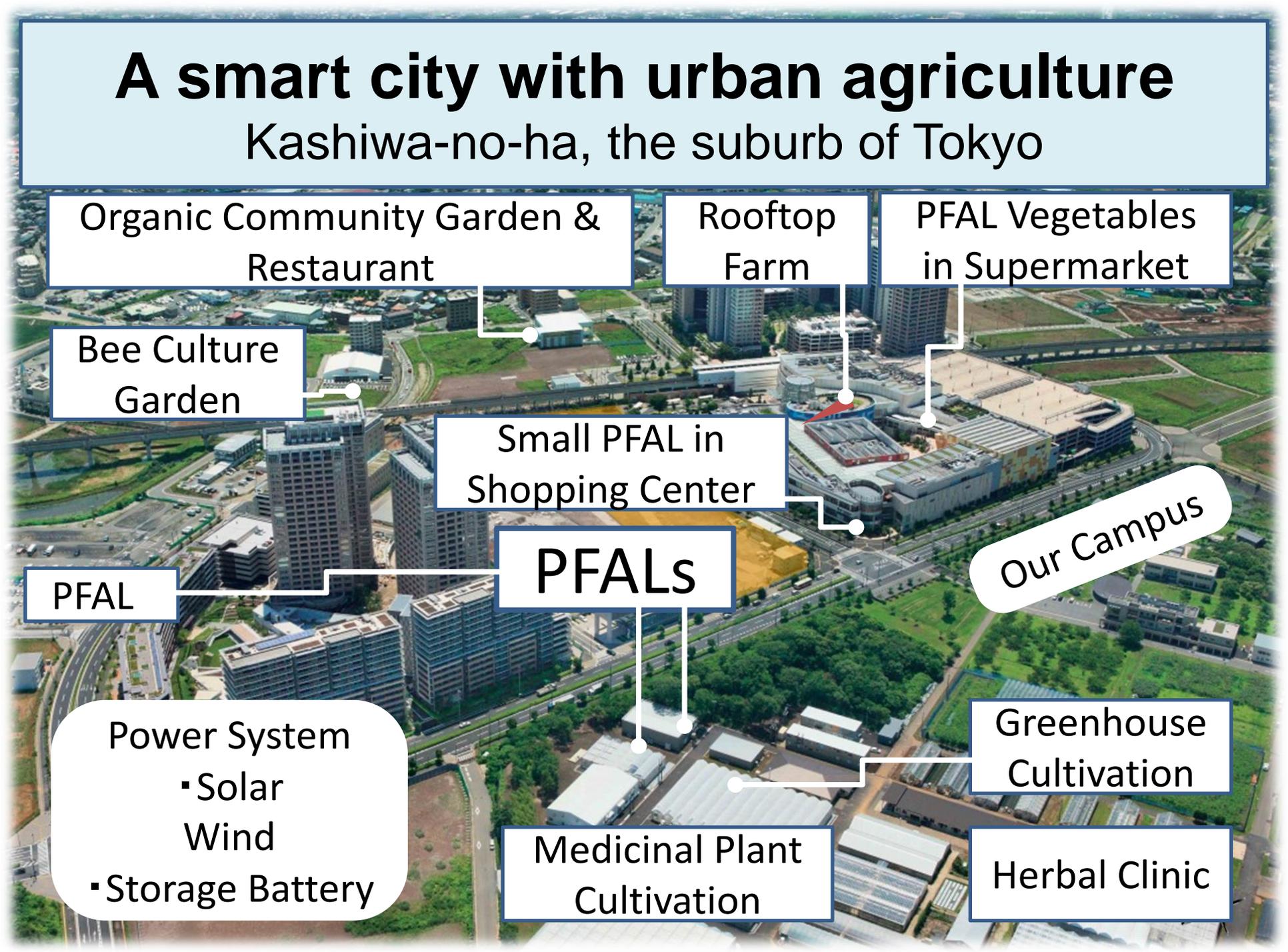
Power System

- Solar
- Wind
- Storage Battery

Greenhouse Cultivation

Medicinal Plant Cultivation

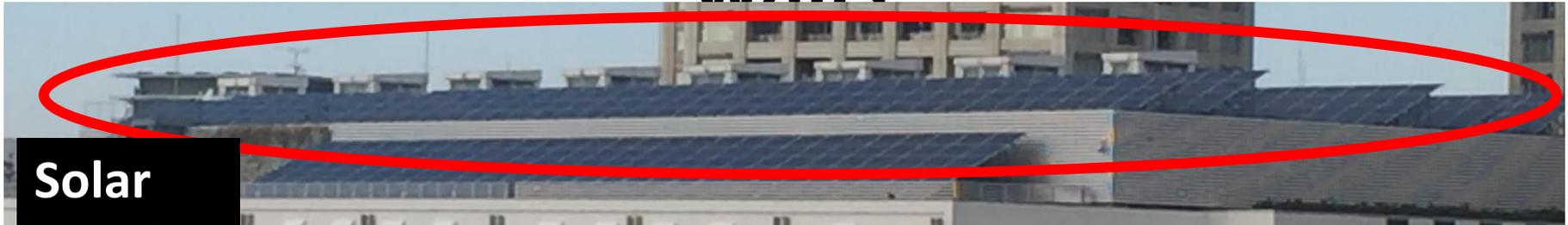
Herbal Clinic



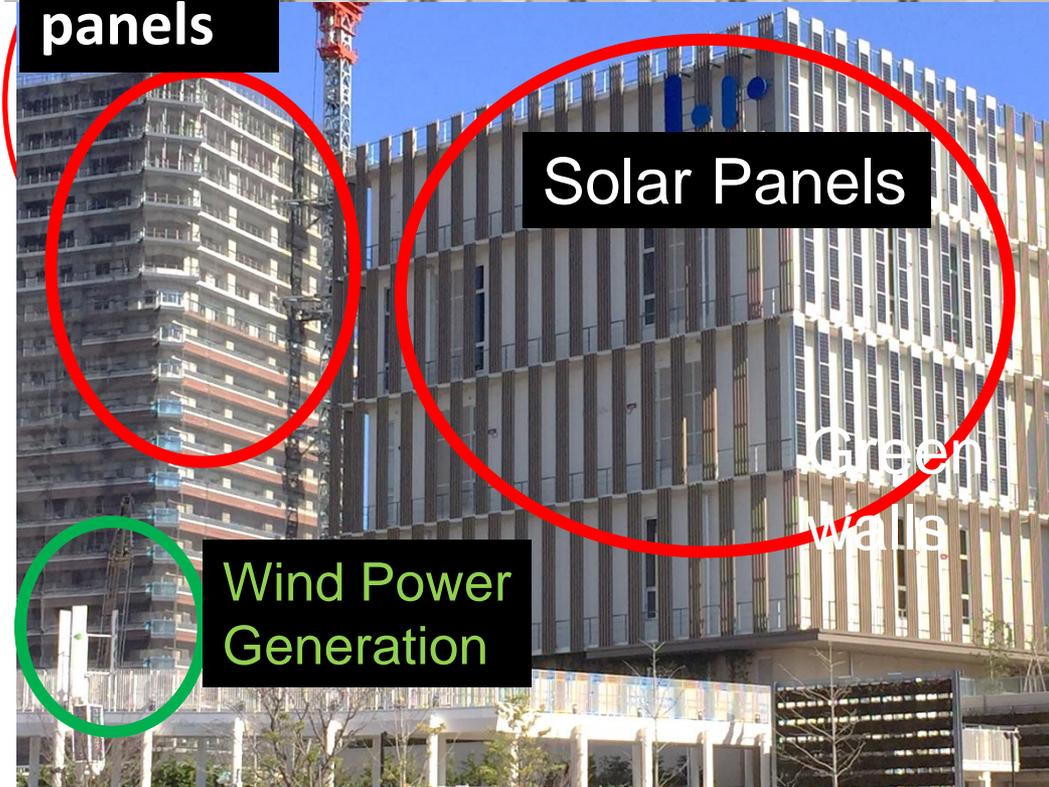
# Smart city with urban agriculture

- Rooftop farm, organic city farm, organic restaurant
- Mini PFALs at the shopping center, food shop, café restaurant, hotel restaurant,
- PFALs of Mirai, Japan Dome house and Wago
- Household PFAL
- Greenhouses at Chiba Univ.,
- Solar panel & wind power generation, Batteries
- Oriental medicine clinic, acupuncture clinic, herb garden
- Honey bee house

# Smart Building with solar panels, wind power generation, and green walls



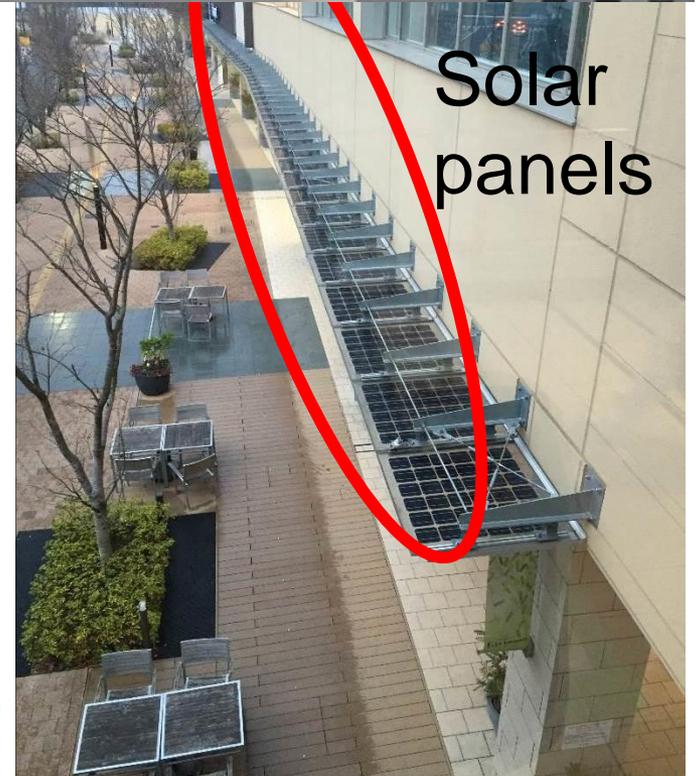
Solar panels



Solar Panels

Green walls

Wind Power Generation



Solar panels

# PFALs in Kashiwa-no-ha town of different sizes for different purposes



10,000 heads/d



3,000 heads/d



700 heads/d



CPPS for Seedling  
Production



Hotel  
Restaurant



Home-use

Since 2014  
1,400 m<sup>2</sup>  
11-14 layers  
10,000 heads/day

MIRAI



都市に豊かさ と潤いを  
三井不動産



# PFAL at Chiba University built in 2010.

Floor area of culture room: 338 m<sup>2</sup>, 10 tiers, 9 rows



Operated by  
Mirai Co. Inc.

Leaf lettuce

3,000 heads/day

One M heads/y

2,800 heads/m<sup>2</sup>/y

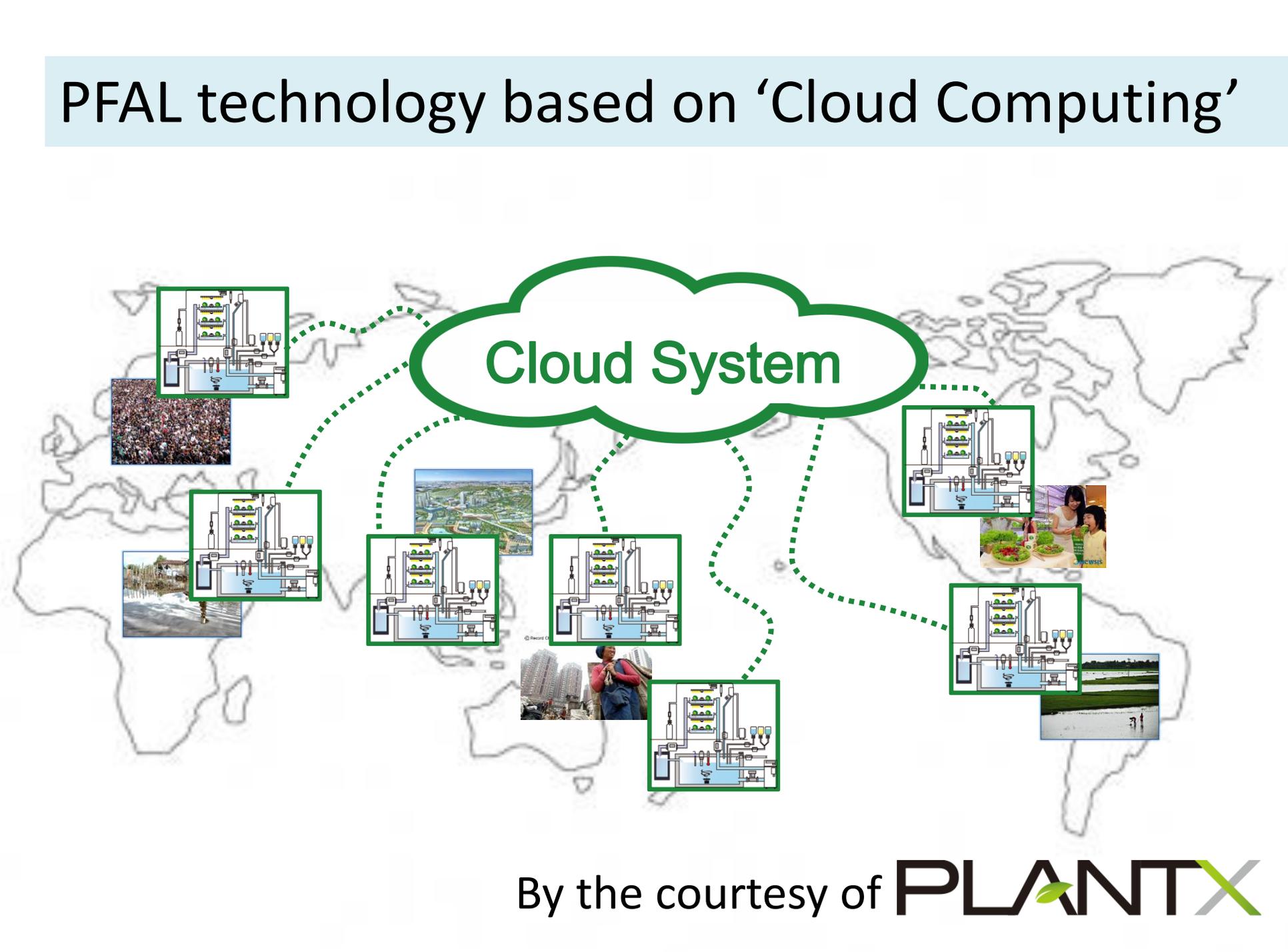
Leaf lettuce grown in PFAL at Chiba Univ.  
produced by Mirai Co. Ltd. for sale at a supermarket



Romaine lettuce 1.4 Euro/bag (70-80 g)



# PFAL technology based on 'Cloud Computing'



Cloud System

By the courtesy of **PLANTX**

# Visualized daily report of power consumptions by components on the computer display screen for the PFAL manager as a daily report

Menu bar

Equipment type & data

Current time, date & year

Alert & Message

Percent power consumption by components



Measured numerical data

照明1	19.8kW	エアコン1	4.8kW
照明2	22.3kW	エアコン2	1.3kW
照明3	0.1kW	エアコン3	4.3kW
照明4	22.9kW	エアコン4	5.8kW
照明5	22.9kW	エアコン5	5.8kW
照明6	20.7kW	エアコン6	5.0kW
照明7	20.7kW	エアコン7	5.0kW
照明8	22.8kW	エアコン8	2.3kW
照明9	0.6kW	エアコン9	3.9kW
照明10	0.6kW	エアコン10	0.1kW

Current power consumption (kW)

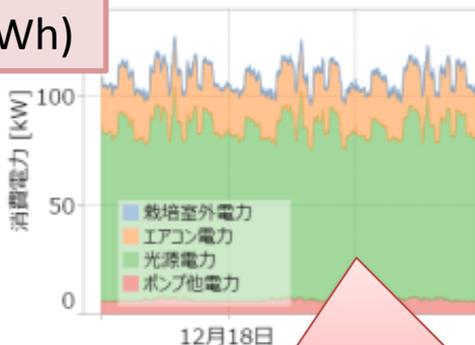
Layout of culture room

Power consumption integral (kWh)

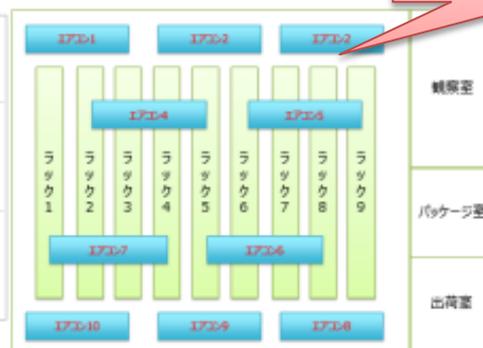
工場全体	33,699kWh
照明	26,980kWh
エアコン	6,355kWh
その他	364kWh



Predicted % power consumption this month by components



Time course of power consumption by components



SaibaiX by Plantx Inc.

# Japan Dome House, Inc., Kashiwa



# Inside View of PFAL, Japan Dome House, Kashiwa



A CPPS (closed plant production system) for production of transplants with a floor area of 16 m<sup>2</sup>. In 2014, about 300 units of CPPS are in use at 130 different locations in Japan.

Mitsubishi Chemicals Inc.

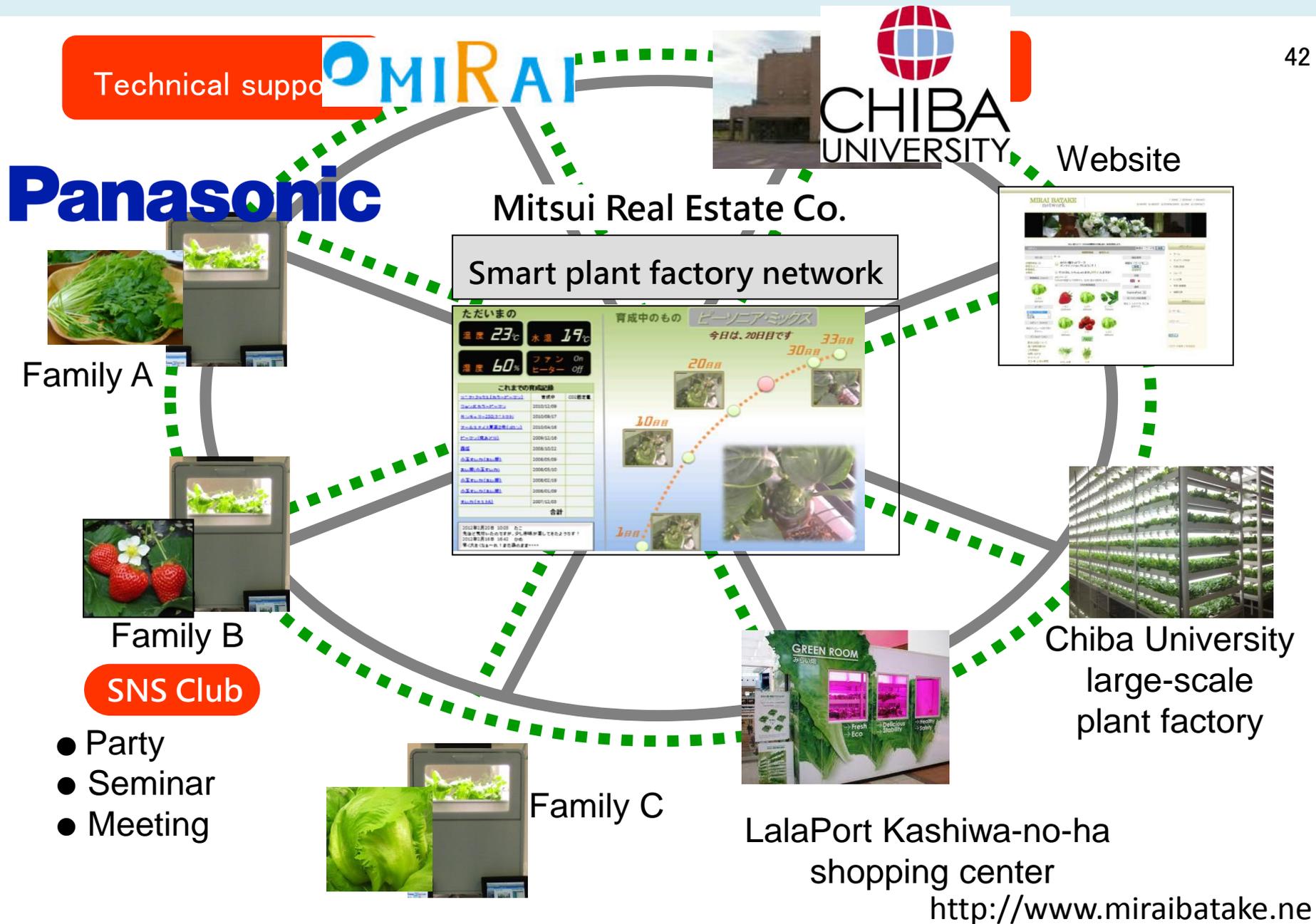
Four layers, 2 rows, holding 96 plug trays



# A household plant factory connected with Internet for SNS by Panasonic Inc.



# Household PFAL network for SNS via Internet



# Bookshelf-type PFAL at coffee shop in Kashiwa, Chiba



Café Restaurants Agora

Further applications  
with support of Japan Plant  
Factory Association and  
Chiba University

# PFAL connected with mushroom factory operated by 'Japan Dome House' in Fukushima Pref., Japan



PFAL

Medicinal  
Mushroom  
Factory



Medicinal Mushroom  
Factory



PFAL

キノコ工場内部

# In the lobby of Sakakibara Memorial Hospital



# Small PFALs for educational/self-learning purposes, connected each other via Internet with cloud computing system



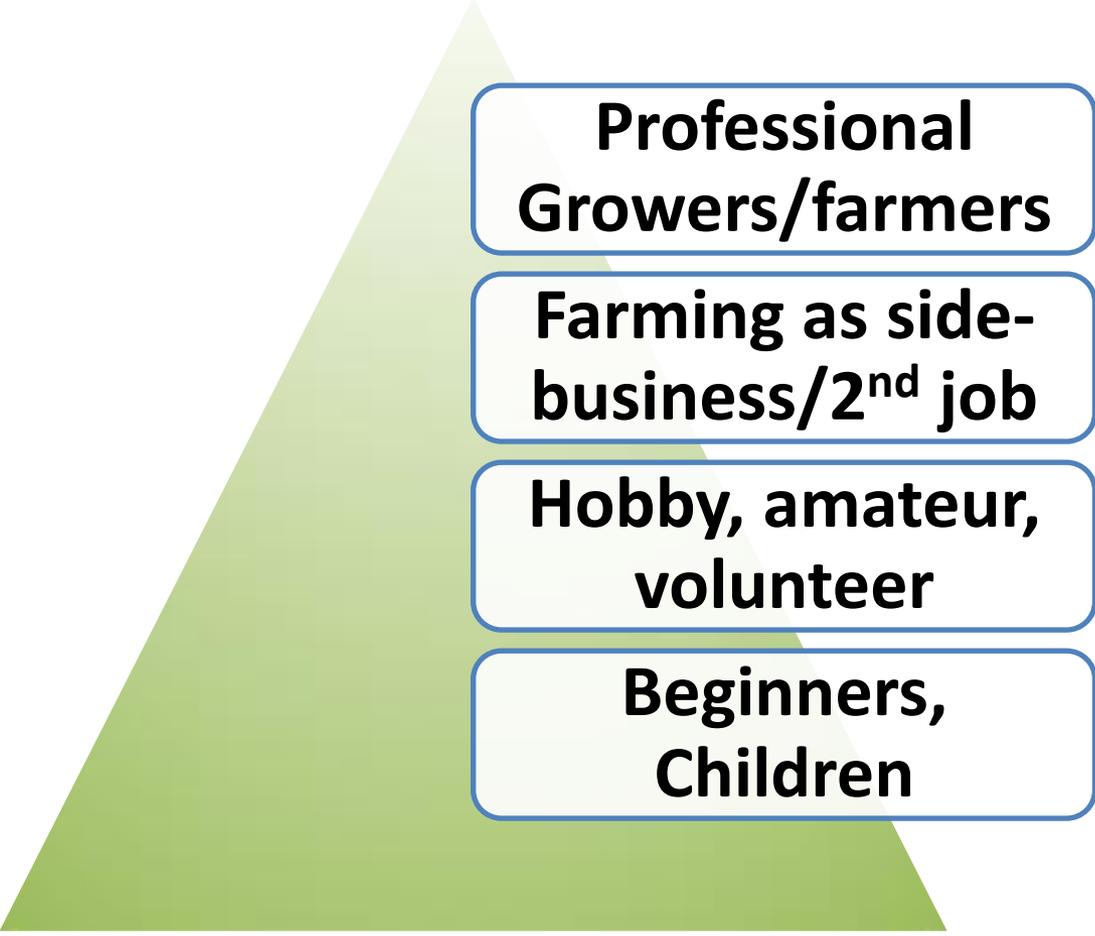
Iri'hune Junior High School, Urayasu city, Chiba, Japan  
(Panasonic)

Plant factory for  
next generation

# **PFALs for Next Generation (1)**

- **Multi-layer, ubiquitous PFALs**
- **PFALs integrated with other biological systems**
- **Autonomous PFALs with use of natural energy in local, and/or agricultural areas**
- **Breeding specifically for PFALs with molecular biology**
- **LCA (life cycle assessment)**
- **Integrative bionic sustainable smart city**

# Multi-layer PFAL users



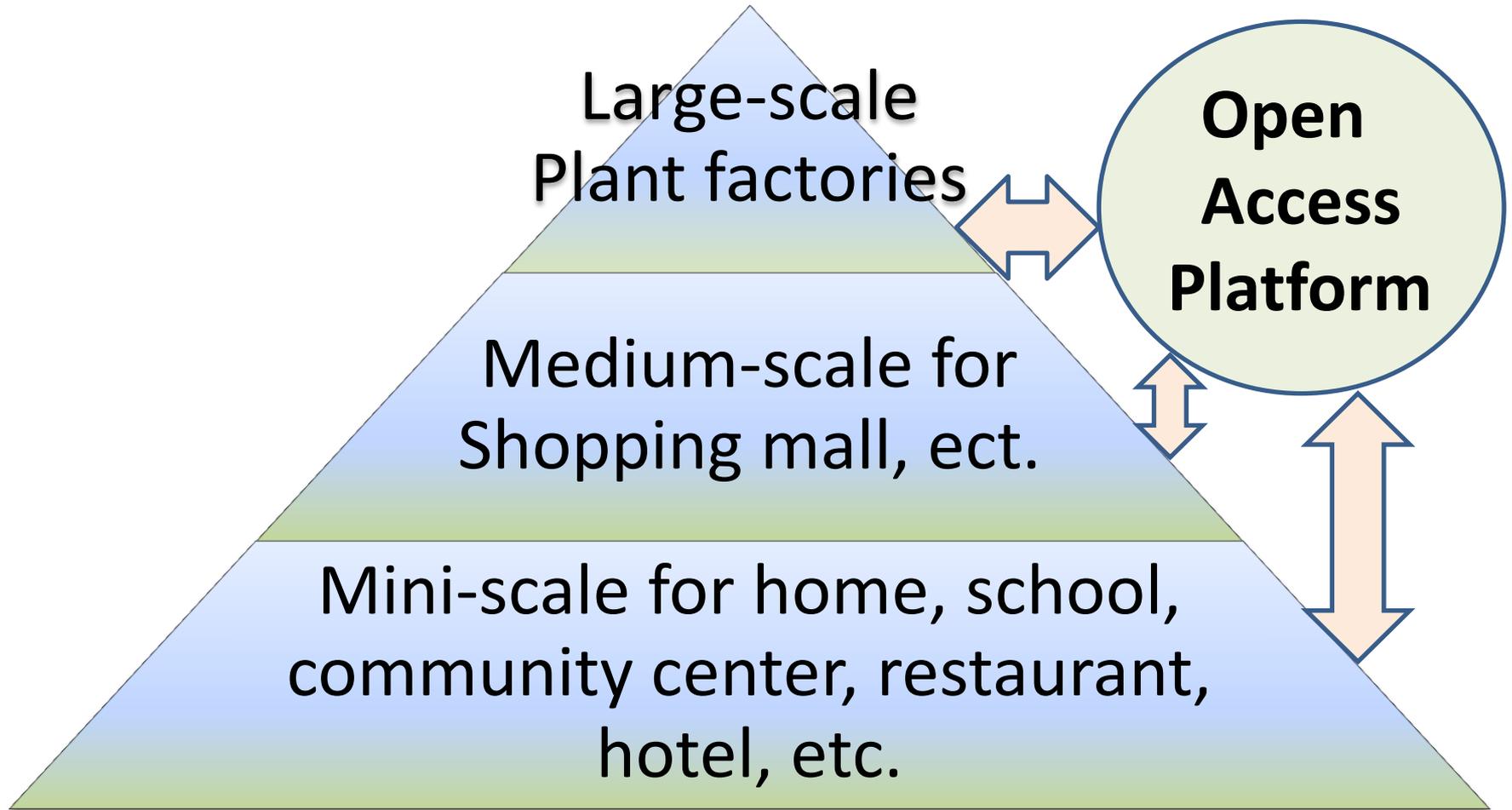
**Professional  
Growers/farmers**

**Farming as side-  
business/2<sup>nd</sup> job**

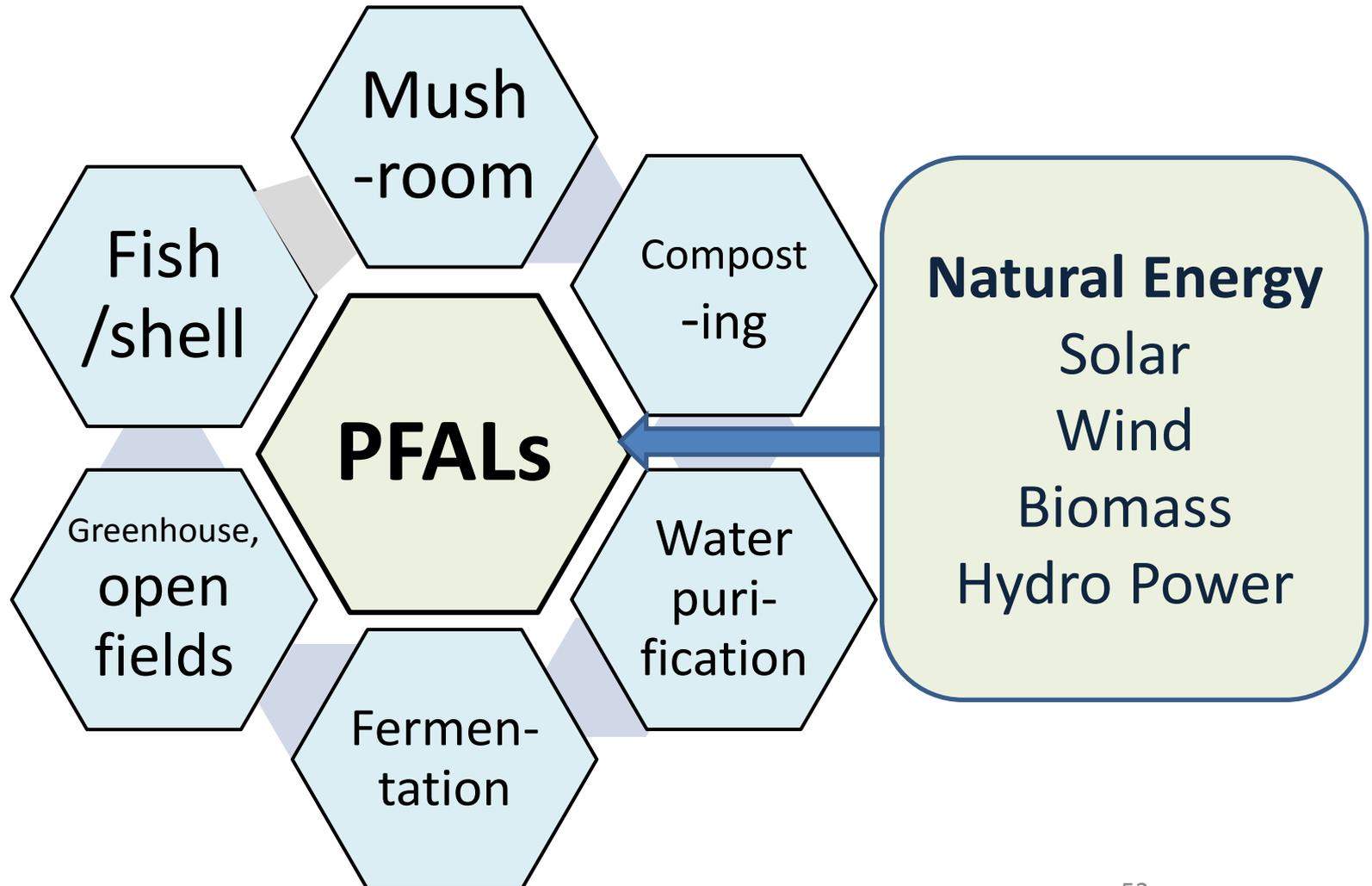
**Hobby, amateur,  
volunteer**

**Beginners,  
Children**

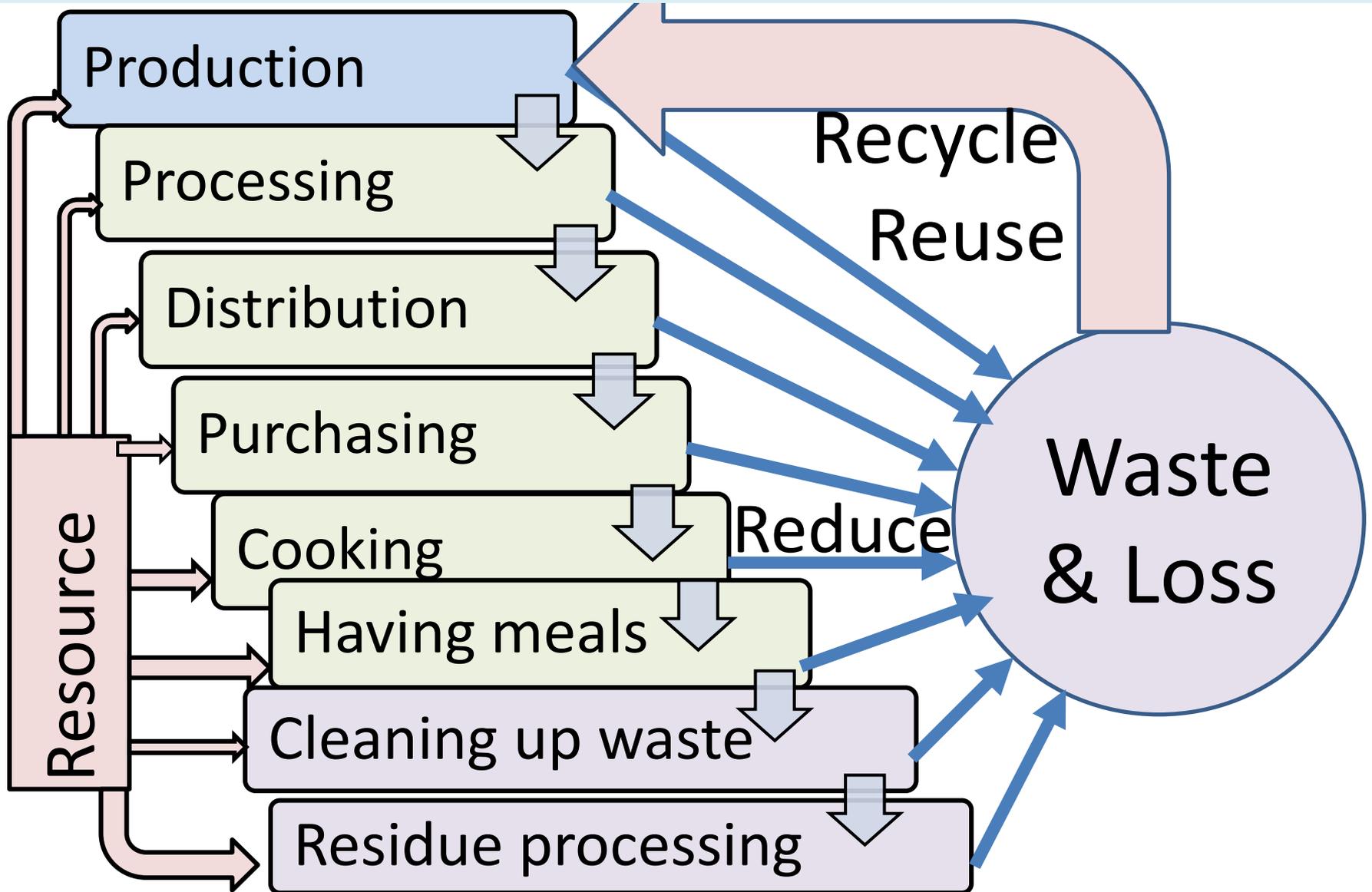
# Distributed (Ubiquitous) network of PFALs

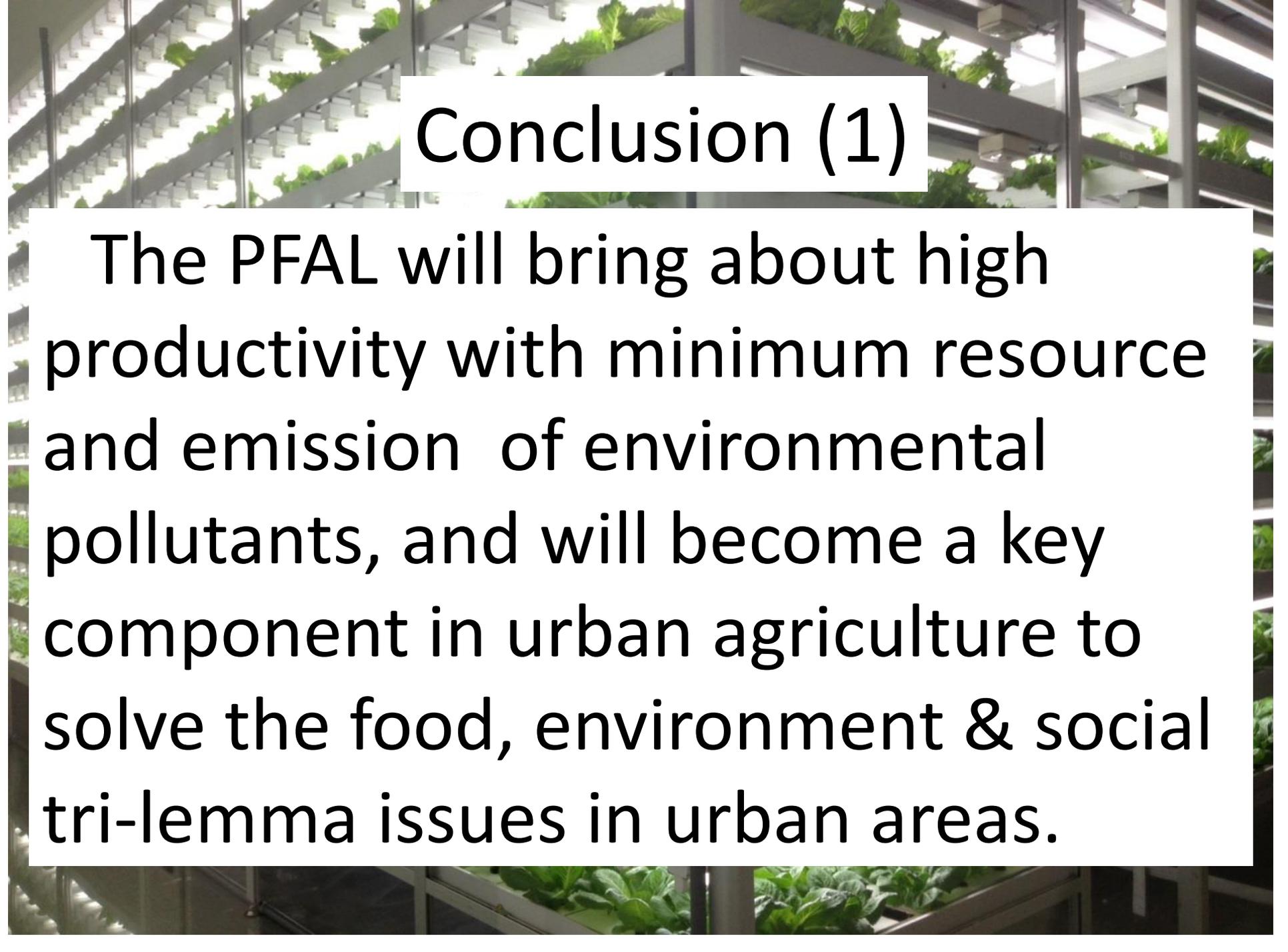


# PLALS integrated with other biological systems for sustainable fresh food production in urban areas



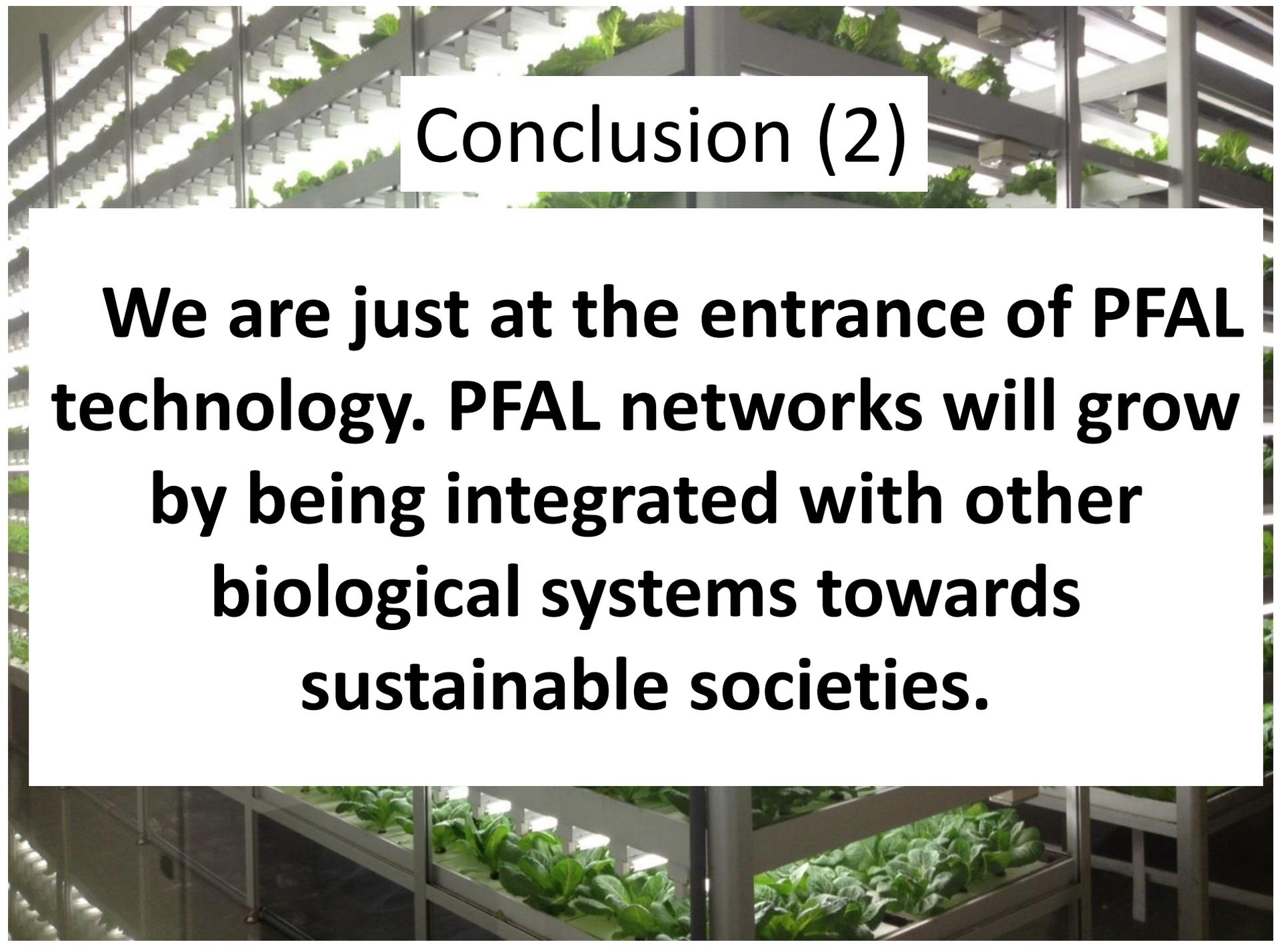
# Resource inputs and reduce, reuse & recycling of wastes, and minimizing losses in a food chain



The background of the slide is a photograph of a modern building's exterior. The building features a prominent vertical garden facade, with multiple levels of balconies or terraces. Each level is filled with lush green plants, likely herbs or leafy vegetables, growing in a structured, grid-like arrangement. The building's architecture is characterized by dark, metallic-looking frames and large glass panels. The overall scene is brightly lit, suggesting a sunny day. The text is overlaid on this background, with the title in a white box and the main text in a larger white box below it.

## Conclusion (1)

The PFAL will bring about high productivity with minimum resource and emission of environmental pollutants, and will become a key component in urban agriculture to solve the food, environment & social tri-lemma issues in urban areas.



## Conclusion (2)

**We are just at the entrance of PFAL technology. PFAL networks will grow by being integrated with other biological systems towards sustainable societies.**

# Improvements of PFALs

# How can we overcome the weakness of PFAL

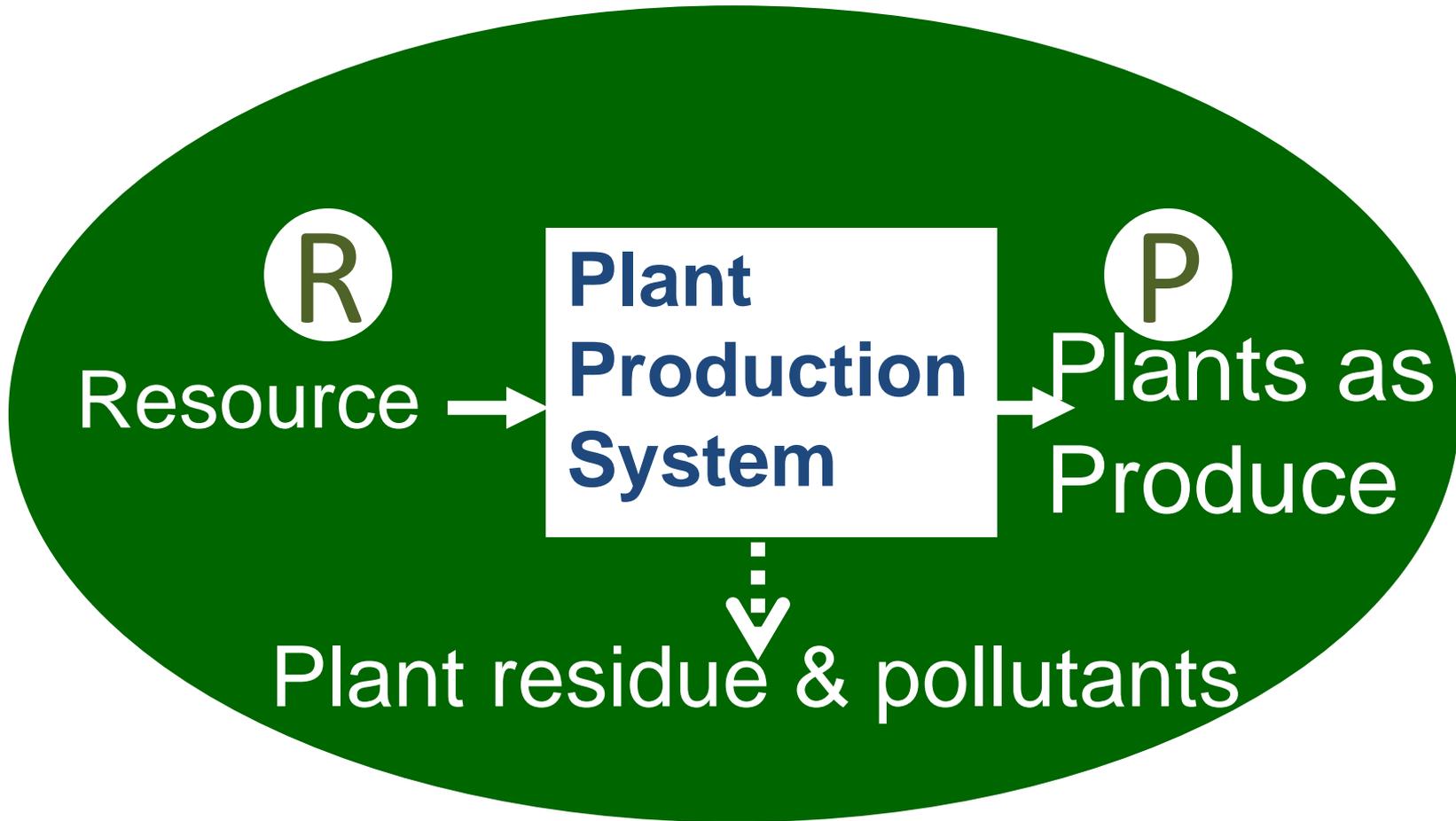
- **High initial (building and facilities) costs**
  - **Improve the cultivation system and its components**
- **Environmental control & its visualization and analysis**
  - **Reduce electricity cost**
  - **Increase the plant growth rate**
  - **Increase the economic value of produce**
  - **Increase salable or edible portions of plants**
- **Human operations**
  - **Automation and improvements of production process**
  - **Computer software development, IT, AI and IoT**
  - **Human resource development**
- **Marketing, market creation, new life style creasiton**

# Methodology for improving resource use efficiency and cost performance in PFALs

- **resource use efficiency (RUE)**
- **cost performance (CP)**
  - **economic value per weight**
  - **salable portion of plants**

# Resource Use Efficiency (RUE) = P/R

Higher the RUE, higher the economic feasibility & sustainability



**Resources:** water, CO<sub>2</sub>, fertilizer, light energy, seeds, labor etc.

# Key indices (RUE and CP) and concept (CPPS)

- **RUE (Resource Use Efficiency)**  
Resource fixed by produce/Resource supplied
- **CP (Cost Performance)**  
Economic value produced/cost of resource
- **CV (Coefficient of variation)**  
Standard variation of production /Its average
- **CPPS (Closed Plant Production System)**  
PFAL is Perfect CPPS when  $RUE=1$

# Breakdown of RUE

- 1) Water use efficiency (WUE)
- 2) CO<sub>2</sub> use efficiency (CUE)
- 3) Light energy use efficiency (LUE)
- 4) Electric energy use efficiency (EUE)
- 5) Fertilizer use efficiency (FUE)
- 6) Seed/transplant use efficiency (SUE)
- 7) Coefficient of performance of heat pumps (COP)

# WUE, CUE and LUE are significantly greater in PFAL than in the greenhouse

Use Efficiency	PFAL	Greenhouse
<b>WUE (water)</b>	0.96	0.02-0.03
<b>CUE (CO<sub>2</sub>)</b>	0.88	0.4-0.6
<b>LUE (light energy)</b>	0.027	0.017
<b>EUE (electricity)</b>	0.007	-----

Ohyama et al. (2002; 2005; 2006); Yokoi et al. (2005)

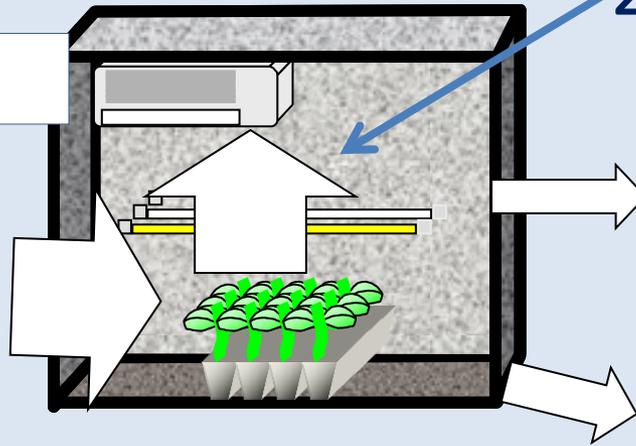
# Water use efficiency (WUE)

$$\frac{\text{Irrigated} - \text{Ventilated}}{\text{Irrigated}} = \frac{2100 - 58}{2100} = 0.97$$

Dehumidified by air conditioners while cooling

2,000 kg  
for re-use

*Irrigated:*  
2,100 kg



Evapotranspired  
2,058 kg

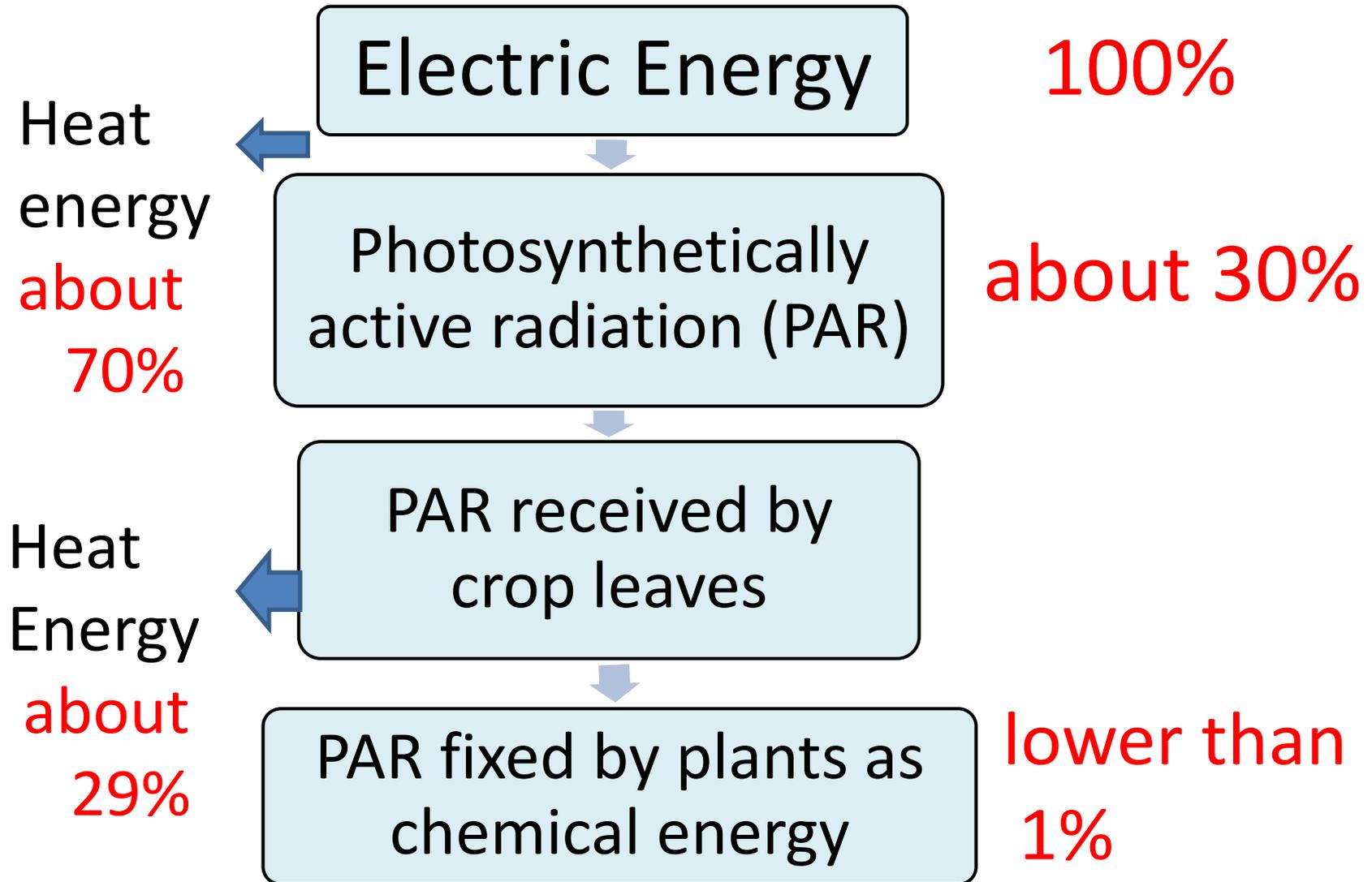
*Increase in plants and  
substrate: 42 kg*

*Ventilated: 58 kg*

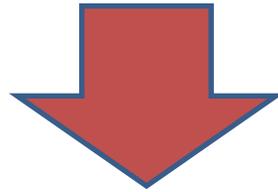
If dehumidified water is not used, the WUE is 0.02

( $= (2100 - 58 - 2000) / 2100 \Rightarrow$  the water needed for irrigation in the CPPS is 1/48 ( $= 2/97$ ) of that in the greenhouse.

# Light Energy Use Efficiency(LUE) can be more than doubled in PFAL



**Current PFAL consumes much electricity for lighting and cooling**



**Light source, lighting and culture systems, and new cultivar (by breeding) need to be newly developed. High value crops needed.**