

出國報告（出國類別：研究）

中、新生代雙孔類爬行動物化石群
演化、古生態與古環境研究（II）

服務機關：國立自然科學博物館

姓名職稱：程延年 研究員

單希瑛 助理研究員

派赴國家：中國大陸

出國期間：2014/04/14~2014/04/28

報告日期：2014/05/19

— 摘要 —

本次係執行教育部核定本館 (103) 年度<<非營業特種基金 — (二) 社教機構作業基金部份>> 編號第 11 號之計畫。赴大陸地區進行一系列、延續性，經過審慎、精心設計之野外地層剖面量測、脊椎動物化石及伴生無脊椎化石與古植物化石之標定、採集；與室內進行關鍵且重要、豐富化石群標本之仔細量測、檢視、修復，特徵之定碼 (coding)，以及伴隨該項動物群化石產生之重要論文初稿，經共同作者進行修訂。並且進行下一年度計畫路線之規劃，以及針對以往 (含本次) 研究工作進行嚴謹之檢討，作為爾後工作之指準。

由計畫主持人與共同主持人，二人前往執行。並且會同團隊合作研究人員，分別進行各階段不同剖面、個別化石群之探究。包括了中科院古脊椎動物與古人類研究所 (北京, IVPP)、貴州地質探勘院 (貴州興義)、北京大學地質研究所、雲南楚雄州川街恐龍研究中心等，多位研究人員與協力技術人員協同野外採集工作。

— 目次 —

摘要.....	00
目的.....	01
過程.....	02
心得與建議.....	05
附錄一 路線圖.....	07
附錄二 三疊紀海生爬行動物建構略圖...	08
附錄三 附圖.....	09
附錄四 近年研究成果代表.....	19

— 本文 —

目的：

2014 年 4 月 14 日至 4 月 28 日，前往大陸黔滇地區，進行移地研究計畫 <<中、新生代雙孔類爬行動物化石群演化、古生態與古環境研究>>，係執行教育部核定本館 <<非營業特種基金 —(二)社教機構作業基金部份>> 編號第 11 號之計畫。依計畫規定，赴雲南、貴州，人數 2 人，天數 15 天，預算金額 120 千元而進行。

目的地明確，係一系列、延續性，經過審慎、精心設計之野外地層剖面量測、脊椎動物化石及伴生無脊椎化石與古植物化石之標定、採集；與室內進行關鍵且重要、豐富化石群標本之仔細量測、檢視、修復，特徵之定碼 (coding)，以及伴隨該項動物群化石產生之重要論文初稿，經共同作者進行修訂。並且進行下一年度計畫路線之規劃，以及針對以往 (含本次) 研究工作進行嚴謹之檢討，作為爾後工作之指準。

累積多年來計畫所獲致成果堪稱豐碩 (如附件，請參見論文初稿)。具體而言，其目的有下列諸項：(一) 我們合作團隊想要深入探究中生代的三疊系中、晚期 (距今約 240-200 百萬年前)，在古地中海 (Paleo-Tethys) 的東緣，即位居今日黔西南與滇東南地區的古環境與古生物地理區位 (Paleo-environment and Paleo-biological niche)；(二) 我們要建構起三疊系中、晚期這整個區域之海爬行動物群的系譜關係，並且細化建立牠們精確的支序圖 (cladogram)，作為這一領域在國際間進一步研究之指準圖像；(三) 我們在系列工作中，終極目的在宏觀的重建全球中生代早期之三疊紀雙孔類爬行動物 (Diapsid Reptile)，在從陸地再次下水 (從海口相、濱海相，到封閉淺海相，乃至開闊海域相) 的關鍵演化事件中，其各個支序起源、變異、趨異多樣性、分支，形式爾後之式微與最終滅絕之斷代細節。

本次行程，依據上述目的，循例由計畫主持人與共同主持人，二人前往執行。並且會同團隊合作研究人員，分別進行各階段不同剖面、個別化石群之探究。包括了中科院古脊椎動物與古人類研究所 (北京，IVPP)、貴州地質探勘院 (貴州興義)、北京大學

地質研究所、雲南楚雄州川街恐龍研究中心等，多位研究人員與協力技術人員協同野外採集工作。本次行程，配合多邊合作之事前協調，於本年 4 月 14 日至 4 月 28 日，總計依原訂計畫 15 日（含去程與返程）完成。剩餘工作，團隊之大陸研究人員持續進行。經費則依本館核定之出差額度內支用，編列總計約新臺幣壹拾貳萬元。依國內差旅標準支付後，尚餘經費核實交回。

過程

檢附雲貴地區，研究部份區域與路程作為指引，詳述如次：

行程：依照擬訂之行程（如下表），除些微視野外工作情況調配外，均按路線與地區前往。分成兩大區塊進行。

日期	行程
第一日	臺灣－（過境香港）－昆明。去程。 租車司機接往祿豐恐龍研究中心。
第二日	工作團隊集結於祿豐。 行程確認，租車裝備、圖幅等準備事宜。
第三日	往澄江撫仙湖地區。檢視新發掘重要剖面。
第四日至第八日	野外工作於滇東南地區中、晚三疊系地層與化石群。 （經曲靖，富源地區；師宗、雨汪、羅平地區）
第九日至第十三日	野外工作於黔西南地區中、晚三疊系地層與化石群。 （越省界，烏沙剖面、興義地區；頂效、興仁地區，往北至盤縣地區）
第十四日	野外工作結束，返回祿豐恐龍研究中心，總結野外工作項目，擬定下年度行程。
第十五日	昆明－（過境香港）－臺灣。返程
以上行程，視實際情況微調更動。	

(A) 雲南（滇東南區塊）部份。第一日至第八日。

臺灣過境香港接往昆明。預先訂好租車含司機接機後，經高速路往西到楚雄州祿豐川街世界恐龍谷，恐龍研究中心。受董枝明教授暨中心主任接待，並與團隊成員會合。第二日商談本次行程細節，並進行野外工作器材裝備之完善裝車工作。一切就緒後於 4/16（三），先往昆明東南方澄江（撫仙湖）地區，檢視新近發掘寒武紀早、中期重要化石剖面，並進一步了解，近年來針對前寒武瓮安生物群與寒武紀大爆發澄江生物群研究之進展。由於本館（NMNS）最早介入澄江生物群之特展介紹，以及學術刊物（學報專刊）與第一本圖鑑之發表，本計畫主持人為主編職位。該項目研究人員熱誠接待、相互探究，並提出嶄新成果交流展示與科普工作之可能性。

第四日至第八日行程（4/17-4/21）經昆曲高速（G320）往雲南東南部進行野外新剖面之檢視、量測、取樣以及重要化石發掘（於當地農民及博物館／陳列館）之檢視工作。先經曲靖往東達富源地區，隔兩省界限與貴州盤縣遙望，分別屬於富源生物群與盤縣生物群（為該地區三疊系最早期、最古老的生物地層。）富源為近幾年最重大的發現與發掘。最初由四川成都地調研究人員進行區域填圖（mapping）時發現。開始有計畫之發掘工作。新的小山頭剖面，已經受到保護與管制。

後轉往師宗（高速路 G78 線），越羅平與雨汪，黃泥河地區，進行經典傳統剖面之複查工作。羅平地區之化石群位居富源之上層，較為年輕，化石類型與多樣性遠遠高於前者。仔細之古生態系譜以及古環境工作，多個研究團隊正在深入進行中。因這時期為乾季，進入小路之剖面較為容易。溪水較淺，地層出良好，工作基本順利。於第八日結束滇東地區之工作。移往貴州興義市，利用半日總結資料，並繪作細部地形／地質圖幅，屬內部資料，存檔於中科院研究室中保存。

(B) 貴州（黔西南區塊）部份。第九日至第十三日。

野外工作第九日至第十三日 (4/22-4/26)，轉往黔西南。自興義市，與北京大學地質研究所蔣大勇教授及其研究生會合，隊伍一行，抵達近年挖掘剖面烏沙剖面檢視，並核對其生物地層細節。興義動物群 (Xingyi Fauna) 的烏沙剖面（泥麥谷村）併同關嶺動物群 (Guanling Fauna) 的關嶺國家地質公園剖面；盤縣動物群 (Panxian Fauna) 的新民烏蒙溝國家地質公園剖面；與羅平動物群 (Luoping Fauna) 的羅平剖面；綜合涵蓋了中三疊至晚三疊（大約 241 ma 至 220 ma 的二千萬年時距沉積相。）其中烏沙剖面為科學家首次為科研工作而挖掘的相對完整剖面，涵蓋了竹桿坡段的完整地層。

接續檢視剖面現址搭建臨時修復化石工作間與臨時蒐藏庫房。核對與之前發表模式標本之比對，與新近發掘標本層位之對比 (correlation) 工作。其間受邀於興義市市長款待詢問籌建現址博物館事宜。

接續轉往西面頂效地區，參訪貴州龍博物館，檢視傳統楊老命名、保存不全之諸多模式標本，試圖重新比對新近發掘之完整標本，並且協助討論新博物館／陳列館之籌建工作。轉往東北部興仁地區，檢視零星出露剖面及當地農民／老鄉挖掘之幻龍、海龍與魚龍類標本。

由興仁地區向西轉往盤縣地區。在蔣教授陪同下，檢視經典盤縣動物群指準化石標本，並量測一些自盤縣地區命名之種屬的模式標本，並且與在鄰近富源地區、較為淺水／濱海相之物種比對。

野外工作基本結束於盤縣（第十三日，4/26）。沿高速路 (G60) 向西，再經富源，往昆明，返回祿豐川街恐龍研究中心。集結室內，總結黔西南所獲取資料，歸還野外圖幅。修訂已完成論文初稿，作最終審定，投稿於美國古脊椎動物學報中。最後，擬訂明年度工作的初步協議，並接受中心主任董教授安排座談與學術交流工作。第十五日 (4/28) 自昆明過境香港返臺，結束本年度工作。

心得與建議：

本年度依既定計畫，接受本館報部核定經費，移地前往雲貴地區進行研究採集工作，為期十五天。工作進行順利完成，達成既定目標，完成初步成果，並且擬定了下階段之預期發展工作。總結心得與建議事項，列舉數點以供參酌：

(一) 大陸在經濟發展快速翻倍成長之因素支撐下，科研經費成倍數加碼。科研單位，尤其是核心成員團隊不虞經費之匱乏，唯在尋找更強固合作團隊，亟思與國際接軌，並且在部份強項領域中，企圖引領國際，企圖心強盛。新一代科學家泰半有國際學習經驗，甚或歐美返中，紮根華夏。在材料資源優勢的有利條件下，發展迅速驚人，或許遠超出我們想像。

科研上層決策單位，訂大戰略，企圖心旺盛，早已非昔日吳下阿蒙，令人眼界大開，憂喜參半。我們跨海西進，基於數十年線索網路，尚能攜手合作，不卑不亢。唯內心思索，如何接續綿延。引領後生，薪火相傳，是其憂心之處。此為心得之一。

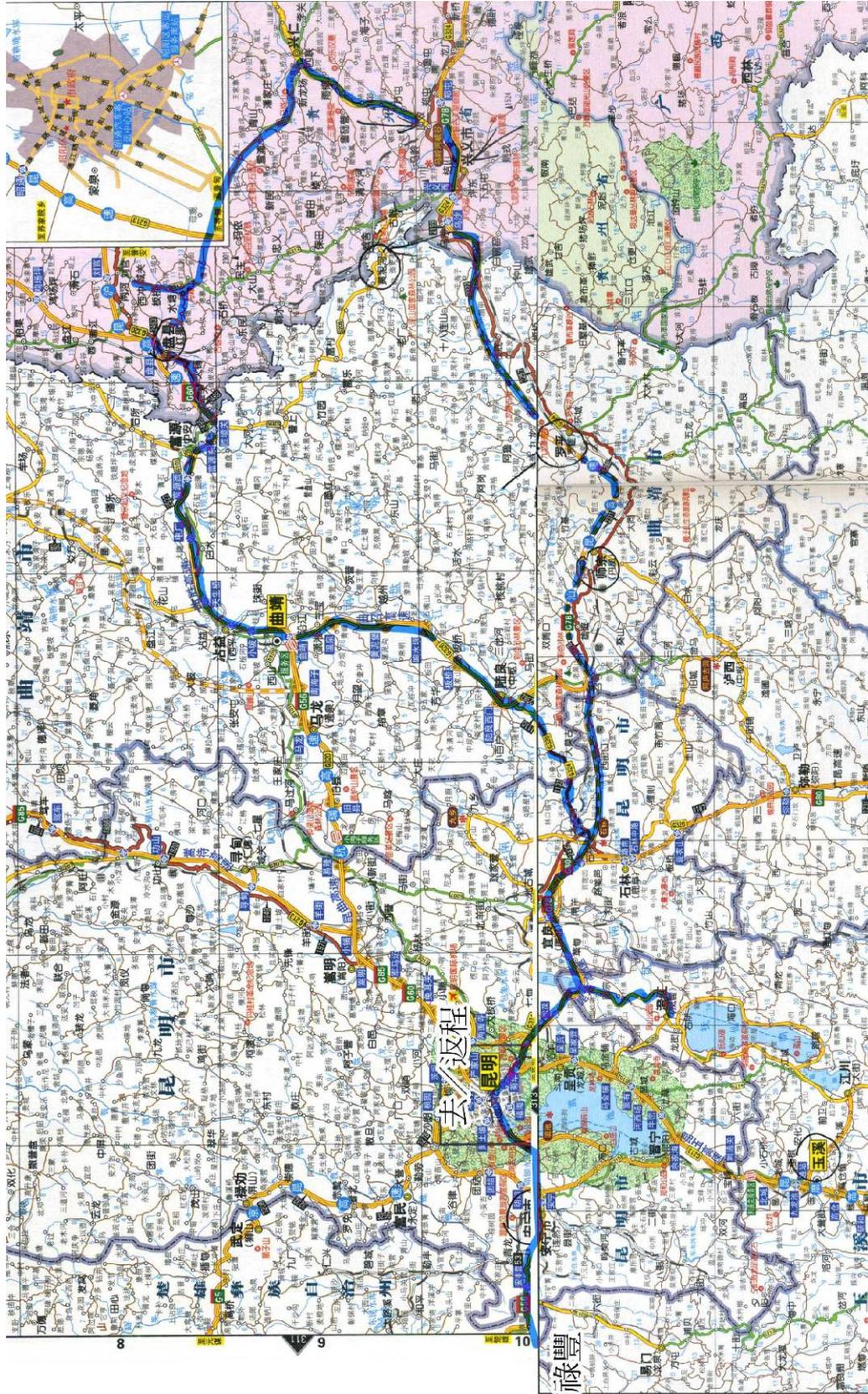
(二) 市場經濟運作下，百物競長。所有花費，不論住宿、租車、食物等飛漲下，以本地出差標準報銷，在實務上有逐漸捉襟見肘之困。唯因往昔與科研人員友好關係，截（人）長補（己）短，尚能順利達成任務。唯，在接續一代年輕研究人員與對岸新一代學人的合作中，將逐漸走向西方資本主義式明確權利義務之簽訂。“讓利”之策，非上策也。在這樣時空背景變遷下，如何調整策略，或者重新思索大戰略，當是決策上層重要功課。此為心得之二。

(三) 在合作關係長遠建構之下。彼此優、弱勢漸趨明朗：大陸具備原始材料、標本資源之強大豐富優勢。我輩科研人員受西方嚴謹科學訓練，與遊走科學生涯經驗，具有高思維、大視野與較全面、較深層洞察。大陸較年輕學者鬥志旺盛、企圖心強大、衝勁十足，不畏阻礙。唯，國際語言、溝通與最新科學理論性動向，困於資訊相對封鎖實情下，求知若渴。但是領會敏銳，舉一反三，潛力無窮。在西方科學界分工、協同合作的傳統與默契方面，尚因敬老尊賢，獨占山頭、學派較勁、

“文人”相輕的傳統下，其實質團隊緊密契合度不足。在彼長我消的過程曲線趨勢中，我們科研人員如何善用優勢，建構彼此雙贏機制，既取得契機，又能協助團隊擠身國際學術舞台，是最上層大戰略。孤單戰鬥、星火零星、個人單兵突圍，非以小博大之策。此為心得之三。

多年來，本館研究人員，接受專案申請補助，移地前往大陸進行科研工作，若能形成大戰略下的具方向性、分工協同性、團隊合作性，長期佈局性，而非純然拜會、參訪、學習，事過境遷的。始可建立較為緊密、牢靠的長遠合作關係。而此項經大部嚴謹審查，核實之經費，終究是彼此雙贏，建構大合作關係的終極保障，心存感激之情，當溢於言表也。

附錄一、 黔西南／滇東南野外路線概圖



附錄二、三疊紀海生爬行動物建構略圖

中國華南地塊雲貴地區三疊紀海生爬行動物群			
Fauna	Formation	Age	Marine reptiles
關嶺動物群 Guanling Fauna	Wayao Mem.	Carnian early Late Triassic (220+ ma)	Thalattosaurians Ichthyosaurians Sauropterygians Turtle
興義動物群 Xingyi Fauna	Zhuganpo Mem.	Ladinian late Middle Triassic (227+ ma)	Thalattosaurians Sauropterygians Protosaurians Archosaurian
盤縣動物群 Panxian Fauna	Guanling Fm.	Anisian early Middle Triassic (234+ ma)	Ichthyosaur Sauropterygians Protosaurians Archosaurians
羅平動物群 Louping Fauna	Guanling Fm.	middle Anisian early Middle Triassic (241+ ma)	Ichthyosaur Sauropterygians Protosaurians Archosaurians

附錄三、附圖

3. 滇東南鄉野孩子無憂稚顏



4. 三疊紀石灰岩地形



5. 三疊紀喀斯特地形



6. 興義地區低水位岩層出露剖面



7.-10. 最新發掘未曝光標本



8.



9.



10.



12

11. 罕見海百合標本



12. 罕見海百合標本



13.大型魚龍標本



14. 興義籌建中陳列館



15.(-19.) 興義烏沙剖面



16.



17.



18.



19.



20.(-22.) 黃泥河／岔江剖面



21.

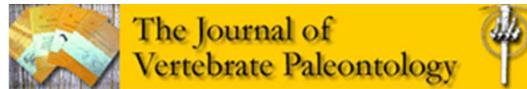


22.



附件四：

雲貴地區三疊紀海生爬行動物最新成果定稿論文 (ms.)



**Dawazisaurus brevis, a new eosauropterygian from the
Middle Triassic of Yunnan, China**

Journal:	<i>Journal of Vertebrate Paleontology</i>
Manuscript ID:	JVP-2014-0023
Manuscript Type:	Article
Date Submitted by the Author:	10-Feb-2014
Complete List of Authors:	Cheng, Yen-nien; National Museum of Natural Science, Geology; National Cheng Kung University, Earth Sciences Wu, Xiao-Chun; Canadian Museum of Nature, Earth Science; Sato, Tamaki; Tokyo Gakugei University, Natural Science Shan, Hsi-yin; National Museum of Natural Science, Geology
Key Words:	Diapsida, Sauropterygia, Triassic, China, Systematics, Anatomy

SCHOLARONE™
Manuscripts

1
2
3
4
5
6 ***Dawazisaurus brevis*, a new eosauropterygian from the Middle Triassic of Yunnan, China**
7
8
9

10
11 Yen-nien Cheng¹, Xiao-chun Wu^{*,2}, Tamaki Sato³, and Hsi-yin Shan¹
12
13

14
15
16 ¹National Museum of Natural Science, No.1 Kuan Chien Road, Taichung, Taiwan 40453, China
17
18 and Department of Earth Sciences, National Cheng Kung University, No.1 University Road,
19
20 Tainan, Taiwan 701, China; joe@mail.nmns.edu.tw;
21

22
23 shan@mail.nmns.edu.tw
24

25 ²Canadian Museum of Nature, PO Box 3443 STN“D”, Ottawa, ON KIP 6P4, Canada;
26

27
28 xcwu@mus-nature.ca
29

30 ³Tokyo Gakugei University, 4-1-1 Nukui-Kita-Machi, Koganei City, Tokyo 184-8501, Japan;
31

32
33 tsato@u-gakugei.ac.jp
34
35
36

37 *Corresponding author.
38

39 R.H.: CHENG ET AL. —NEW SAUROPTERYGIAN FROM CHINA
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3
4
5
6
7 **ABSTRACT**—*Dawazisaurus brevis* (gen. et sp. nov.) was described on the basis of a nearly
8 complete skeleton (less than 50 cm in total length) from the Upper Member of Guanling
9 Formation (Anisian) of Luoping County, Yunnan Province, Southwestern China. This new
10 species has a very short trunk comprising 16 vertebrae with very small zygapophyses and an
11 ossified distal carpal 5. Its postorbital region is distinctively longer than the preorbital region, its
12 external naris is closer to the orbit than the anterior end of the snout, its elliptic supratemporal
13 fenestra is as long as but much narrower than the orbit, its moderately developed interfenestral
14 septum on the skull roof is posteriorly narrow and not as broad as the interorbital region, the
15 squamosal process of its postorbital is deeply forked posteriorly, and a fang-like tooth is present
16 separately in the premaxilla and maxilla. Our phylogenetic analyses suggest that *Dawazisaurus*
17 is an eosauropterygian and phylogenetically more closely related to the Nothosauroida than
18 Pistosauroida and that it may have had a closer relationship to *Keichousaurus* or
19 *Dianopachysaurus* than to the European pachypleurosaurs or other Chinese pachypleurosaur-
20 like forms. The discovery of *Dawazisaurus* supports the views that the pachypleurosaurs and
21 pachypleurosaur-like forms had an Asian origin and that all Mesozoic aquatic reptilian included
22 here can be grouped in a monophyletic clade in which the Ichthyopterygia, Thalattosauria, and
23 Saurosphargidae are successively close to the Sauropterygia.
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

INTRODUCTION

The recently discovered Luoping fauna is mainly comprised of a mixture of marine animals, including arthropods (crustaceans, millipedes and limulids), fishes, reptiles, bivalves, gastropods, belemnoids, ammonoids, echinoderms, brachiopods, conodonts, and foraminifers (Hu et al. 2011). It is one of the best preserved Triassic marine fossil treasures known in the world and has been considered to be coeval in age with the Panxian fauna, about 90 km northeast, in southwest Guizhou Province of China, which is suggested by the occurrence of the *Nicoraella kockeli* Zone of conodonts (Hao et al. 2006; Zhang et al. 2009; Hu et al. 2011, 2013). As for vertebrate assemblages, especially the reptilian members in Luoping and Panxian faunas, it is comparable to those known in Europe (such as Mont san Giorgio) but much more diverse than those in North America (such as Nevada). Among the reptiles, sauropterygians appear to be the most common components of the Luoping and Panxian faunas (Jiang et al. 2009, Hu et al., 2011) and best represented by pachypleurosaur-like forms (Jiang et al. 2008; Liu et al. 2011; Wu et al. 2011; Shang et al. 2011; Sato et al., 2013).

We provide here a description and the phylogeny of another pachypleurosaur-like eosauropterygian on the basis of a nearly complete skeleton. The specimen of the new eosauropterygian was collected from the Member II of the Guanling Formation near the Dawazi (Daozi) Village of Luoping County where two pachypleurosaur-like forms *Dianopachysaurus* Liu et al., 2011 and *Diandongosaurus* Shang et al., 2011 were unearthed. It is a small form, with a total length of about 43 cm. The short trunk and some of skull features indicate that the new

1
2
3
4
5
6
7 taxon clearly differs from any known eosauropterygian. The specimen of the new form is
8
9 housed in NMNS, Taiwan, China.

10
11 Interrelationships of many Triassic-aged eosauropterygians, especially
12
13 pachypleurosaurs, nothosaurs, and a number of pachypleurosaur-like forms found in China
14
15 during last two decades, have been subject to debate (Rieppel and Lin, 1995; Jiang et al., 2008;
16
17 Holmes et al., 2008; Liu et al., 2011; Shang et al., 2011; Wu et al., 2011; Cheng et al., 2012a;
18
19 Sato et al., 2013, 2014; Neenan et al., 2013). This has led to controversial as to the monophyly
20
21 of some groups such as the Pachypleurosauria and Nothosauroida (Rieppel, 2000; Cheng et al.,
22
23 2012a). Despite taxonomic uncertainty to the Pachypleurosauria (sensu Rieppel, 2000 and Liu
24
25 et al., 2011), the European forms of the Pachypleurosauria (*Neusticosaurus*, *Serpianosaurus*,
26
27 *Dactylosaurus*, and *Anarosaurus*) have often formed a clade separated from the Nothosauroida
28
29 (sensu Rieppel, 2000) and the Chinese pachypleurosaur-like forms in recent studies, and the
30
31 term ‘European pachypleurosaurs’ are used to refer to those four genera below in this study as
32
33 in Cheng et al. (2012a). The new taxon described here not only adds a new member of the
34
35 Chinese eosauropterygians, but also provides further information to test the existing hypotheses
36
37 on phylogenetic relationships among the European pachypleurosaurs, Nothosauroida, and the
38
39 Chinese pachypleurosaur-like forms.
40
41
42
43
44
45

46
47 **Institutional abbreviations**—IVPP-Institute of Vertebrate Paleontology and
48
49 Paleanthropology, Academia Sinica, Beijing, China; NMNS-National Museum of Natural
50
51 Science, Taichung, Taiwan, China.

52
53 **Anatomical Abbreviations**—**af**, articular fossa; **an**, angular; **ar**, articular; **as**,
54
55 astragalus; **ca**, calcaneum; **car**, caudal rib; **cav**, caudal vertebra; **cax**, axial centrum; **cl**, clavicle;
56
57
58
59
60

1
2
3
4
5
6 **co**, coracoid; **cr**, cervical rib; **cv**, cervical vertebra; **d**, dentary; **dc**, distal carpal; **delt**,
7
8 deltopectoral crest; **dr**, dorsal rib; **dt**, dentary tooth; **dt3+4** distal tarsals 3 and 4; **dv**, dorsal
9
10 vertebra; **ecg**, ectepicondylar groove; **enf**, entepicondylar foramen; **f**, frontal; **fer**, facet for
11
12 cervical rib; **fd**, facet for dentary; **fe**, femur; **fi**, fibula; **gs**, gastralia; **h**, humerus; **int**, internal
13
14 trochanter; **il**, ilium; **im**, intermediate; **is**, ischium; **itrif**, intertrochanteric fossa; **j**, jugal; **lad**,
15
16 last alveolus of dentary; **lcav**, last caudal vertebra; **m**, maxilla; **mt**, maxillary tooth; **n**, nasal;
17
18 **nat**, neural arch of atlas; **nax**, neural arch of axis; **np**, neural spine; **p**, parietal; **pap**, paroccipital
19
20 process; **papil**, post-acetabular process; **pf**, postfrontal; **pl**, palatine; **pm**, premaxilla; **pmt**,
21
22 premaxillary tooth; **po**, postorbital; **poz**, postzygapophysis; **prf**, prefrontal; **pzax**, post-
23
24 zygapophysis of axis; **prz**, prezygapophysis; **pt**, pterygoid; **pu**, pubis; **q**, quadrate; **ra**, radius;
25
26 **rap**, retroarticular process; **rfe**, right femur; **sa**, surangular; **sc**, scapula; **so**, supraoccipital; **sq**,
27
28 squamosal; **sr**, sacral rib; **ti**, tibia; **v**, vertebra; **ul**, ulna; **uln**, ulnare; **zph**, zygosphenes; **zyg**,
29
30 zygantrum; **I to V**, metacarpals or metatarsals I to V.
31
32
33
34
35
36
37
38
39

40 SYSTEMATIC PALEONTOLOGY

41
42 Sauropterygia Owen, 1860 sensu Rieppel, 2000

43
44 Eosauropterygia Rieppel, 1994

45
46 *Dawazisaurus* gen. nov.
47
48

49
50
51 **Type Species**—*Dawazisaurus brevis* gen. et sp. nov.

52
53 **Diagnosis**—As for the type and only species.

54
55
56
57 **Distribution**—As for the type and only species.
58
59
60

1
2
3
4
5
6
7 **Etymology**—*Dawazisaurus* was derived from the Chinese pinyin of Dawazi (Daaози) Village
8
9 near which the famous Luoping Biota has been recently discovered.
10

11
12
13 *Dawazisaurus brevis* gen et sp. nov.

14
15
16 (Figs. 1–4)
17
18
19

20
21 **Holotype**—NMNS000933-F034397, a nearly complete skeleton exposed in dorsal view.
22

23 **Locality and Horizon**— Near Dawazi (Daaози) Village, Luoping County, Yunnan
24
25 Province, China; Member II of the Guanling Formation, lower Middle Triassic (Anisian). Data
26
27 in the specimen label did not specify a stratigraphic level of the formation, which were inferred
28
29 from a literature (Zhang et al., 2009).
30
31

32 **Etymology**—The specific name is derived from the short trunk which consists of only
33
34 16 vertebrae, representing the smallest number of the dorsal series of the vertebral column
35
36 among known non-placodontian sauropterygians.
37
38

39 **Diagnosis**—A small eosauropterygian, with a total length of less than 50 cm,
40
41 distinguishable from others in the combination of the following characters: trunk short, with 16
42
43 dorsal vertebrae; zygapophyses of dorsal vertebrae very small, leading to a butterfly-shaped
44
45 appearance of neural arch in dorsal view; ossification of distal carpal 5; large nasals meeting
46
47 each other along their medial length; posterior process of postorbital asymmetrically forked
48
49 deeply; interfenestral septum of skull roof narrow, narrower than interorbital region; external
50
51 naris closer to orbit than to anterior end of rostrum; elliptic supratemporal fenestra as long as
52
53 orbit but much narrower than the latter; posterior margin of skull roof deeply concave; occipital
54
55
56
57
58
59
60

1
2
3
4
5
6 crest elongate retroarticular process with a pronounced ridge on dorsal surface; a fang-like tooth
7
8 present in premaxillary and maxillary, respectively; 20 cervical, four sacral, and 37 caudal
9
10 vertebrae; six carpals and three tarsals; and phalangeal formulae 2-3-4-4-? in forelimb and 2-3-
11
12 4-5-4 in hindlimb.
13
14
15

16 17 18 DESCRIPTION 19

20
21
22
23 NMNS000933-F034397 is articulated and nearly complete. It was preserved in dorsal
24
25 view, but the anterior portion of the neck was twisted lightly to the left side (Fig. 1A). It reaches
26
27 a total length of about 43 cm. Measurements for the selected elements of the skull and
28
29 postcranial skeleton are available in Table 1.
30
31
32

33 34 35 **Skull** 36

37
38 The skull is well preserved except for areas around the external naris and the right
39
40 supratemporal fenestra (Fig. 1C, D). Little of the palate and braincase are exposed. Most of the
41
42 mandible is hidden by the skull. The snout (preorbital region) is shorter than the postorbital
43
44 portion (to the posterolateral end of the squamosal). The external naris is closer to the orbit than
45
46 to the anterior tip of the rostrum; its nearly rounded outline is comparable to that of
47
48 *Dianopachysaurus* (Liu et al., 2011:fig. 3). The oval orbit is large, with rimmed margins. The
49
50 elliptic supratemporal fenestra is approximately as long as the orbit although it is much longer
51
52 than wide. The interfenestral region of the skull roof is narrower than the interorbital region as
53
54 in *Dianopachysaurus*. The large, ventrally open infratemporal fenestra mainly faces laterally
55
56
57
58
59
60

1
2
3
4
5
6
7 and slightly dorsally. The oval parietal (pineal) foramen is positioned somewhat anteriorly,
8
9 closer to the anterior than the posterior margin of the supratemporal fenestra. The dorsal surface
10
11 of the skull roof is commonly smooth although certain fine ridges and grooves are scattered in
12
13 the frontal and parietal. Sutures between the roof elements are more clearly marked on the left
14
15 side than the right. The occipital edge of the skull roof is deeply concave.
16
17

18 The large, paired premaxillae form the rostral portion anterior to the external naris.
19
20 There is no maxillary process below the external naris. The nasal process is moderate long and
21
22 forms, together with the nasal, the internarial septum. The interdigitated premaxillary-nasal
23
24 suture is posteriorly positioned in the septum.
25
26

27 The nasal is basically triangular in outline and longer than wide. It meets its counterpart
28
29 along the midline. The interdigitated nasal-frontal suture is located just anterior to the orbit.
30
31 Anterolaterally, the nasal broadly enters the external naris.
32
33

34 The frontals are partly fused and consist of a narrow anterior portion and a broad
35
36 posterior portion. Its anterior end is forked, receives the nasal anteriorly, and meets the
37
38 prefrontal laterally. Its posterior portion forms a posterolateral process which meets the
39
40 postfrontal laterally and approaches the supratemporal fossa posteriorly as in many pachy-
41
42 nothosaur-like forms such as *Qianxisaurus* (Cheng et al ., 2012a). The frontal-parietal suture is
43
44 deeply interdigitated.
45
46
47

48 The fused parietal is goblet-shaped in dorsal view, anteriorly broad and posteriorly
49
50 narrow. The interfenestral region is narrower than the interorbital region and only 1.2 mm wide
51
52 at the narrowest place just anterior to the posterior margin of the supratemporal fenestra. The
53
54 broad anterior portion is concave and forms the medial part of the supratemporal fossa. The
55
56
57
58
59
60

1
2
3
4
5
6 Anterolaterally, the parietal contacts the postfrontal and postorbital. The occipital plane is
7
8 concave; it meets the squamosal in a wavy suture, whereas its suture with the supraoccipital is
9
10 simple and gently curved.
11

12
13 The maxilla appears to be a triradiate bone. Its anterior process forms the ventral border
14
15 of the external naris. Its posterior process is slender and abuts against the jugal along the ventral
16
17 border of the orbit. Its broadened ascending/nasal process forms the posteroventral border of
18
19 the external naris. Maxillary suture with the prefrontal is oblique.
20
21

22
23 The prefrontal is crescent-shaped in outline and forms the anterior edge of the orbit. It
24
25 meets the jugal to exclude the maxilla from the orbit as in some European pachypleurosaurs
26
27 such as *Anarosaurus* and *Dactylosaurus* (Rieppel, 2000). No suture indicates the presence of a
28
29 lacrimal. The prefrontal meets the frontal dorsally and the jugal ventrally. In dorsal view, the
30
31 prefrontal is ridged along the orbital margin.
32
33

34
35 The jugal is a curved and strap-like bone and medially very concave. It joins the
36
37 formation of the ventral margin of the orbit. It inserts between the maxilla and prefrontal
38
39 anteriorly and curves dorsally to form the ventral half of the postorbital bar (Fig. 2A).
40
41

42 The postfrontal is a triangular bone, with a sharp anterior portion as in *Qianxisaurus*. It
43
44 forms the posterodorsal border of the orbit. Posteriorly, it broadly enters the supratemporal
45
46 fenestra. The postfrontal/postorbital suture is interdigitated.
47
48

49 The postorbital is characterized by a long and forked posterior process, with the
50
51 posteromedial fork being nearly twice as long as the posterolateral fork. As in many other
52
53 eosauroptrygians such as the European pachypleurosaurs, the dorsomedial process forms the
54
55
56
57
58
59
60

1
2
3
4
5
6 lateral half of the postorbital arch between the orbit and supratemporal fenestra. The descending
7
8 process forms the major part of the postorbital bar between the orbit and infratemporal fenestra.
9
10

11 The large squamosal is comprised of a roof portion, a descending process, and an
12
13 occipital portion. The anterolateral process of the roof portion is forked and forms the posterior
14
15 half of the supratemporal arch; its suture with the posterior process of the postorbital is deeply
16
17 interdigitated. The short medial process of the roof portion extends medially along the posterior
18
19 border of the supratemporal fenestra and meets the parietal and together forms the posterior
20
21 border of the fenestra. The descending process appears a broad plate although it is incomplete
22
23 on both sides. There seems to be a quadratojugal/squamosal suture paralleling to the posterior
24
25 margin of the infratemporal fenestra on the left side but it could not be confirmed on the right
26
27 side of the skull, leading to an ambiguous presence of the quadratojugal. Ventrally, the process
28
29 nearly reaches the quadrate condyle as in *Anarosaurus* and *Dactylosaurus*. The occipital portion
30
31 is obscured due to the dorsoventral compression.
32
33
34
35
36

37 The quadrate is poorly preserved and only the condylar part of the right side is exposed.
38
39 The lateral portion of the condyle appears slightly larger than the medial portion.
40
41

42 The palatine and pterygoid of the palate are only partially exposed inside the orbits.
43
44 Their exact shape and relationships with other palatal elements are unknown.
45
46

47 Exposed or partly visible elements of the braincase include the supraoccipital and
48
49 opisthotic-exoccipital complex (Fig. 1C, D). The supraoccipital was broken into two pieces; it
50
51 shows a slightly convex surface with a weakly developed median ridge as in other
52
53 eosauroptrygians such as *Qianxisaurus*. No suture is recognized in the exoccipital-opisthotic
54
55
56
57
58
59
60

1
2
3
4
5
6 complex; the exoccipital is medially bulged and its paroccipital process becomes narrow and
7
8 displaced distally. Damage surfaces show some pneumatic spaces inside the bone.
9
10

11 12 13 **Mandible**

14
15
16 Only the posterior portion of the mandible is exposed and available for description. The
17
18 posterior-most end of the dentary is observed on both sides (Figs. 1B, C; 2A). Posterodorsally,
19
20 the bone overlaps the surangular and tapers to a pointed end. Posterolaterally, its suture with the
21
22 surangular and angular is interdigitated. The elongate surangular forms the dorsal half of the
23
24 posterior portion of the mandible. Anteriorly, the bone narrows and its tip is covered by the
25
26 upper jaw; posteriorly, it forms the lateral wall of the articular fossa and abuts against the
27
28 articular in the retroarticular process (Fig. 1C, D). The dorsal margin of the bone is thickened
29
30 and concave. The external surface of the bone is concave, with some longitudinally oriented
31
32 fine ridges. The angular is shorter and slightly shallower than the surangular in lateral view
33
34 (Fig. 2B). Anteriorly, the angular narrows and underlies the dentary. The ventral surface and
35
36 posterior end of the bone are not exposed. As with the surangular, the angular bears some
37
38 longitudinally oriented fine ridges and grooves on the lateral surface. The articular is broad and
39
40 forms most of the articular fossa and the retroarticular process. The articular fossa is divided
41
42 into two parts, matching the division of the quadrate condyle. The retroarticular process is
43
44 modestly developed. The process slightly turns dorsally and bears a longitudinal ridge on the
45
46 dorsal surface.
47
48
49
50
51
52

53 54 55 **Dentition**

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

There are five premaxillary teeth, of which the fourth is the largest and fang-like, the fifth is the smallest, and the others are similar in size (Fig. 2A, B). There are 20 maxillary teeth, with seven and five teeth missing in the left and right maxillae, respectively. The eighth maxillary tooth is the largest and fang-like. Compared with the premaxillary fang, the maxillary fang is slightly smaller. Other maxillary teeth are much smaller and of similar size. It is difficult to know the exact number of the dentary teeth and any dentary fang(s) due to the occlusion of the upper jaw. All teeth are sub-conical and curve medially and slightly posteriorly, with fine striations on the crown surface.

Axial skeleton

The vertebral column is complete (Fig. 1A), consisting of 77 vertebrae (20 cervicals, 16 dorsals, four sacrals, and 37 caudals). Compared with *Diandongosaurus* (20 cervicals, 18 dorsals, four sacrals, and 37 caudals) [Sato et al., 2013] and *Dianopachysaurus* (20 cervicals, 19 dorsals, and four sacrals) from the same fauna, the new form also has 20 cervicals but only 16 dorsal vertebrae (two or three fewer than the aforementioned two taxa, respectively). The 20th vertebra was considered as the last cervical for the new form because its rib is much shorter than that of the first dorsal vertebrae – the 21st vertebra (Fig. 3A). The four sacral vertebrae were identified on the basis of their rib morphology (Fig. 3C). The tip of the neural spine was damaged in most vertebrae except for posterior-most dorsals, two anterior sacrals, and some of the anterior caudals. Zygapophyseal pachyostosis is evident in the cervical series but weakly developed throughout the other series of vertebrae. All preserved ribs, from the sixteenth cervical to the twelfth caudal, clearly have such pachyostosis.

1
2
3
4
5
6
7 The proatlas is missing. The atlas is represented by a pair of incomplete neural arches in
8 dorsal view and the other parts of the vertebra are not exposed (Fig. 1C, D). The atlantal neural
9 arch bears a pronounced postzygapophysis, and the neural arch bends medially and may have
10 met the counterpart along the dorsal midline in life. The axis is characterized by the
11 longitudinally broad but low neural spine; much of its centrum is not exposed. Suture between
12 the axial neural arch and the centrum is indistinct owing to fusion. The centrum is weakly
13 constricted and bears a rib facet on the anteroventral side of the centrum.
14
15
16
17
18
19
20
21
22

23 Cervicals 3 to 20 differ little from each other in morphology except for the size; they
24 become longer and broader posteriorly to the 16th, and then they decrease in length towards the
25 dorsals. The low neural spines are distally thickened and broadened longitudinally such that
26 their distal margins contact each other (Fig. 2C, D). Suture between the neural arch and centrum
27 is not always evident in cervicals 3 to 7, which are the only vertebrae that show the lateral
28 surface. Zygapophyseal pachyostosis in the cervical series is evident. The zygantrum-
29 zygosphene articulation is present above and between the zygapophyses, as shown in cervicals 6
30 to 8 (Fig. 2C, D). The preserved cervical ribs are double-headed and equipped with an anterior
31 process which is distally free. The posterior cervical ribs become longer and they are evidently
32 single-headed in cervicals 19 and 20.
33
34
35
36
37
38
39
40
41
42
43
44
45

46 The 16 dorsal vertebrae are characterized by the small size of their zygapophyses. The
47 width between the postzygapophyses is about 60% of that between the left and right transverse
48 processes (Fig. 3A, B). This ratio is considerably smaller than those of the other vertebral
49 series. Within the dorsal series, this ratio becomes somewhat larger towards the sacrum. Unlike
50 in the cervical series, the zygapophyseal pachyostosis is not evident but the transverse processes
51
52
53
54
55
56
57
58
59
60

1
2
3
4
5
6
7 of the dorsal series are very stout. The neural spines differ little from those of the posterior
8 cervicals; they are low and their broadened distal margins contact each other. All dorsal ribs are
9 evidently pachyostotic at the shoulder region and they bow posteromedially, with a heart-
10 shaped articular facet. The last three dorsal ribs become gradually shorter and the last one is just
11 slightly longer but slenderer than the first sacral rib (Fig. 3C).
12
13
14
15
16
17

18 The four sacral vertebrae were identified on the basis of the distally thickened ribs (Fig.
19 3C, D). They are shorter than most of the dorsals. As in the dorsal series, the broadened distal
20 margins of their neural spines touch each other. The sacral ribs are stout bar-like; they are
21 shorter than the dorsals and, unlike the last two dorsal, tapers off distally. The four sacral ribs
22 converge toward the medial surface of the ilium; neither of their ends is firmly sutured to or
23 fused with the ilium or the sacral vertebrae. The second and third sacral ribs are slightly longer
24 than the other two.
25
26
27
28
29
30
31
32
33

34 Of the 37 caudal vertebrae (Figs. 1A, D; 3D), the first several caudals are similar in
35 length to the last sacral vertebra and the others become shorter and thinner towards the end. The
36 last caudal is very small, about one-fourth of the preceding one in length, and triangular in
37 outline (Fig. 1B). The last caudal is also triangular in *Diandongosaurus* but about the half of the
38 length of the preceding caudal in that taxon. The neural arches of the caudals are partly sutured
39 to the centra as shown by caudals 13 to 15 (Fig. 3A). The neural spines in the complete caudals
40 are not evidently taller than those of the dorsals, and they did not increase in height but become
41 narrow distally in posterior caudals where they are complete. There are 12 caudals evidently
42 with the transverse processes (caudal ribs) which become shorter towards the posterior end. The
43 first does not tapers off distally, like the sacral, but much shorter than the former. The transverse
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3
4
5
6
7 process becomes very small in caudal 13 and almost reduced in caudals 14 and 15. The
8
9 processes are sutured to the centrum until caudal 9 and fused with the centrum in caudals 10 to
10
11 15, suggesting that NMNS000933-F034397 represents a relatively old specimen. As in
12
13 *Dianopachysaurus* and many nothosaurs such as *Nothosaurus* of Li and Rieppel (2004), the
14
15 caudal ribs are pachyostotic and broad, wider than the inter-rib spaces. No chevron is exposed.
16
17

18 The gastralium are largely unexposed, some of them are visible through the ribcage and
19
20 show no distinct features (Fig. 3B).
21
22
23
24

25 **Pectoral Girdle and Forelimbs**

26
27 In the pectoral girdle, the clavicles and scapulae are exposed in dorsomedial view, both
28
29 coracoids are largely obscured by the covering ribs and vertebrae, and the interclavicle is not
30
31 exposed (Fig. 3A). The clavicle is ventro-medially narrow and dorso-laterally broad. Its
32
33 anterolateral margin is convex and lacks an anterolateral process seen in *Diandongosaurus*. As a
34
35 whole, the bone resembles that of *Dianopachysaurus* (Liu et al., 2011:fig. 4). Laterally, the
36
37 clavicle broadly overlaps the medial side of the scapular and medially, its relationships with its
38
39 counterpart of the other side and the interclavicle are not exposed.
40
41
42
43

44 The scapula consists of a broadened proximal/ventral portion and a rod-like distal/dorsal
45
46 blade. The proximal portion is extensively covered by the clavicle and no distinct features are
47
48 exposed. The rod-like dorsal blade becomes thin and tapers to a point end distally (Fig. 3A),
49
50 which is different from the blunt tip of *Dianopachysaurus* or *Diandongosaurus*.
51
52

53 Both forelimbs are nearly complete in dorsal view (Figs. 1A; 4B, D). The humerus is
54
55 bowed posteromedially and the anterolateral margin is slightly concave. The distal end is
56
57
58
59
60

1
2
3
4
5
6
7 slightly expanded. On the proximodorsal surface, there is a low but clearly marked crest which
8
9 may have served for the insertion of the *M. deltoideus* (Storrs, 1991:fig. 25A). On the
10
11 distodorsal surface, the entepicondylar foramen is relatively high in position, as seen in
12
13 *Dianopachysaurus* (Liu et al., 2011:fig. 5). An ectepicondylar groove but not a foramen is
14
15 evidently present (Fig. 4B). The distal surface is convex; the articular facets for the radius and
16
17 ulna are clearly divided, with the facet for the latter being slightly concave and much broader
18
19 than that for the former.
20
21

22
23 The radius is medially concave and laterally convex, with an expanded proximal end,
24
25 and the shaft is nearly straight (Fig. 4C). The distal end is slightly expanded, and the distal
26
27 articular facet is nearly flat, whereas the proximal facet for the humerus is slightly concave.
28
29

30
31 The ulna is approximately as long as the radius but its shaft is thicker than that of the
32
33 latter (Table 1). The proximal and distal ends of the ulna are similarly expanded towards the
34
35 radius as in *Dianopachysaurus*. Like the radius, the medial side of the ulna is concave and the
36
37 lateral side is convex. Both proximal and distal surfaces are convex.
38
39

40
41 There are six ossified carpals, i.e., the ulnare and intermedium forming the proximal row
42
43 and distal carpal 2 to 5 of the distal row (Fig. 4A, C). The ulnare is asymmetrically pentagonal
44
45 in outline and much smaller than the kidney-shaped intermedium. The latter articulates all other
46
47 carpals except for distal carpal 5 and its proximal surface is concave. The largest of the distal
48
49 row is distal carpal 4.
50

51
52 Metacarpals II to V are complete in both forelimbs (Fig. 4A, C). Metacarpal III is the
53
54 longest (6 mm), followed by metacarpals IV (5.5 mm) and II (5 mm) (Table 1). Metacarpal V is
55
56 shorter (4 mm) but not thinner than the others. Metacarpal I, damaged in both manus, may be
57
58
59
60

1
2
3
4
5
6 the shortest (estimated to be 2.5 mm) but it is the most robust (Fig. 4C). The phalangeal formula
7
8 is of 2-3-4-4-?. The unguis element appears the shortest but is the stoutest phalanx for each
9
10 digit.
11
12
13
14
15

16 17 **Pelvic Girdle and Hindlimbs** 18

19
20 None of the pelvic elements are completely exposed (Fig. 3D, E). The better exposed
21
22 right ilium shows that it is a short and stout bone with a stub-like dorsal blade that has a reduced
23
24 post-acetabular process; such a process is entirely lost in *Qianxisaurus* and the European
25
26 pachypleurosaurs such as *Neusticosaurus* (Sander, 1989). The medial surface of the ilium is
27
28 slightly concave but ridged around margins. The width of the ilium is much smaller than the
29
30 total width of the distal ends of the four sacral ribs, which suggests a soft/loose connection
31
32 between the ilium and sacral ribs. The pubis may have been a flat bone; it is broadly covered
33
34 and no distinct features are available for description. Both ischia show their posterolateral
35
36 margins, which is highly concave as in many eosauroptrygians, such as *Diandongosaurus* and
37
38 *Dianopachysaurus*. As with the pubis, the ischium should have been a flat bone.
39
40
41
42
43
44

45 Both hindlimbs are well-preserved (Figs. 1A, 4C, F). The femur is in lateral view; its
46
47 nearly straight shaft is cylindrical, with slightly expanded proximal head. Its anterolateral side is
48
49 convex and its posteromedial side is concave. The internal trochanter is pronounced but the
50
51 intertrochanteric fossa is shallow although evident (Fig. 4E).
52
53
54
55
56
57
58
59
60

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

The fibula and tibia are almost same in length but the shaft of the former is slightly thicker (Table 1). The fibula is preserved in lateral and slightly medial (tibial) view; its shaft bows towards the tibia (Fig. 4C). The unexpanded proximal end of the fibula bears a slightly concave surface for the femoral condyle; whereas the modestly expanded distal end is equipped with two facets for the calcaneum and astragalus. The tibia is preserved in medial (fibular) view, its shaft bowing towards the fibula. Both ends of the tibia are not expanded and each has a concave facet for the femoral condyle proximally and for the astragalus distally.

There are three ossified tarsals: the calcaneum, astragalus, and a distal tarsal (Fig. 4C, F). The astragalus is the largest, with a shoe-shaped outline. The ‘mouth’ of the ‘shoe’ articulates with the fibula proximally, the dorsomedial aspect receives the tibia, and the ‘bottom’ (the distal side) of the ‘shoe’ meets metatarsals I to III and the distal tarsal. The distal tarsal distally meets metatarsals III and IV and it should be considered as the fusion of distal tarsals 3 and 4. The lateral (anterior) surface of the three tarsals is depressed.

All metatarsals are preserved in both feet (Fig. 4C, F). The shortest and stoutest is metatarsal I which is less than the half of the longest metatarsal in length (Table 1); metatarsal III and IV are similar in length, and metatarsals II and V are comparable in length. The articulated pes has a phalangeal formula of 2-3-4-5-4. The ungual phalanx is the largest for each digit.

COMPARISON

1
2
3
4
5
6
7
8
9
10 It is evident that *Dawazisaurus* is not a pistosauroid; it lacks a set of key features of the
11 Pistosauroidea (sensu Rieppel, 2000) such as: no nasal, the braincase deeply recessed below the
12 parietal roof, the squamosals contacting each other behind the parietals, the box-like suspension
13 of the squamosal, the parietal foreman anteriorly displaced, the sagittal crest of the skull table,
14 and distinctively thickened distal end of the dorsal transverse process. The maxillary tooth row
15 ending in front of the posteroventral border of the orbit, the supratemporal fenestra distinctively
16 small, the pachyostotic dorsal ribs and zygapophyses suggest that *Dawazisaurus* is
17 morphologically more similar to some of the Chinese pachypleurosaur-like forms or the
18 European pachypleurosaurs than to nothosaurs. Up to date, there are about ten pachypleurosaur-
19 like eosauropterygians known from the Triassic of southern China, including some early known
20 taxa (*Chinchenia*, Young 1965, *Kwangsisaurus* Young, 1959, *Sanchiaosaurus* Young, 1965,
21 and *Hanosaurus* Young, 1972). Most of those early taxa are very fragmentary, whereas
22 *Keichousaurus* and four recently described species (*Wumengosaurus*, *Dianopachysaurus*,
23 *Diandongosaurus*, and *Qianxisaurus*) are well represented. Therefore, we compared the new
24 form primarily with the European pachypleurosaurs and those well represented Chinese forms.
25 To characterize *Dawazisaurus*, we focus on the comparison of the following features.
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47

- 48 1. The short trunk. Before comparison, we have to clarify the definition of the last cervical or
49 the first dorsal vertebra. There are usually two ways to define the vertebrae among
50 researchers: (a) considering the vertebra just in front of the pectoral girdle as the last
51 cervical (such as Rieppel, 1989) and (b) recognizing the vertebra that starts to bear elongate
52
53
54
55
56
57
58
59
60

1
2
3
4
5
6
7 ribs as the first dorsal vertebra (such as Carroll and Gaskill, 1985; Wu et al., 2011; Cheng et
8
9 al., 2012a). In general, method (a) counts two cervicals fewer and two dorsals more when
10
11 compared with method (b). There are 16 dorsals in the *Dawazisaurus* as counted in method
12
13 (b) (Fig. 1A), indicating that it had not only a shortest dorsal series of the vertebral column
14
15 but also a shortest presacral region (36 vertebrae) among known non-pistosauroid
16
17 eosauropterygians (Carroll and Gaskill, 1985; Sander, 1989; Rieppel, 1989, 2000; Young,
18
19 1958; Wu et al., 2011; Shang et al., 2011; Cheng et al., 2012a).

- 22
23 2. Small zygapophyses of the dorsal vertebrae. It is indicated by the width across the
24
25 prezygapophyses or postzygapophyses, which is approximately half the width across the
26
27 transvers processes (Fig. 3B, D). Such a proportion is much larger than $2/3$ in the European
28
29 pachypleurosaurs or other Chinese pachypleurosaur-like forms such as *Serpianosaurus* and
30
31 *Wumengosaurus*.
- 32
33
34 3. Supratemporal fenestra. This fenestra is relatively long, nearly as long as the orbit
35
36 compared with that of other Chinese pachypleurosaur-like forms such as *Diandongosaurus*
37
38 as well as the European pachypleurosaurs such as *Neusticosaurus*; in the later the fenestra
39
40 is much shorter than the orbit.
41
42
- 43
44 4. The interfenestral septum and elongate supratemporal fenestra on the skull roof. The
45
46 interfenestral septum between the elongate supratemporal fenestrae is narrower than the
47
48 interorbital region although it does not become a sagittal crest in *Dawazisaurus* (Fig. 1C,
49
50 D). This septum is distinctively broader than the interorbital region and the supratemporal
51
52 fenestrae are small and round in the European pachypleurosaurs such as *Serpianosaurus*
53
54 and *Dactylosaurus* and some Chinese forms such as *Qianxisaurus* and *Wumengosaurus*.
55
56
57
58
59
60

1
2
3
4
5
6
7 The interfenestral septum is relatively narrow and the supratemporal fenestra is also
8 elongated oval in *Keichousaurus* and *Dianopachysaurus* but the former is still broader than
9 interorbital region and the latter is distinctly shorter than the orbit in the two forms.
10
11

- 12
13
14 5. The postorbital. The postorbital usually has a strong but structurally simple posterior
15 process. This process enters the infratemporal fenestra in some of the European
16 pachypleurosaurs (such as *Neusticosaurus*) and the Chinese pachypleurosaur-like forms
17 (such as *Qianxisaurus*) but is excluded by the jugal-squamosal contact in others of the
18 aforementioned taxa (such as *Diandongosaurus* and *Wumengosaurus*) from the fenestra. In
19 *Dawazisaurus*, the process is pronounced and enters the infratemporal fenestra, but it is
20 uniquely forked distally to receive the anterior process of the squamosal (Fig. 1C, D).
21
22
23
24
25
26
27
28
29
30 6. The occipital crest (posterior margin of skull roof). In most Chinese pachypleurosaurs (such
31 as *Wumengosaurus*, *Qianxisaurus*, and *Dianopachysaurus*) and the European forms (such
32 as *Neusticosaurus*), the posterior margin of the skull roof is nearly straight or only slightly
33 concave in dorsal view. In contrast, it is deeply concave, nearly V-shaped in *Dawazisaurus*
34 (Fig. 1C, D). A similar condition may be seen in *Dactylosaurus/Anarosaurus* (Sues and
35 Carroll, 1985; Klein, 2009).
36
37
38
39
40
41
42
43
44 7. Fang-like tooth. The premaxillary and maxillary also bear fang-like teeth in *Keichousaurus*
45 (Holmes, 2008) and *Diandongosaurus* but the maxillary fang is anteriorly positioned in the
46 two forms, differing from that of *Dawazisaurus* where the eighth maxillary tooth is fang-
47 like. The other Chinese forms and the European pachypleurosaurs do not have any fang-
48 like teeth in both the premaxilla and maxilla.
49
50
51
52
53
54
55
56
57
58
59
60

- 1
2
3
4
5
6
7 8. The multiple carpal ossifications. Two or three carpals are common in the European
8
9 pachypleurosaurs and Chinese pachypleurosaur-like forms. There are six carpals in
10
11 *Dawazisaurus*, including the ossification of the fifth distal carpal. Among non-pistosauroid
12
13 sauropterygians, available evidence shows that only a few specimens of *Lariosaurus* have
14
15 six carpal ossifications including the distal carpal 5 (Rieppel, 1998b).
16
17
18 9. The retroarticular process. An elongate retroarticular process is common in
19
20 pachypleurosaurs or like forms. However, the presence of a fossa or a ridge on the dorsal
21
22 surface of the process is not always the case. As in *Qianxisaurus* and *Wumengosaurus*, the
23
24 process bears a ridge rather than a fossa in *Dawazisaurus* but the process itself tapers off
25
26 rather than truncated distally in the former two.
27
28
29
30
31
32
33

34 PHYLOGENETIC RELATIONSHIPS

35
36
37
38
39
40 As mentioned earlier, phylogenetic relationships on the Chinese pachypleurosaur-like
41
42 forms have been controversial. Two latest works (Cheng et al., 2012a; Sato et al., 2013)
43
44 essentially supported Holmes et al. (2008), Wu et al. (2011), and Shang et al. (2011) in that the
45
46 European pachypleurosaurs and those adequately preserved Chinese pachypleurosaur-like forms
47
48 do not form a monophyletic clade but they are separately grouped with the Nothosauroidea at
49
50 different levels. These results are conflict with those derived from phylogenies by Jiang et al.
51
52 (2008) or Liu et al. (2011) in which the Chinese forms (*Keichousaurus*, *Dianopachysaurus*, and
53
54 *Wumengosaurus*) were considered to be closely related to the European pachypleurosaurs and
55
56
57
58
59
60

1
2
3
4
5
6 the Nothosauroidae was the sister-group of the Pistosauroidea. However, the results of Cheng et
7 al. (2012a) and Sato et al. (2013) were partly similar to those of Neenan et al. (2013), i.e., the
8 Nothosauroidae is not grouped with the Pistosauroidea but with the monophyletic
9 Pachypleurosauria formed by the European pachypleurosaurs and most of the Chinese
10 pachypleurosaur-like forms the latter included. With the discovery of *Dawazisaurus*,
11 phylogenetic relationships of the Chinese pachypleurosaur-like forms and the monophyly of the
12 Pachypleurosauria can be reanalyzed again.

13
14 In the phylogenetic study of *Dawazisaurus*, we used the data matrix of Neenan et al.
15 (2013) which is a modified version from that of Liu et al. (2011) originally derived from that of
16 Rieppel et al. (2002). As mentioned by Cheng et al. (2012a), totally nine pachypleurosaur-like
17 genera were known from China, including six adequately represented genera (*Keichousaurus*,
18 *Hanosaurus*, *Wumengosaurus*, *Diandongosaurus*, *Dianopachysaurus*, and *Qianxisaurus*) and
19 three fragmentary genera (*Kwangsisaurus*, *Sanchiaosaurus*, and *Chinchenia*). Therefore, with
20 *Dawazisaurus*, there have been 10 Chinese pachypleurosaur-like genera discovered so far. After
21 a revision, our data matrix consisted of 141 characters and 50 taxa, with the addition of a new
22 character and seven more taxa (*Dawazisaurus*, *Qianxisaurus*, the three fragmentary taxa as well
23 as the two species of a newly described saurosphargid, *Largocephalosaurus* (*L. polycarpon*
24 Cheng et al., 2012b and *L. qianensis* Li et al., 2013). Changes in the description of some
25 characters and character scoring for certain taxa were explained in Appendix 1 (also see
26 Supplementary Information for the data matrix and the descriptions of all 141 characters).

27
28 The revised data matrix was analyzed using PAUP* 4.0 beta 10 (Swofford, 2002). We
29 practiced two analyses to compare with the phylogenetic results obtained in previous studies. A
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3
4
5
6
7 heuristic search with all taxa and all characters unordered and equally weighted produced 34
8
9 most parsimonious trees (MPTs) in the first analysis, with a tree length of 621 steps, a CI of
10
11 0.3076, and a RI of 0.6574. As shown in the consensus of the 34 MPTs (Fig. 5A), phylogenetic
12
13 relationships of the included groups are most similar to those of Neenan et al. (2013:fig. 3)
14
15 among previous studies. Compared with the latter, phylogenetic relationships within the
16
17 Eosauropterygia are much better resolved even though the three fragmentary taxa were included
18
19 (*Kwangsisaurus*, *Sanchiaosaurus*, and *Chinchenia*). Besides the recognition of the
20
21 monophyletic Pistosauroida (sensu Neenan et al., 2013) and Nothosauroida, interrelationships
22
23 were also fully established for seven genera (including two European forms) of the
24
25 Pachypleurosauria (Fig. 5A); the latter is not the case in Neenan et al. (2013) in which only five
26
27 pachypleurosauria (two European and three Chinese forms, respectively) were included.
28
29 *Dawazisaurus* is a pachypleurosaur and the basal-most member of the Pachypleurosauria. This
30
31 was supported by 11 synapomorphies including six unequivocal character states (see Fig. 5A for
32
33 details). As for the three fragmentary taxa, *Chinchenia* and *Kwangsisaurus* are closely related to
34
35 the Pachypleurosauria after *Diandongosaurus* within a clade (A in Fig. 5A) and *Sanchiaosaurus*
36
37 to the Nothosauroida, which differ from the restudy of Rieppel (1999) in which the former two
38
39 were suggested to be related to *Corosaurus* within the Pistosauroida and the latter one to a
40
41 clade including the European pachypleurosauria and Nothosauroida. In addition, phylogenetic
42
43 relationships of *Eusauropterygia-Hanosaurus* clade and *Helveticosaurus* were not resolved in
44
45 this analysis, unlike in Neenan et al. (2013).
46
47
48
49
50
51
52

53
54 As pointed out earlier, *Kwangsisaurus*, *Sanchiaosaurus*, and *Chinchenia* were not
55
56 considered in the study of Neenan et al. (2013) and nor in those studies of Jiang et al. (2008)
57
58
59
60

1
2
3
4
5
6
7 and Liu et al. (2011) owing to their fragmentary nature. In order to test the effect of the
8
9 fragmentary taxa in establishing the phylogeny of the Eosauropterygia, we did the second
10
11 analysis of the data set with the exclusion of *Kwangsisaurus*, *Sanchiaosaurus*, and *Chinchenia*.
12
13 The reduced data matrix was analyzed using PAUP* 4.0 beta 10 (Swofford, 2002) with the
14
15 same setting as those in the first. This analysis yielded four MPTs, with a tree length of 608
16
17 steps, a CI of 0.3141, and a RI of 0.6632. Compared with the first analysis, the phylogenetic
18
19 relationships among the non-eosauropterygian groups differ in that *Corosaurus* and
20
21 *Cymatosaurus* were successively related to the Pistosauroidea of Neenan et al. (2013) and that
22
23 *Eusauropterygia-Hanosaurus* clade and *Helveticosaurus* formed a monophyletic clade after the
24
25 Saurosphargidae (Fig. 5B). For interrelationships within the Eosauropterygia, they were very
26
27 different from those of the first analysis. The most striking is that the seven pachypleurosaurs
28
29 failed to form a monophyletic group; of them one (*Wumengosaurus*), with *Diandongosaurus*,
30
31 formed successive sister-groups of the Pistosauroidea within a clade (A in Fig. 5B) and the
32
33 other six were grouped together with the Nothosauroidea at different levels in another clade (B
34
35 in Fig. 5B). Regarding interrelationships within the Eosauropterygia, the results of the second
36
37 analysis are more comparable to those of Cheng et al. (2012a) and Sato et al. (2013) than others
38
39 in that the monophyletic Pachypleurosauria could not be established and most members of the
40
41 group were closely related to the Nothosauroidea.
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

DISCUSSION

1
2
3
4
5
6
7 The inclusion or exclusion of the fragmentary Chinese forms did affect the phylogenetic
8 pattern of the Eosauropterygia, unlike in Cheng et al. (2012a). It is interesting that the inclusion
9 of the fragmentary taxa produced a better resolution for the phylogeny of the group than that the
10 exclusion of those taxa did. This suggests that the monophyly of the Pachypleurosauria and its
11 relationships with other eosauropterygian groups obtained by this study is still not stable, which
12 can be further demonstrated by a low bootstrap supporting value to the clade (see Fig. 5). In
13 other words, it would be not surprised that interrelationships among eosauropterygians would
14 change when new forms or better materials of those fragmentary taxa are discovered. On the
15 other hand, this study once more confirmed that certain subgroups of the Sauropterygia such as
16 the Placodontia, Nothosauridae (sensu Rieppel, 1998a) and Pistosauroida (sensu Neenan et al.,
17 2013) and the Saurosphargidae (sensu Li et al., 2013) are phylogenetically stable, with a high
18 bootstrap support value: 76-79%, 92-95%, 95-98%, and 96%-99%, respectively (Fig. 5) and
19 that the Saurosphargidae, Thalattosauria, and Ichthyopterygia are successively close to the
20 Sauropterygia as in the recent studies (Li et al., 2013; Neenan et al., 2013; Cheng et al., 2014).
21 In addition, this study also indicates that all included Mesozoic aquatic lineages of reptiles can
22 be grouped together as a monophyletic clade which had a relatively high bootstrap support
23 value of 65% or 76% in the two analyses, respectively. This also the case in the most recent
24 studies in which the Ichthyopterygia was included (Li et al., 2013; Neenan et al., 2013; Cheng et
25 al., 2014). Although the phylogenetic position of *Dawazisaurus* is not congruent between the
26 two analyses, it may have had a closer relationship with *Keichousaurus* or *Dianopachysaurus*
27 than others, as suggested by the 50% majority rule consensus obtained by the second analysis;
28 i.e., the three taxa formed a monophyletic trichotomy clade supported by four synapomorphies
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

1
2
3
4
5
6 including three unequivocal states: the maxilla with one or two enlarged teeth, character 67(0);
7
8 the dorsal ribs pachyostotic, Character 87 (1), and the presence of more than three carpals.
9
10

11
12 As for the origin of the Pachypleurosauria or pachypleurosaur-like forms, the study of
13 Neenan et al. (2013) appears to imply an eastern Tethyan (Asian) origin because the sister-
14 group (*Diandongosaurus*) of the clade came from China (see Neenan et al., 2013:fig.3). This
15 was also confirmed here: the sister-group and basal most members of the Pachypleurosauria in
16 the first analysis all came from China and the Chinese forms separately formed the basal most
17 members of the two clades of the Eosauropterygia in the second analysis.
18
19
20
21
22
23
24
25
26
27
28
29

30 ACKNOWLEDGMENTS

31
32
33
34

35 We are grateful to Paleowonder Fossil and Mineral Museum (Taipei) for their skillful
36 preparation of the specimens used in this study and for providing generous assistance during
37 this project. We thank C. Li and Q.-h. Shang of IVPP for providing information on some
38 Chinese specimens in their care. X.-c.W particularly wishes to thank the Department of
39 Geology of NMNS for their hospitality during his visits. This work was supported by research
40 grants from the NMNS and the National Science Council, Taiwan (NSC-95-2116-M-178-001)
41 (to Y.-n.C); from the CMN (RCP09 to X.-c.W); and Tokyo Gakugei University (to T.S).
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

LITERATURE CITED

- 1
2
3
4
5
6
7
8
9
10
11 Carroll, R. L., and P. Gaskill. 1985. The nothosaur *Pachypleurosaurus* and the origin of
12
13 plesiosaurs. Philosophical Transactions of the Royal Society of London B 309:343–393.
14
15
16 Cheng, Y.-n., X.-c. Wu, T. Sato, and H.-y. Shan. 2012a. A new eosauropterygian (Diapsida,
17
18 Sauropterygia) from the Triassic of China. Journal of Vertebrate Paleontology 32:1335–
19
20 1349.
21
22
23 Cheng, L., X. Chen, X. Zeng, and Y. CAI. 2012b. A new eosauropterygian (Diapsida:
24
25 Sauropterygia) from the Middle Triassic of Luoping, Yunnan Province. Journal of Earth
26
27 Science **23**, 33–40.
28
29
30 Cheng, L., X.-h. Chen, Q.-h. Shang, and X.-c. Wu. 2014. A new marine reptile from the
31
32 Triassic of China, with a highly specialized feeding adaptation. Naturwissenschaften
33
34 DOI 10.1007/s00114-014-1148-4.
35
36
37 Hao, W.-c., Y.-l. Sun, D.-y. Jiang, Z.-y Sun, 2006. Advance in studies of the Panxian Fauna.
38
39 Acta Scientiarum Naturalium Universitatis Pekinensis 42: 817–823.
40
41
42 Holmes, R., Y.-n. Cheng, and X.-c. Wu. 2008. New information on the skull of *Keichousaurus*
43
44 *hui* (Reptilia: Sauropterygia) with comments on sauropterygian interrelationships.
45
46 Journal of Vertebrate Paleontology 28:76–84.
47
48
49 Hu, S.-x., Q.-y. Zhang, Z.-Q. Chen, C.-y. Zhou, T. Lü, T. Xie, W. Wen, J.-y. Huang, and M. J.
50
51 Benton. 2011. The Luoping biota: exceptional preservation, and new evidence on the
52
53 Triassic recovery from end-Permian mass extinction. Proceedings of the Royal Society
54
55 B 278:2274–2282.
56
57
58
59
60

1
2
3
4
5
6
7 Hu, S.-x., Q.-y. Zhang, C.-y. Zhou, W. Wen, J.-y. Huang, and T. Xie. 2013. The Middle
8
9 Triassic Luoping Biota: a taphonomic window on full recovery and radiation of marine
10
11 ecosystems after the Permo-Triassic mass extinction; pp 29–33 in (Program and
12
13 Abstract), The 2nd International Symposium on Triassic and Later Marine Vertebrate
14
15 Fauna. Xingyi City, Guizhou, China,
16
17

18
19
20 Jiang, D.-y., O. Rieppel, R. Motani, W.-c. Hao, Y.-l. Sun, L. Schmitz, and Z.-y. Sun. 2008. A
21
22 new middle Triassic eosauroptrygian (Reptilia, Sauroptrygia) from southwestern
23
24 China. *Journal of Vertebrate Paleontology* 28:1055–1062.
25
26

27
28 Jiang, D.-y., R. Motani, W.-c. Hao, O. Rieppel, Y.-l. Sun, A. Tintori, Z.-y. Sun, and L. Schmitz.
29
30 2009. Biodiversity and sequence of the Middle Triassic Panxian marine reptile fauna,
31
32 Guizhou Province, China. *Acta Geol Sinica* 83:451–459.
33
34

35 Klein, N. 2009. Skull Morphology of *Anarosaurus heterodontus* (Reptilia: Sauroptrygia:
36
37 Pachypleurosauria) from the Lower Muschelkalk of the Germanic Basin (Winterswijk,
38
39 the Netherlands). *Journal of Vertebrate Paleontology*, 29:665–676.
40
41

42 Li, C., D.-y. Jiang, L. Cheng, X.-c. Wu, and O. Rieppel. 2013. A new species of
43
44 *Largocephalosaurus* (Diapsida: Saurosphargidae), with implications for the
45
46 morphological diversity and phylogeny of the group. *Geological Magazine* 151:100–
47
48 120.
49
50

51 Li, J.-l. and Rieppel, O. 2004. A new nothosaur from Middle Triassic of Guizhou, China.
52
53 *Vertebrata PalAsiatica* 42:1–12. [Chinese 1–6; English 6–12]
54
55
56
57
58
59
60

- 1
2
3
4
5
6 Liu, J., O. Rieppel, D.-y. Jiang, J. C. Aitchison, R. Montani, Q.-y. Zhang, C.-y. Zhou, and Y.-y.
7
8 Sun. 2011. A new pachypleurosaur (Reptilia: Sauropterygia) from the lower Middle
9
10 Triassic of southwestern China and the phylogenetic relationships of Chinese
11
12 pachypleurosaurs. *Journal of Vertebrate Paleontology* 31:291–302.
13
14
15
16 Neenan, J. M., N. Klein, and T. M. Scheyer. 2013. European origin of placodont marine reptiles
17
18 and the evolution of crushing dentition in Placodontia. *Nature Communications* 4:1621,
19
20 doi: 10.1038/ncomms2633
21
22
23 Owen, R. 1860. *Palaeontology; or, a systematic summary of extinct animals and their geologic*
24
25 *remains*. Adam and Charles Black, Edinburgh, 435 pp.
26
27
28 Rieppel, O. 1989. A new pachypleurosaur (Reptilia: Sauropterygia) from the Middle Triassic of
29
30 Monte San Giorgio, Switzerland. *Philosophical Transactions of the Royal Society of*
31
32 *London B* 323:1–73.
33
34
35 Rieppel, O. 1994. Osteology of *Simosaurus gaillardoni* and the relationships of stem-group
36
37 Sauropterygia (Reptilia: Diapsida). *Fieldiana (Geology)* n.s. 28:1–85.
38
39
40 Rieppel, O. 1998a. *Corosaurus alcovensis* Case and the phylogenetic interrelationships of
41
42 Triassic stem-group Sauropterygia. *Zoological Journal of the Linnean Society* 124:1–41.
43
44
45 Rieppel, O. 1998b. The status of the sauropterygian reptile genera *Ceresiosaurus*, *Lariosaurus*
46
47 and *Silvestrosaurus* from the Middle Triassic of Europe. *Fieldiana (Geology)* n.s. 38:1–
48
49 46.
50
51
52 Rieppel, O. 1999. The sauropterygian genera *Chinchenia*, *Kwangsisaurus*, and *Sanchiaosaurus*
53
54 from the Lower and Middle Triassic of China. *Journal of Vertebrate Paleontology*
55
56 19:321–37.
57
58
59
60

- 1
2
3
4
5
6
7 Rieppel, O. 2000. Sauropterygia I: Placodontia, Pachypleurosauria, Nothosauroida,
8 Pistosauroida; in P. Wellnhofer (ed.), Encyclopedia of Paleoherpetology, Volume 12A.
9 Verlag Dr. Friedrich Pfeil, München, 144 pp.
10
11
12
13 Rieppel, O. 2002. Feeding mechanics in Triassic stem-group sauropterygians: the anatomy of a
14 successful invasion of Mesozoic seas. Zoological Journal of the Linnean Society
15
16 135:33–63.
17
18
19
20 Rieppel, O. and K. Lin. 1995. Pachypleurosaurs (Reptilia: Sauropterygia) from the Lower
21 Muschelkalk, and a review of the Pachypleurosauroida. Fieldiana (Geology), n.s. 32:1–
22 44.
23
24
25
26
27 Sander, P. M. 1989. The pachypleurosaurids (Reptilia: Nothosauria) from the Middle Triassic of
28 Monte San Giorgio, (Switzerland), with the description of a new species. Philosophical
29 Transactions of the Royal Society of London B 325:561–670.
30
31
32
33
34 Sato, T., Y.-n. Cheng, X.-c. Wu, and H.-y. Shan. 2013. *Diandongosaurus acutidentatus* Shang,
35 Wu & Li, 2011 (Diapsida: Sauropterygia) and the relationships of Chinese
36 eosauropterygians. Geological Magazine 151:121–133.
37
38
39
40
41 Sato, T., L.-j Zhao, X.-w Wu, and C. Li. 2014. A new specimen of the Triassic pistosauroid
42 *Yunguisaurus*, with implications for the origin of Plesiosauria (Reptilia, Sauropterygia).
43 Palaeontology 57(1):55-76.
44
45
46
47
48 Shang, Q.-h., X.-c. Wu, and C. Li. 2011. A new eosauropterygian from the Middle Triassic of
49 eastern Yunnan Province, southwestern China. Vertebrate Palasiatica 49:155–173.
50
51 [Chinese 155; English 156–173]
52
53
54
55
56
57
58
59
60

- 1
2
3
4
5
6
7 Storrs, G. W. 1991. Anatomy and relationships of *Corosaurus alcovensis* (Diapsida:
8
9 Sauropterygia) and the Triassic Alcova Limestone of Wyoming. Bulletin of Peabody
10
11 Museum of Natural History 44: 1–151.
12
13 Sues, H.-D., and R. Carroll. 1985. The pachypleurosaurid *Dactylosaurus schroederi* (Diapsida:
14
15 Sauropterygia). Canadian Journal of Earth Sciences 22:1602–1608.
16
17
18 Swofford, D. L. 2002. PAUP* 4.0b10. Phylogenetic Analysis Using Parsimony (*And Other
19
20 Methods). Sinauer Associates, Sunderland, Massachusetts.
21
22
23 Wu, X.-c., Y.-n. Cheng, C. Li, L.-j. Zhao, and T. Sato. 2011. New information on
24
25 *Wumengosaurus delicatmandibularis* Jiang et al., 2008 (Diapsida: Sauropterygia), with
26
27 revision of the osteology and phylogeny of the taxon. Journal of Vertebrate
28
29 Paleontology 31:70–83.
30
31
32 Young, C. C. 1958. On the new Pachypleurosauroida from Kweichow, Southwest China.
33
34 Vertebrata PalAsiatica 2:69–81. [Chinese 69–72; English 72–81]
35
36
37 Young, C. C. 1959. On a new Nothosauria from the Lower Triassic beds of Kwangsi.
38
39 Vertebrata PalAsiatica (English) 3:73–78.
40
41
42 Young, C. C. 1965. On the new nothosaurs from Hupeh and Kweichou, China. Vertebrata
43
44 PalAsiatica 9:315–356. [Chinese 315–336; English 337–356]
45
46
47 Young, C.-C. 1972. A marine lizard from Nanchang, Hupeh Province. Memoirs of the Institute
48
49 of Vertebrate Paleontology and Paleoanthropology, Academia Sinica 9:17–28. [Chinese]
50
51
52 Zhang Q.-Y., C.-Y. Zhou, T. Lu, T. Xie, X.-Y. Lou, W. Liu, Y.-Y. Sun, J.-Y. Huang, and L.-S.
53
54 Zhao. 2009. A conodont-based Middle Triassic age assignment for the Luoping Biota of
55
56 Yunnan, China. Science in China Series D-Earth Science 52:1673–1678.
57
58
59
60

FIGURE CAPTIONS

FIGURE 1. Skeleton of *Dawazisaurus brevis* gen. et sp. nov. (NMNS000933-F034397). **A**, The whole skeleton in dorsal view; **B**, last three caudal vertebrae in left lateral view; **C**, **D**, a photo and a line drawing of the skull in dorsal view. See the introduction for abbreviations. [planned for page width]

FIGURE 2. Partial skull and mandible and cervical vertebrae 6 to 8 of *Dawazisaurus brevis* gen. et sp. nov. (NMNS000933-F034397). **A**, A photo of the anterolateral side of the skull and mandible in left lateral view; **B**, a photo of the temporal region of the skull and mandible in left lateral view; **C**, **D**, a photo and a line drawing of cervical vertebrae 6 to 9 in dorsal view. See the introduction for abbreviations. [planned for page width]

FIGURE 3. Partial postcranial skeleton of *Dawazisaurus brevis* gen. et sp. nov. (NMNS000933-F034397). **A**, Pectoral girdles with anterior dorsal vertebrae in dorsal view; **B**, the mid dorsal vertebrae in dorsal view, showing small zygapophyses; **C**, **D**, a photo and a line drawing of the pelvic girdle in dorsal view. See the introduction for abbreviations. [planned for page width]

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

FIGURE 4. Anterior tail and limbs of *Dawazisaurus brevis* gen. et sp. nov. (NMNS000933-F034397). **A**, Anterior tail in dorsal view, showing the pachyostotic caudal ribs; **B, D**, the forelimbs, showing the ossification of distal carpal 5 in **D**; **C, E, F**, the hindlimbs, showing proximo-internal structures of the right femur in **E**. See the introduction for abbreviations.

[planned for page width]

FIGURE 5. Strict consensus trees based on the two analyses of the study, depicting the phylogenetic relationships of *Dawazisaurus* within the Eosauropterygia. **A**, Derived from 34 MPTs of the first analysis; **B**, derived from 4 MPTs of the second analysis with the exclusion of *Kwangsisaurus*, *Sanchiaosaurus*, and *Chinchenia*. Numbers indicate the bootstrap support values; clades without numbers have a bootstrap support value lower than 50%; dash line with arrow head pointing the Pistosauroidea (sensu Neenan et al., 2013). See Supplementary Information for taxa representing the Saurosphargidae (three), Turtle (two), Lepidosauromorpha (three), and Archosauromorpha (five). **Abbreviations:** *Anaro*, *Anarosaurus*; *Dactylo*, *Dactylosaurus*; *Neustic*, *Neusticosaurus*; *Serpiano*, *Serpianosaurus*. Taxa with asterisk are the Chinese forms. Synapomorphies, as optimized under accelerated (ACCTRAN) transformation assumptions in tree 1 of the 34 MPTs and 4 MPTs separately obtained by the first and the second analyses, are listed for relevant clades, respectively (unequivocal character states labeled by asterisk): Pachypleuroosauria in **A**, characters 1*(1), 3*(0), 7*(0), 10(0), 23*(0), 49(0), 53(1), 59(0), 72(1), 75*(1), and 87*(1); Clade B in **B**, characters 1*(1), 43*(2), 49(0), 59(0), 72*(1), 75*(1), 112(0), and 127(2).. [planned for page width]

Table 1. Selected measurements (in mm) of *Dawazisaurus brevis* gen. et sp. nov. (L), left. Metacarpals and metatarsals from the right side; *, estimated.

Measurements	NMNS000933-F034397
Total length of the specimen	423.8
Presacral length (with skull)	236
Sacral length	19.8
Tail length	168.0
Midline length of skull	36
Preorbital length	16
Postorbital length	11
Length anterior to external naris	8
Length posterior to external naris	5*
Mandibular length	50
Orbital length	10
Orbital width	7.6
Supratemporal length	10
Supratemporal width	4.5

Humerus length	26.5
Ulna length	15
Radius length	14.8
Length of metacarpal I	2.5*
II	5
III	6
IV	5.5
V	4
Femur length	36.5
Tibia length	16.2
Fibula length	16
Length of metatarsal I	3.8
II	7
III	8.5
IV	8.5

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

V 7.5

1
2
3 APPENDIX 1. To analyze the phylogenetic relationships of *Dawazisaurus*, we used the
4 data matrix of Neenan et al. (2013) with a slight modification. The modified data matrix
5 consists of 141 characters and 50 taxa, with the addition of a new character from Li et al.
6 (2011, 2013) and seven more taxa: *Dawazisaurus*, *Qianxisaurus*, three fragmentary taxa
7 (*Kwangsisaurus*, *Sanchiaosaurus*, and *Chinchenia*) as well as the two species of a newly
8 reported saurosphargid, *Largocephalosaurus* (*L. polycarpon* Cheng et al., 2012b and *L.*
9 *qianensis* Li et al., 2013). The following includes the new character and changes in the
10 description of a character, coding changes for some taxa, and character scoring for the
11 newly added taxa.
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26

27 **New Character**

28
29 141. Marginal teeth with convex (0) or concave (1) lingual surface of crown.
30

31 (Character 149 of Li et al., 2011, 2013)
32
33
34
35

36 **Characters modified**

37
38 87. Pachyostosis of dorsal ribs: absent (0); present (1); dorsal ribs stout and broadened to
39 contact each other to form a close ‘basket’ in lateral view (2). State 2 for saurosphargids.
40
41
42
43
44

45 **Coding changes based on our personal observations of the true specimens. ZMNH,**

46 **Zhejiang Museum of Natural History.**

47
48 *Sinosaurosphargis* (IVPP V 17040, ZMNH M 8797, an uncatalogued specimen of
49 ZMNH): characters 2 from (0) to (1); 24 from (0) to (3); 27 from (1) to (0); 75 from (1) to
50
51
52
53
54
55
56
57
58
59
60

(0); 84 from (1) to (0); 87 from (1) to (2); 88 to 90 from (?) to (0, 1, 0), respectively; 97 and 98 from (0) to (1); 101 from (?) to (2).

Diandongosaurus (IVPP V 17761, NMNS00093-F034398; Sato et al., 2013): characters 40, 44, 45, 48, 49, 59, 69, 98, and 102 from (?) to (1); 50-54, 56, and 103 from (?) to (0); 68 from (2) to (0); 140 from (?) to (3).

Character scores for newly added taxa. Data of *Qianxisaurus*, *Chinchenia*, *Kwangsisaurus*, and *Sanchiaosaurus* are derived from Cheng et al. (2012a), and data of the two species of *Largocephalosaurus* from Li et al. (2013).

Dawazisaurus

1200100000?0000011000200111031200???0?0?00?10????????????00?100000100?1???11
0?0?000??011210??????11???10?0???1?1011110001????00111110110001?0

Qianxisaurus

111010100020000101000000111030210001110?00201??1????????00?100?00010?1010?
10?01000?101021000011?11???1010111001?11301023011?0??112110110001?0

Characters 29 from (4) to (3) and 59 from (0) to (?) in comparison with Cheng et al. (2012 – characters 31 and 51)

Largocephalosaurus qianensis

01101020002000011100100311003020001?000100?1???0?0?201?10011?001001011000
1000?1011?100201000111?11012111001?001211011101011?0011?010011001101

Characters 75 from (?) to (0), and 87 from (1) to (2), and 97 from (0) to (1) in comparison with Li et al. (2013 – characters 69, 72, and 80).

Largocephalosaurus polycarpon

011010200020000111001?0311003020001?000?00?1?????????????0??100000010?1???10
0??1011?1002110?0111?01???11?0???001??12111010???001110110110011?1

Characters 22 from (2) to (?), 75 from (?) to (0), and 87 from (1) to (2) in comparison with Li et al. (2013 – characters 17, 69, and 72).

Chinchenia

????????????????????????????????0??0??????0??????00????1??1??00001????00010?1??
?011???0???0?????1???1????????101111?0?????11111????????????0

Kwangsisaurus

????????????????????????????????0??0??????0??????00????????00??????00?0?1?1?
01?1??0???0????????????001???1?11110????????????????????

Sanchiaosaurus

????????????????0?????????1?0??0??????0??????00??????21??00?????1000?0???1
?0111??0?00?0?????1???1??021?0?100111?0?2001110111????????????

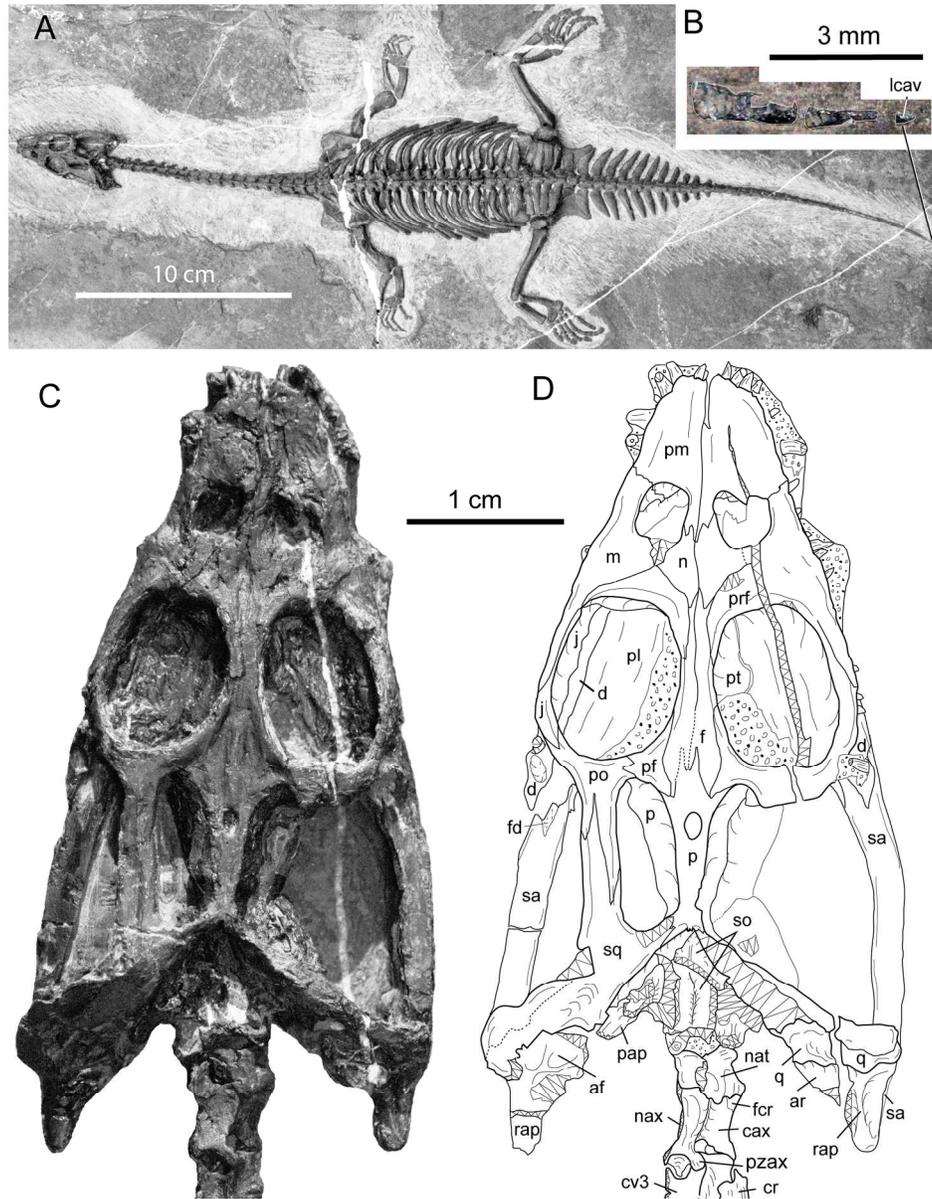


FIGURE 1. Skeleton of *Dawazisaurus brevis* gen. et sp. nov. (NMNS000933-F034397). A, The whole skeleton in dorsal view; B, last three caudal vertebrae in left lateral view; C, D, a photo and a line drawing of the skull in dorsal view. See the introduction for abbreviations. [planned for page width] 182x228mm (300 x 300 DPI)

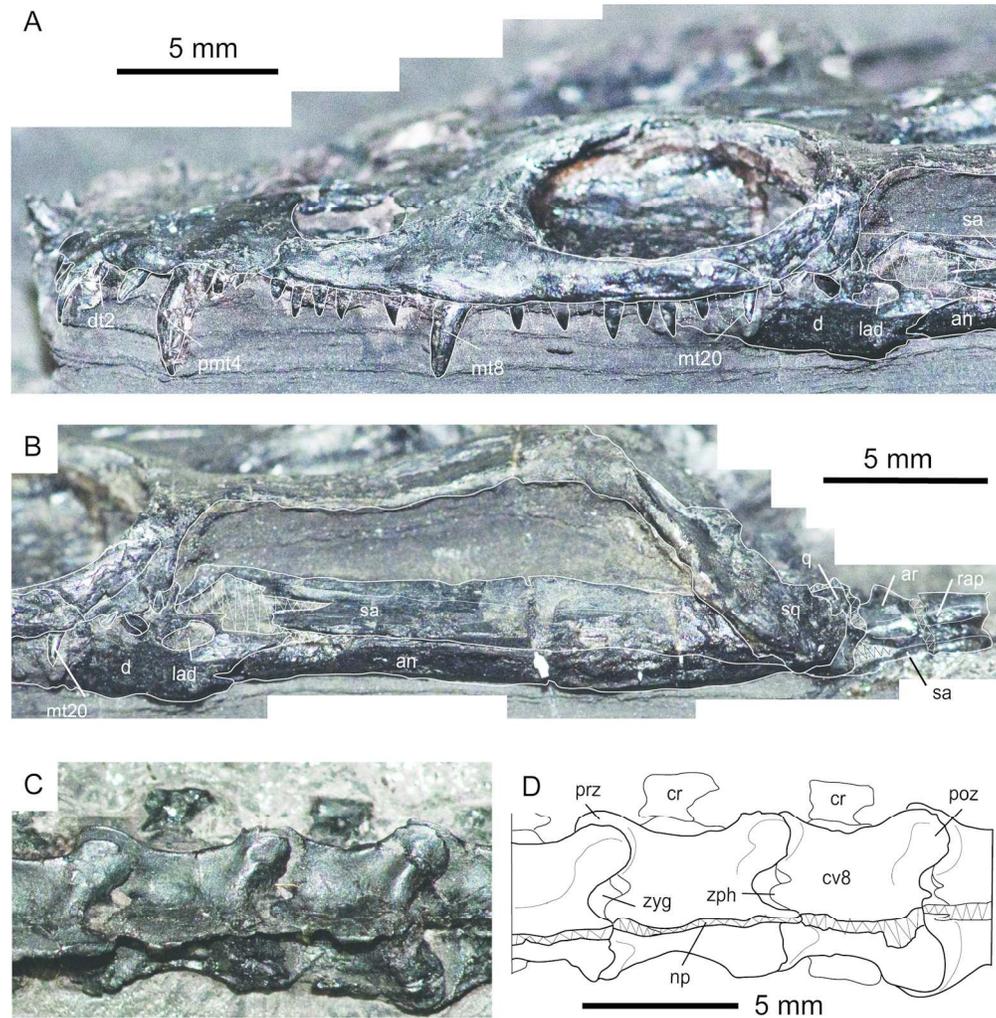


FIGURE 2. Partial skull and mandible and cervical vertebrae 6 to 8 of *Dawazisaurus brevis* gen. et sp. nov. (NMNS000933-F034397). A, A photo of the anterolateral side of the skull and mandible in left lateral view; B, a photo of the temporal region of the skull and mandible in left lateral view; C, D, a photo and a line drawing of cervical vertebrae 6 to 9 in dorsal view. See the introduction for abbreviations. [planned for page width]

182x186mm (300 x 300 DPI)

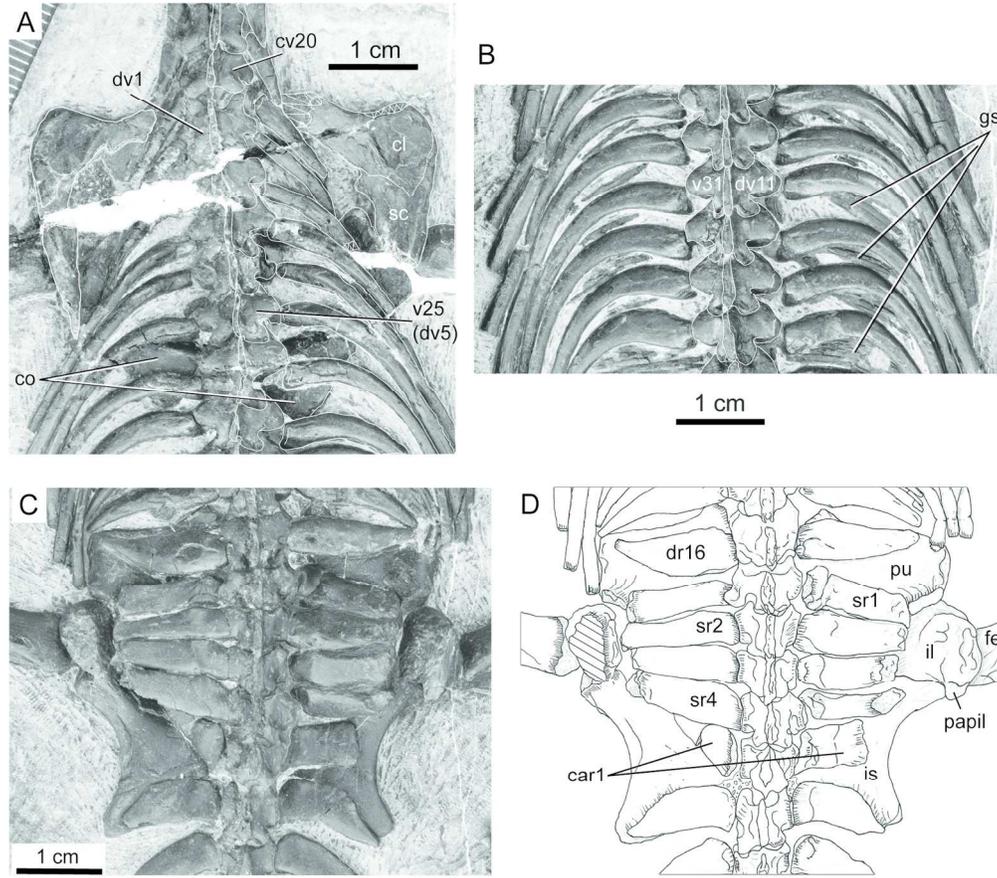


FIGURE 3. Partial postcranial skeleton of *Dawazisaurus brevis* gen. et sp. nov. (NMNS000933-F034397). A, Pectoral girdles with anterior dorsal vertebrae in dorsal view; B, the mid dorsal vertebrae in dorsal view, showing small zygapophyses; C, D, a photo and a line drawing of the pelvic girdle in dorsal view. See the introduction for abbreviations. [planned for page width]
182x160mm (300 x 300 DPI)

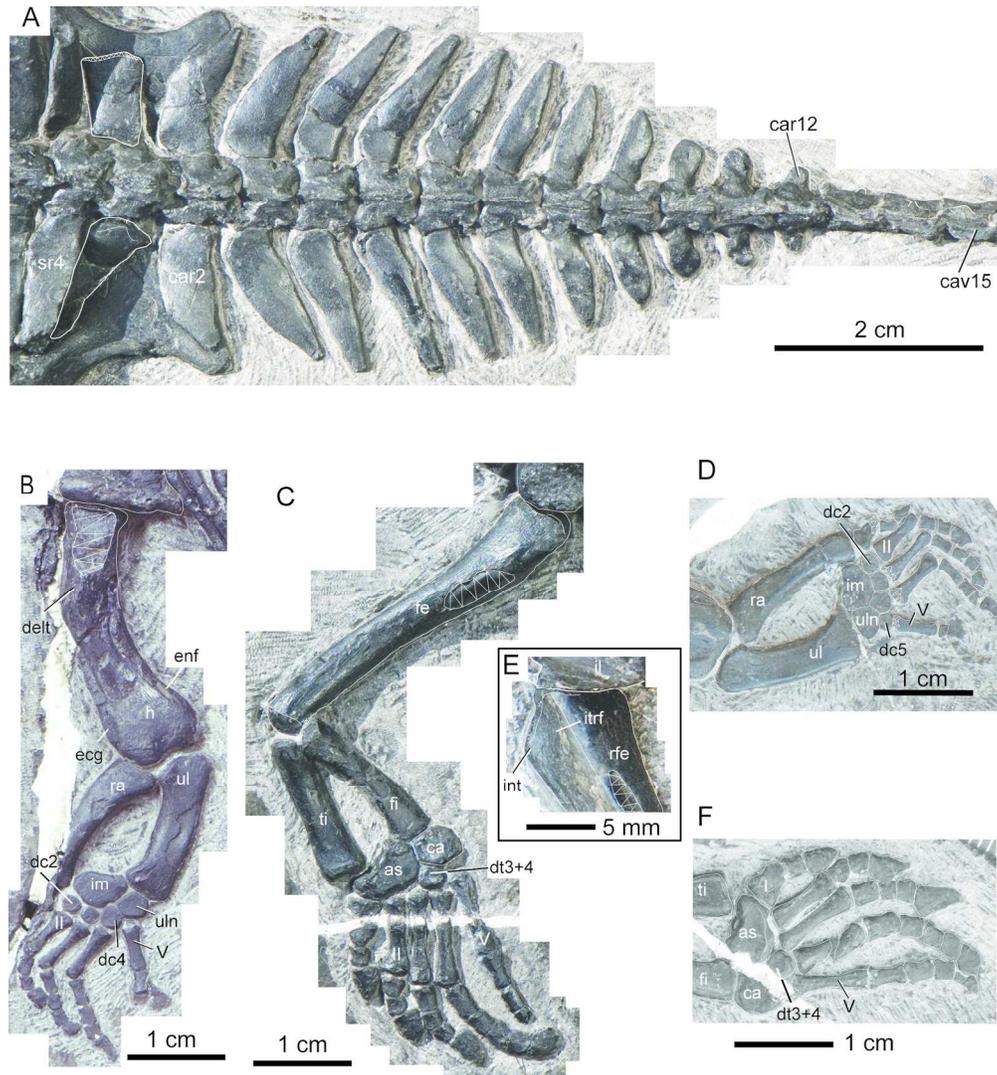


FIGURE 4. Anterior tail and limbs of *Dawazisaurus brevis* gen. et sp. nov. (NMNS000933-F034397). A, Anterior tail in dorsal view, showing the pachyostotic caudal ribs; B, D, the forelimbs, showing the ossification of distal carpal 5 in D; C, E, F, the hindlimbs, showing proximo-internal structures of the right femur in E. See the introduction for abbreviations. [planned for page width]
182x196mm (300 x 300 DPI)

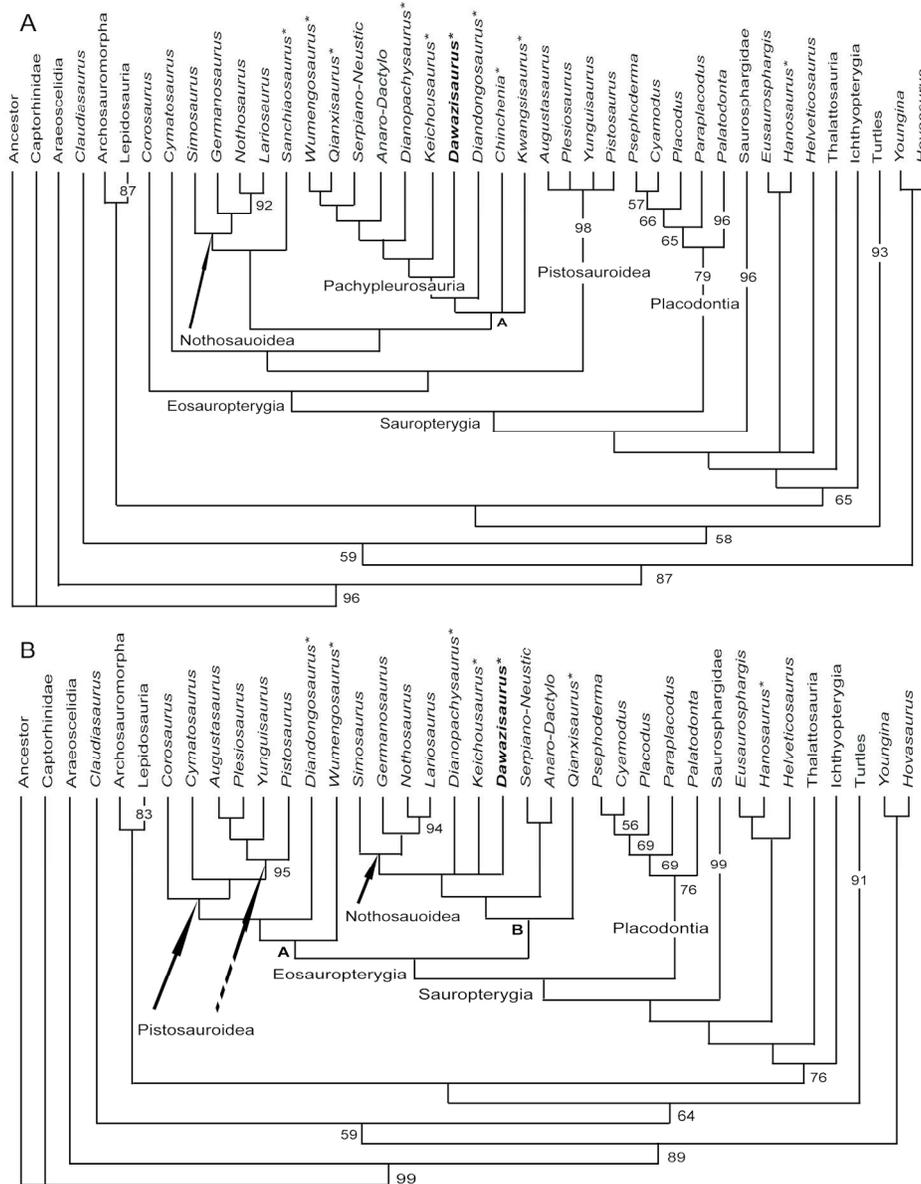


FIGURE 5. Strict consensus trees based on the two analyses of the study, depicting the phylogenetic relationships of *Dawazisaurus* within the Eosauropterygia. A, Derived from 34 MPTs of the first analysis; B, derived from 4 MPTs of the second analysis with the exclusion of *Kwangsisaurus*, *Sanchiaosaurus*, and *Chinchenia*. Numbers indicate the bootstrap support values; clades without numbers have a bootstrap support value lower than 50%; dash line with arrow head pointing to the Pistosauoidea (sensu Neenan et al., 2013). See Supplementary Information for taxa representing the Saurosphargidae (three), Turtle (two), Lepidosauromorpha (three), and Archosauromorpha (five). Abbreviations: Anaro, Anarosaurus; Dactylo, Dactylosaurus; Neustic, Neusticosaurus; Serpiano, Serpianosaurus. Taxa with asterisk are the Chinese forms. Synapomorphies, as optimized under accelerated (ACCTRAN) transformation assumptions in tree 1 of the 34 MPTs and 4 MPTs separately obtained by the first and the second analyses, are listed for relevant clades, respectively (unequivocal character states labeled by asterisk): Pachypleurosauria in A, characters 1*(1), 3*(0), 7*(0), 10(0), 23*(0), 49(0), 53(1), 59(0), 72(1), 75*(1), and 87*(1); Clade B in B, characters 1*(1), 43*(2), 49(0), 59(0), 72*(1), 75*(1), 112(0), and 127(2).. [planned for page width]

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51
52
53
54
55
56
57
58
59
60

182x228mm (300 x 300 DPI)