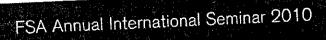


FSA Annual International Seminar 2010

'Supporting financial stability'

30 November - 2 December 2010

Programme



Programme

Tuesday 30 November

09.30	Registration and refreshments	Foyer
10.00	Setting the scene: International	
	Setting the scene: International developments in financial services regulation	Presentation theatre
	Verena Ross, Director of International Division, FSA	
11.00	Policies towards systemically important financial institutions David Rule, Head of Macro Prudential Department, FSA	Presentation theatre
12.00	Lunch	<u> </u>
13.00		Committee Rooms A&B and C&D
13.00	Preparing supervisors for the challenges of a post-crisis world: An HR Response	Presentation theatre
1.4.00	Michael Hall, Manager, Training and Competency, FSA	
14.00	Risk management principles Lyndon Nelson, Director of Risk Management Division, FSA	Presentation theatre
15.00	Tea break	
15.15	Cradible	Foyer
10.10	Credible deterrence - An introduction to enforcement	Presentation theatre
	Anila Bedi, Manager, Enforcement - Legal Group, FSA Credible deterrence in the criminal courts Martin Watts, Technical Specialist, Enforcement - Legal Group, FSA	
	Unauthorised business activity – a perspective on international enforcement activity and consumer protection	
Algebra e jel	Peter Wright, Technical Specialist, Enforcement – Legal Group, FSA	
7.00	Group photograph	
		Main reception
	vening reception fosted by Verena Ross, Director of International Division, FSA	Foyer

Wednesday 1 December

09.45	Opening remarks/Welcome	Committee	Rooms
03.40	Ken Hogg, Director of Insurance Sector, FSA	A&B	
09.50	Insurance sector background and structure	Committee	Rooms
<u> </u>	Paul O'Brien, Associate, Insurance Sector Team, FSA	A&B	
10.45	Risk and insurance sector products	Committee A&B	Rooms
44.45	Mark Davies, Associate, Insurance Sector Team, FSA		
11.45	Coffee break	Foyer	
12.00	Macro prudential surveillance, market-wide stress testing and interconnectedness	Committee A&B	Rooms
	Michael Zboron, Technical Specialist, Insurance Sector Team, FSA		
13.00	Lunch	Foyer	
14.00	Solvency II	Committee	Rooms
	Val Smith, Programme Director, Solvency II Implementation, FSA	A&B	
15.00	Tea break	Foyer	
15.10	Insurance supervisory approach	Committee	Rooms
	Yvette Bavin, Associate, Wholesale Insurance Intermediaries, FSA Steven Essex-Crosby, Associate, Major Retail Groups Division, FSA	A&B	
15.50	The FSA's approach to supervision of smaller insurers	Committee A&B	Rooms
	Bob Martin, Manager, Smaller Firms and Contacts – Mortgages and Insurance Department, FSA		in North
	Dave Howard, Technical Specialist, Smaller Firms and Contacts – Mortgages and Insurance Department, FSA		
16.30	End of option 1		

Wednesday 1 December

10	tion 2 = i	Markets and the second		
	09.45	Overview of markets regulatory issues Alexander Justham, Director of Markets Division, FSA	Presentation	theatre
	10.30	Credit rating agencies Christopher Lake, Senior European Regulatory Counsel, Standard & Poor's	Presentation	theatre
	11.15	Listing Sukuk in London Jill Kwan, Senior Associate, UK Listing Authority, FSA	Presentation	theatre
,	11.45	Coffee break	Foyer	
	12.00	Regulation in a changing world: UK equity market microstructure Tim Rowe, Manager, Trading Platforms and Settlement Policy, FSA	Presentation	theatre
	12.30	Short selling Nicholas Hulme, Associate, Major Retail Groups, FSA	Presentation	theatre
	13.00	Lunch	Foyer	
	14.00	Market surveillance Anne-Marie Segbedzi, Associate, Transaction Monitoring Unit, FSA Market conduct	Presentation	theatre
		Carolyn Hodder, Senior Associate, Market Conduct Tearn, FSA		
5	15.00	Tea break	Foyer	
	15.15	OTC derivatives Barry King and Vanaja Indra, Associates, OTC Derivatives and Post Trade Policy, FSAC	Presentation	theatre
	16.00	Commodity markets Speaker to be confirmed	Presentation	theatre
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Thursday 2 December

09.00	New financial regulatory architecture in Europe Carlos Tavares, Chairman of the Committee of European Securities Regulators (CESR) and Chairman of the Portuguese CMVM	Presentation theatre
09.30	The Bank's changing role in financial stability Colin Miles, Senior Adviser, Financial Stability, Bank of England	Presentation theatre
10.00	The FSA's approach to intensive and intrusive supervision Ian Tower, Head of Department, Wholesale Banks and Investment Firms, FSA	Presentation theatre
	Sasha Mills, Manager, Major Retail Groups Division, FSA Steve O'Keeffe, Associate, Major Retail Groups Division, FSA	
11.15	Coffee break	Foyer
11.30	Recovery and resolution plans Matt Lucas, Manager, Recovery and Resolution Team, FSA	Presentation theatre
12.15	Regulating remuneration policies and practices David Raikes, Manager, Remuneration Team, FSA	Presentation theatre
13.00	Lunch	Foyer
14.00	The FSA's approach to consumer protection Richard Taylor, Manager, Retail Distribution Team, FSA Nadege Genetay, Head of Department, Conduct and Redress Policy, FSA	Presentation theatre
15.00	The FSA's approved persons regime: Forthcoming policy changes and practical implementation Nigel Fray, Associate, Governance Policy, FSA Significant influence functions (SIFs) – Our more intrusive approach Brian Corr, Head of Department, Approved Persons and Reporting, FSA	Presentation theatre
16.00	Tea break	Foyer
16.15	Can professionalism improve consumer outcomes Rachel Donaldson, Associate, Professional Standards Policy Team, FSA	Presentation theatre
17.00	Conference closing Karen Northey, Manager, International Stakeholder Engagement, FSA	Presentation theatre
17.15	End of International Seminar	